

# Remote Face Recognition

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# Face recognition from boats and shore: Objectives (2008-2013)

- To understand and mitigate the degradations in the acquisition of biometric signatures in the maritime domain.
- To develop robust algorithms for remote face recognition in the maritime domain.
- Evaluate the effectiveness of remote biometrics algorithms.
- What is the significance and potential scientific impact of the project?
  - Extends the range and operating conditions of object recognition research -- a fundamental goal of computer vision research
- Participants
  - Belhumeur, Boult, Davis, Duraiswami, Jacobs, Kriegman, and Nayar

# Data collection

- Baltimore inner harbor
  - Images of subjects in a boat at 25-400m from the camera
  - Maritime conditions
    - Detected faces have blur, occlusion, severe lighting variations, pose and expression
    - Over 2000 frontal (or close to frontal images)
    - Hundreds of video sequences have been collected.
    - Each face was labeled based on identity, pose, illumination, blur and occlusion.
    - Some of the artifacts are unique to maritime conditions.
- Xfinity Center, UMD
  - During winter months

# Some examples of remote data

## Baltimore Inner Harbor data



## Xfinity Center, UMD data



- Atmospheric effects (fog, mist, rain, etc.)
- Blur
- Jitter due to ship motion
- Low-resolution
- Illumination, pose variations
- Occlusion
- Presence of others
- Collecting large data sets



# Face recognition in 2008 and 2013

- 2008
  - Frontal, well-illuminated, high-resolution, sharp and occlusion-free face recognition problem was addressed.
  - Face data set typically consisted of a few thousands of faces.
  - Constrained data sets (PIE, FERET,..) were used for evaluation,
  - Video-based face recognition was barely discussed.
  - Face recognition/verification seen as a standalone problem.
- 2013
  - Non-frontal, not so well illuminated, blurry, low-resolution and limited occlusion face recognition problem is being addressed.
  - No one is impressed with near 100% recognition on frontal, well-illuminated and high-resolution face data sets.
  - Millions of faces are included in the data set. Challenging face data sets (LFW, MBGC, UMD MURI) are used for evaluation.
  - Many approaches to video-based recognition are being considered.
  - Face recognition/verification integrated into surveillance and indexing applications

# Preprocessing – Face detection

- Before MURI
  - Viola Jones face detector
  - Video stabilization and face tracking using particle filters
- MURI
  - PLS method
  - Transitioned to DARPA VMR program
- Video stabilization and face tracking (UMD)
  - Association of frame-based detections using conditional random field models



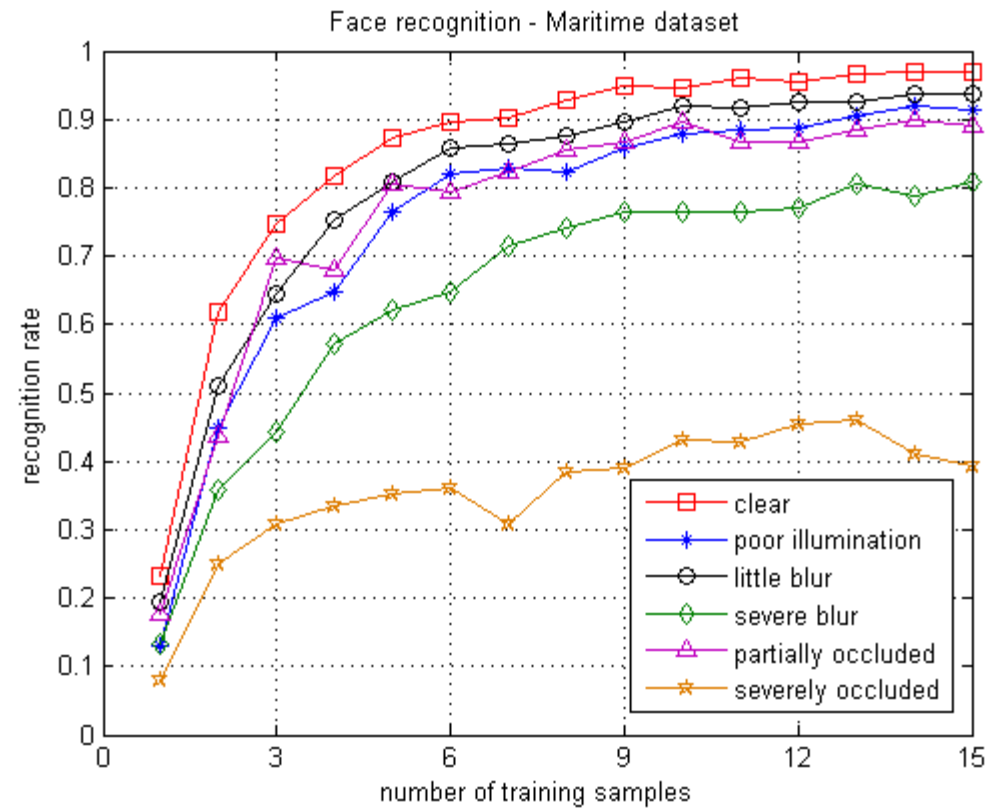
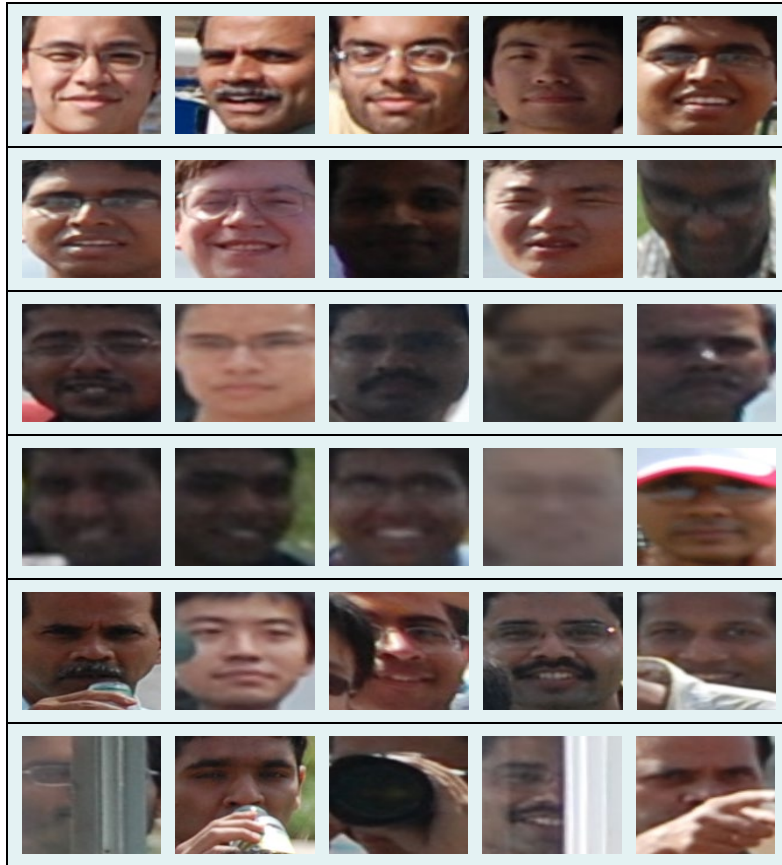
# Acquisition of faces in motion

- We developed a Bayesian, scene-adaptive approach that is effective for scenarios involving sensor motion (ship to ship, ship to shore etc).
- Prior models tuned to scenes
- Online estimation of conditional random field models



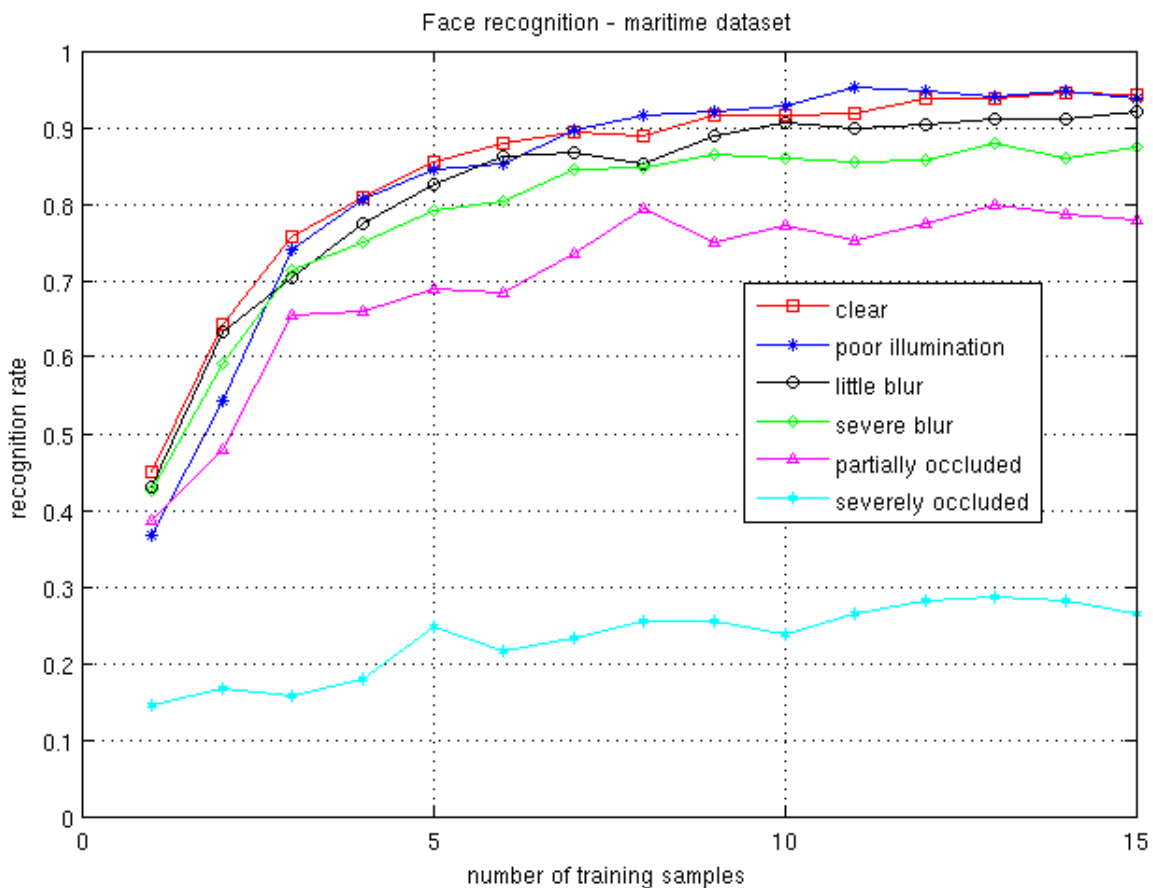
# Face recognition on maritime data - partial least squares method

Feature dimensionality reduction 50,000 to 20.



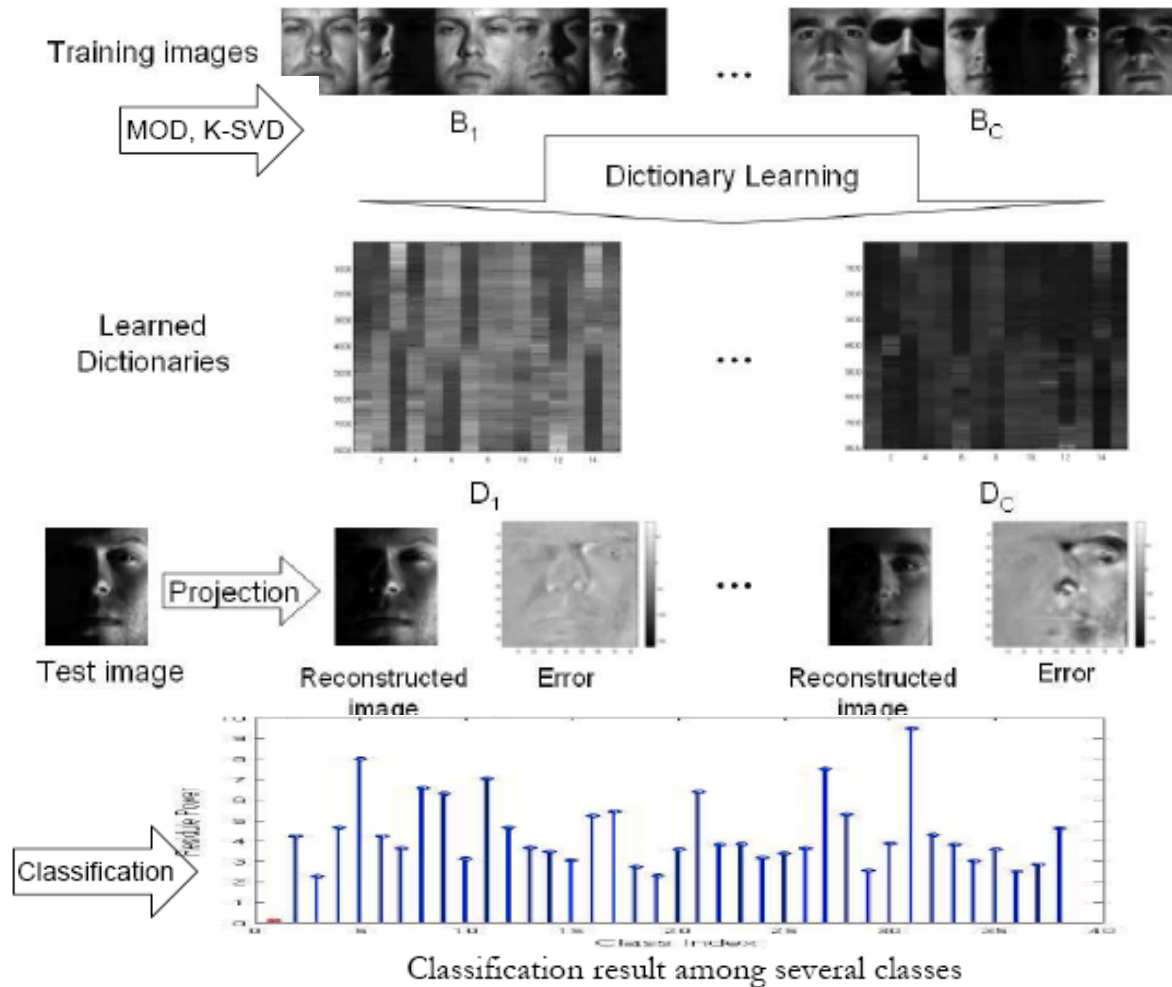


# Face recognition on maritime intensity data - SVM



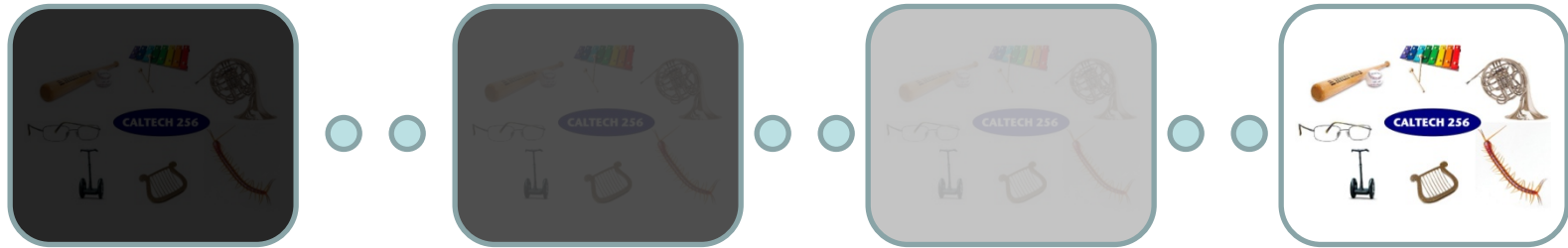
Kernel PCA  
Regularized  
LDA  
SVM

# Dictionary-based face recognition



How to learn  
Dictionaries?  
K-SVD  
M. Aharon, et al.  
2006

# Re-Identification of faces



Domain 1  
(labeled  
Baltimore)

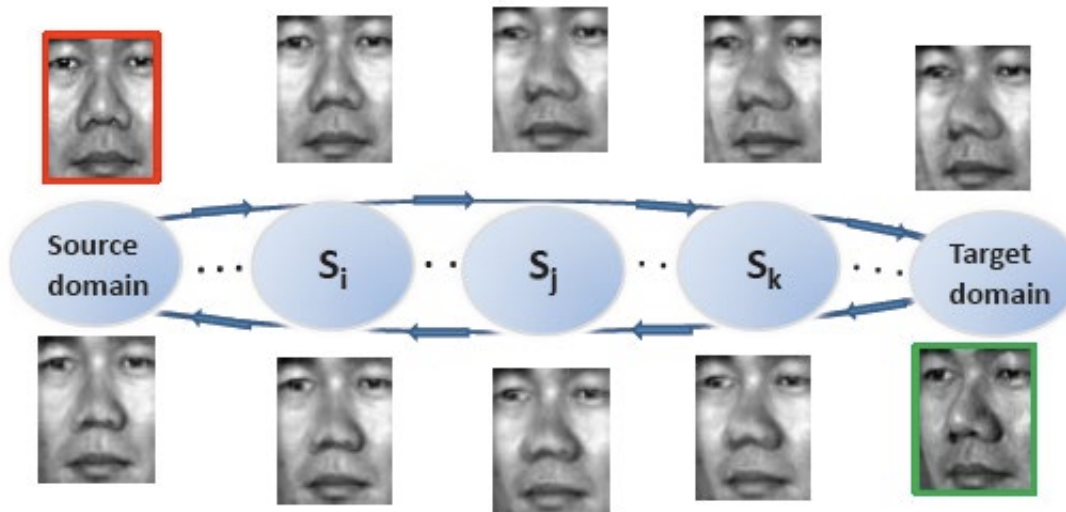
Intermediate domains  
(Incremental learning)

Domain 2  
(unlabelled  
UMD  
Comcast  
center)

## *Questions*

- ❖ How to obtain meaningful intermediate domains?
- ❖ How to characterize incremental domain shift information to perform recognition?
- ❖ Variations due to pose, illumination, background, ..

# Domain adaptation via dictionaries

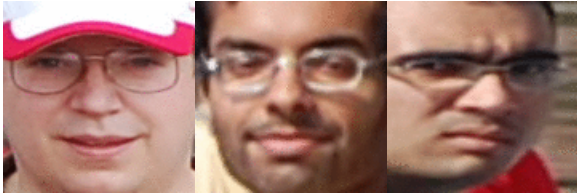


The top half of the figure shows some intermediate images synthesized from a given source image of frontal view (in red box). The bottom half shows the intermediate images generated from a given target image of side view (in green box).

- Assume there exist  $K$  intermediate domains  $\{S_k\}_{k=1}^K$  which smoothly bridge the information gap between the source and target domain. A domain dependent dictionary  $D_k$  is learned for each intermediate domain  $S_k$ .
- We learn the intermediate data to approximate the observations in the corresponding intermediate domains. The intermediate data is then utilized to build classifiers.

# Results

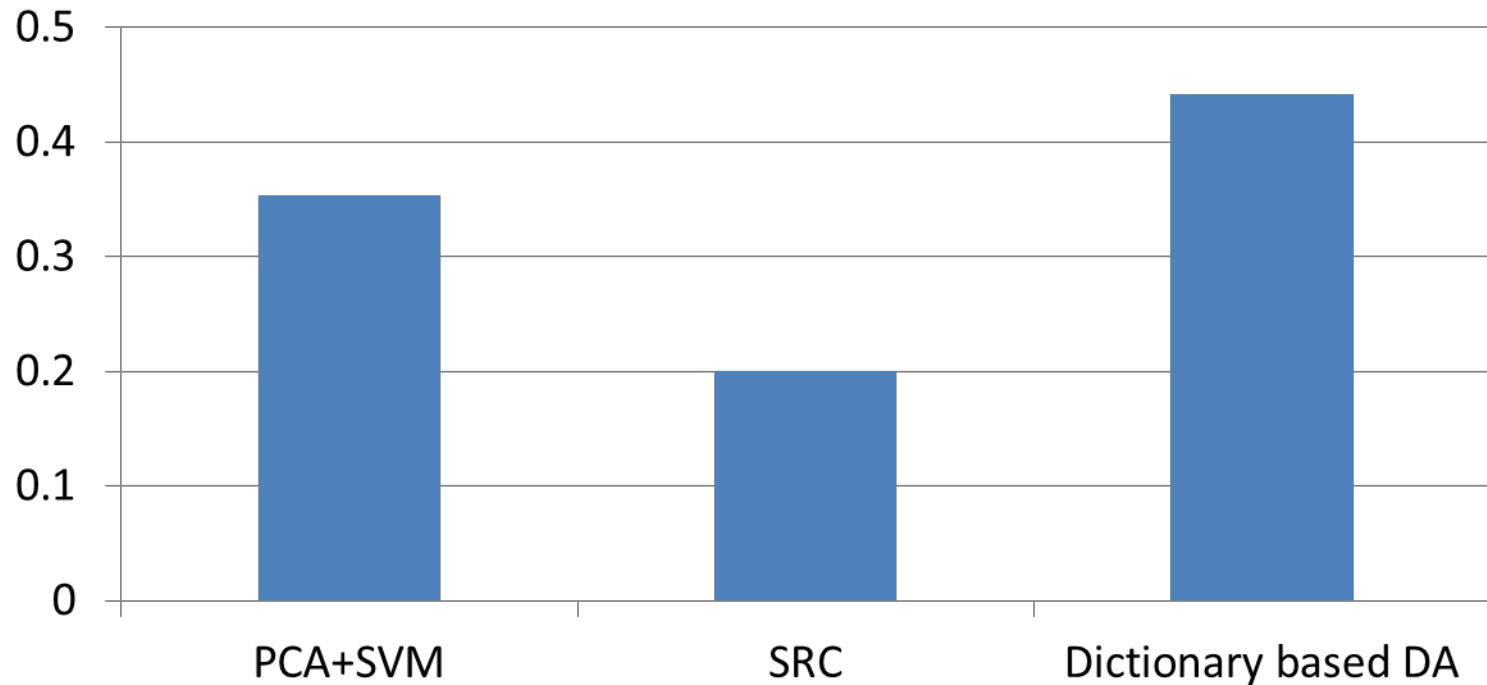
- 75 images from Baltimore dataset as gallery (source domain)



- 150 images from Comcast dataset as probe (target domain).



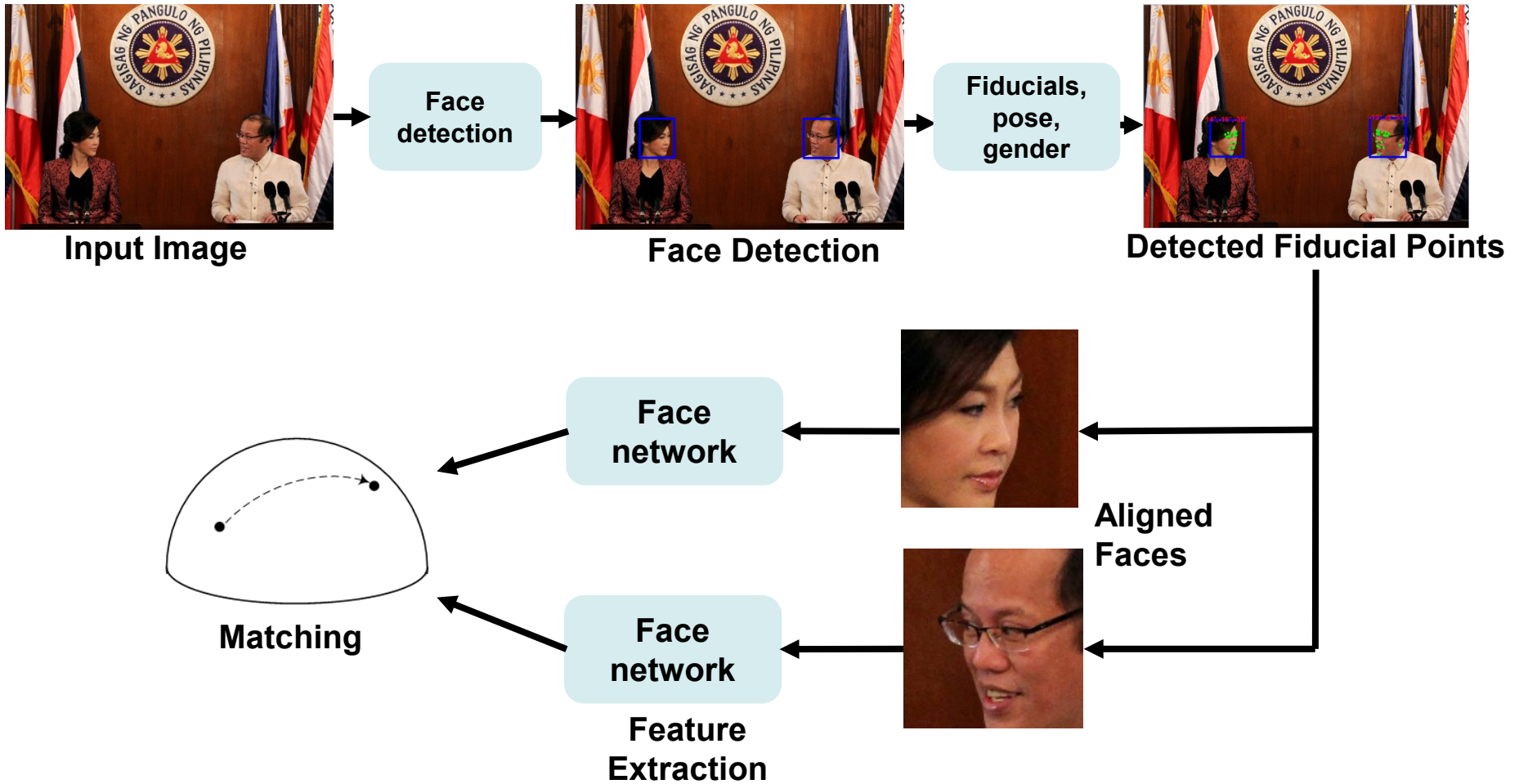
**Rank-1 Recognition Rate**



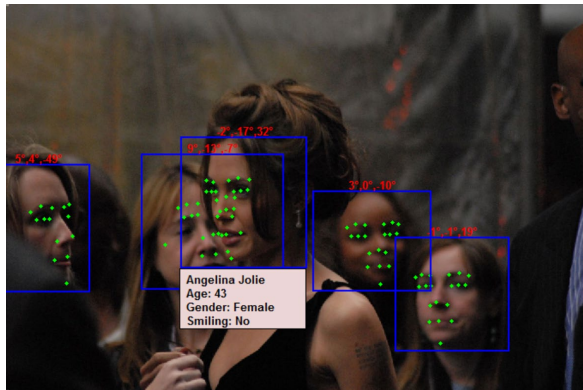
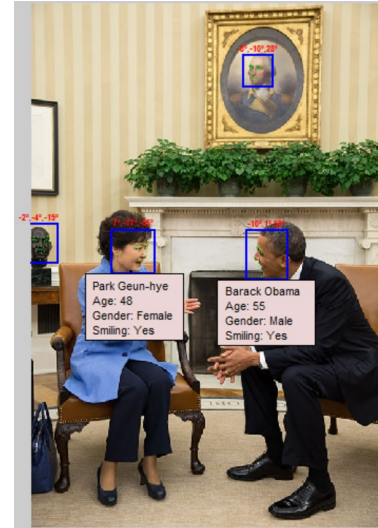
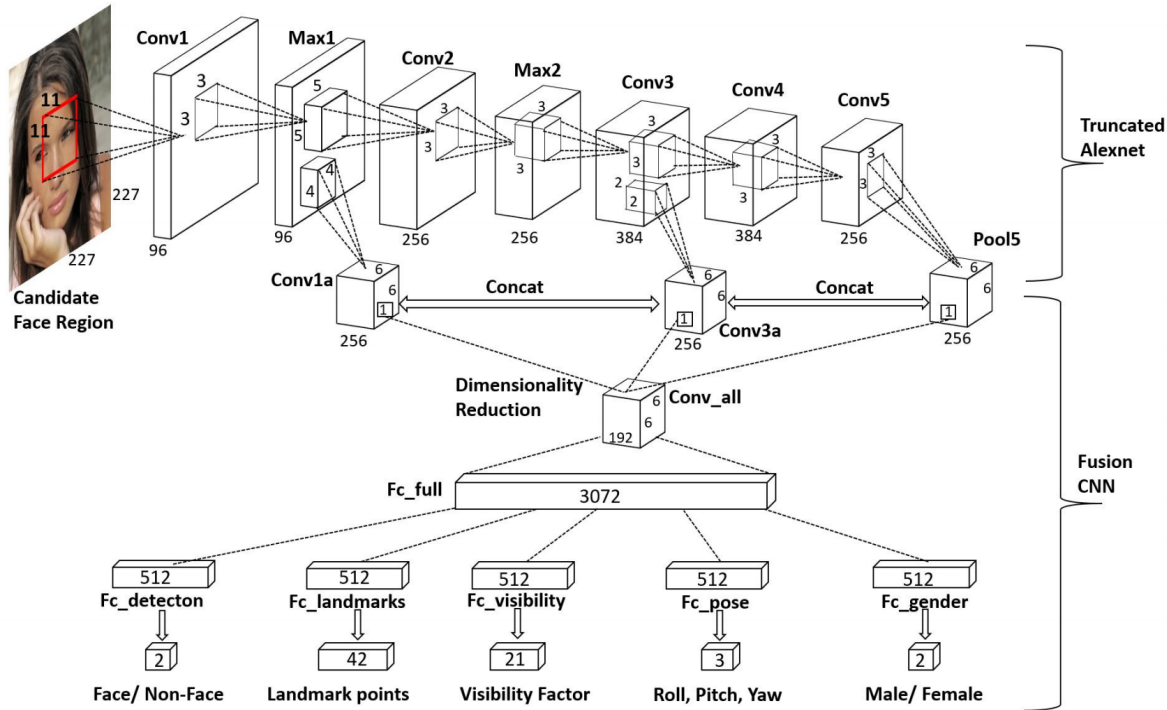
# Unconstrained face recognition

- 2014 – 2018, Supported by IARPA
- UMD (Lead) with CMU, Columbia, JHU, UB, UCCS, UTD.
- Multi-task learning in deep networks
  - Face and gender detection, pose and age estimation, fiducial extraction
- Network of networks
  - Fusion of short and tall networks
- Current template size is 384 floats (1536 bytes or 12288 bits)
  - Hashing reduces size to 3072 bits
- State-of-the art performance on face verification, search, clustering tasks using relatively small training data set.
- Implications to forensics (Collaborations with Jonathon Phillips, and Alice O'Toole) – Proc. National Academy of Sciences, May 28, 2018.
- 2019-2020, transition phase with Columbia, JHU and UT Dallas.

# An end-to-end system for unconstrained face verification



# Hyperface architecture





# Performance: IJB-C datasets

- The IJB-C evaluation dataset [2] further extends IJB-B. It contains 31, 334 still images and 117, 542 video frames of 3,531 subjects. In addition to the evaluations from IJB-B, this dataset evaluates end-to-end recognition which is the 1:N wild probe. There are about 20, 000 genuine comparisons, and about 15.6 million impostor pairs in the verification protocol. For the 1:N mixed search protocol, there are about 20, 000 probe templates.

[1] C. Whitelam, E. Taborsky, A. Blanton, B. Maze, J. C. Adams, T. Miller, N. D. Kalka, A. K. Jain, J. A. Duncan, K. Allen et al., “IARPA Janus Benchmark-B face dataset,” in CVPR Workshops, 2017, pp. 592–600.

[2] B. Maze, J. Adams, J. A. Duncan, N. Kalka, T. Miller, C. Otto, A. K. Jain, W. T. Niggel, J. Anderson, J. Cheney et al., “IARPA Janus Benchmark–C: Face dataset and protocol,” in 11th IAPR International Conference on Biometrics, 2018.

# UMD-Janus: Results (IJB-C 1:1 Verification)

IJB-C dataset contains 3,548 subjects with 21,295 still images and 117542 video frames sampled from 11,799 videos in addition to 10,044 non-face images as distractors.

	(True Accept Rate % @ False Accept Rate)							
	$10^{-8}$	$10^{-7}$	$10^{-6}$	$10^{-5}$	$10^{-4}$	$10^{-3}$	$10^{-2}$	$10^{-1}$
Center Loss	36.0	37.6	66.1	78.1	85.3	91.2	95.3	98.2
MN-vc	-	-	-	-	86.2	92.7	96.8	98.9
SENet50+DCN	-	-	-	-	88.5	94.7	<b>98.3</b>	<b>99.8</b>
ArcFace	-	-	<b>85.4</b>	<b>92.8</b>	<b>95.6</b>	<b>97.2</b>	98.0	98.8
UMD <sub>A</sub>	16.5	19.5	43.6	77.6	91.9	95.6	97.8	99.0
UMD <sub>R</sub>	<b>60.6</b>	<b>67.4</b>	76.4	86.2	91.9	95.7	97.9	99.2
UMD <sub>(Fused)</sub>	54.1	55.9	69.5	86.9	92.5	95.9	97.9	99.2

# UMD-Janus: Results (IJB-C 1:N Identification)

	TPIR % @ FPIR (G1,G2)		Retrieval Rate (%) (G1,G2)		
	0.01	0.1	Rank=1	Rank=5	Rank=10
Center Loss	79.1, 75.3	86.4, 84.2	91.7, 89.8	94.6, 93.6	95.6, 94.9
UMD <sub>A</sub>	87.7, 82.4	93.5, 91.0	95.7, 92.8	97.4, 95.4	97.9, 96.4
UMD <sub>R</sub>	88.0, 84.2	93.2, 90.6	95.9, 93.2	97.6, 96.1	98.1, <b>97.0</b>
UMD <sub>(Fused)</sub>	<b>89.6, 85.0</b>	<b>93.8, 91.3</b>	<b>96.2, 93.6</b>	<b>97.7, 96.2</b>	<b>98.2, 96.9</b>

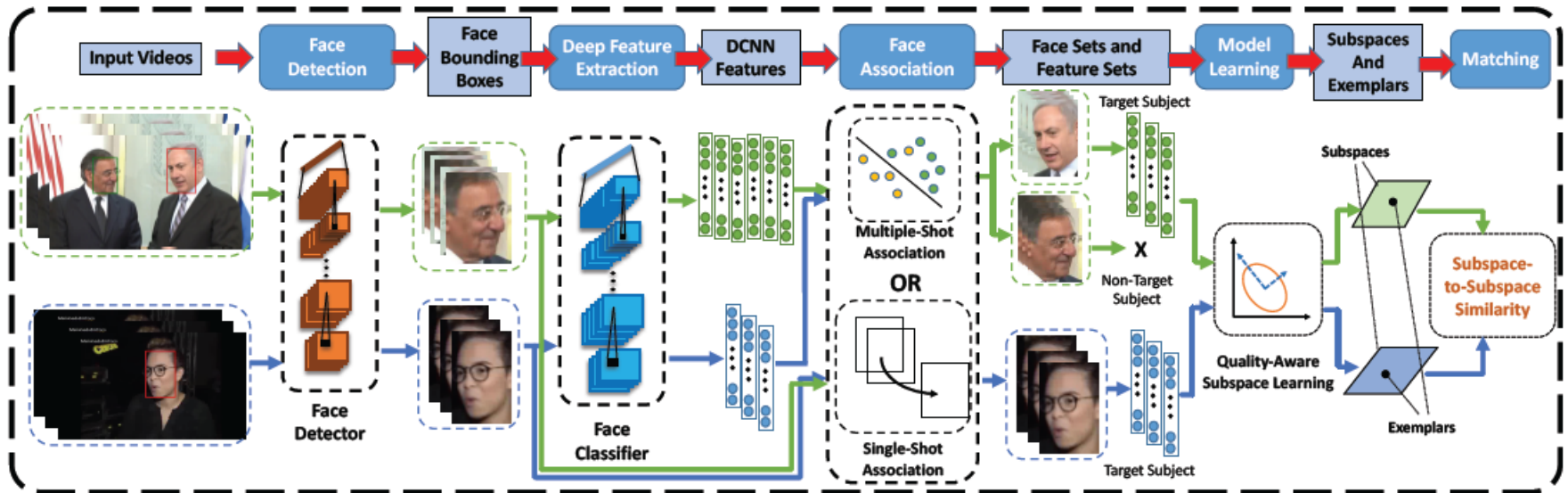
# Unconstrained video-based face recognition

- Recognize the identity of the target face in a video
  - Conventional task: frame-by-frame bounding boxes of the target are given in the single-shot video. (e.g. Youtube Faces dataset, PaSC dataset, etc.)
  - End-to-end face identification tasks for JANUS dataset:
    - Video-template creation
    - Open-set face identification



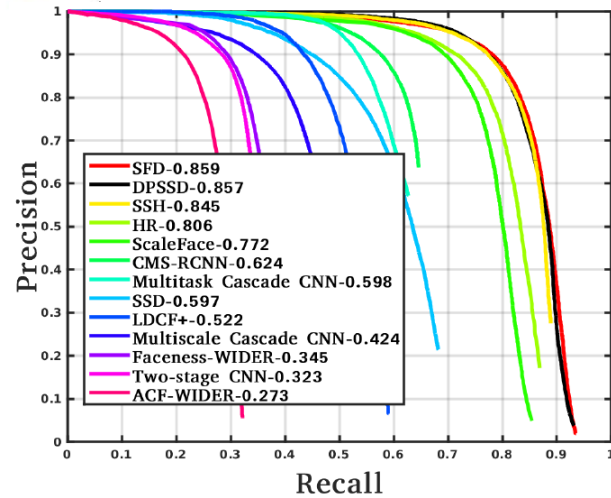
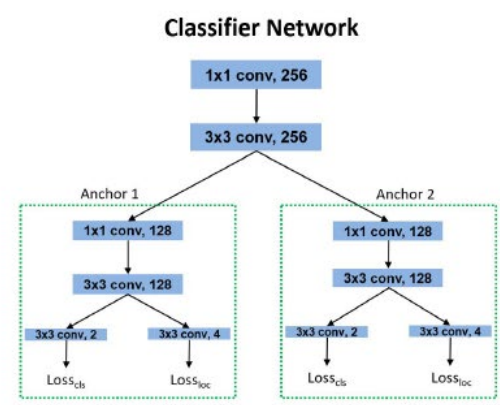
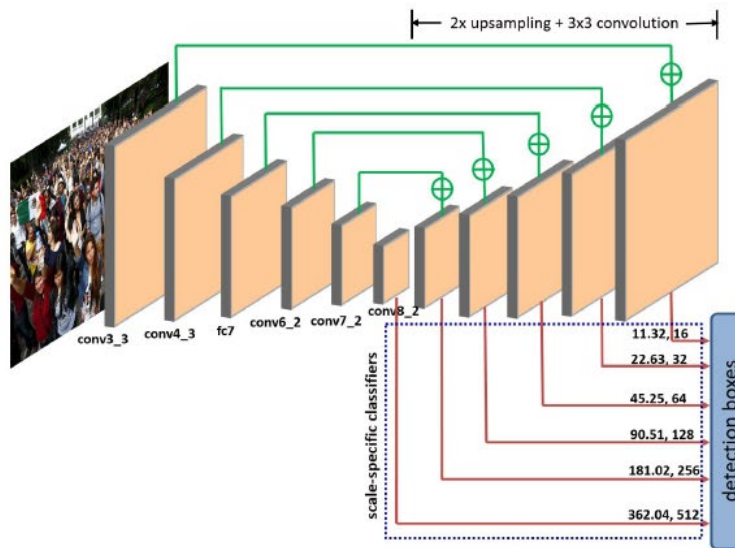
CS6 (single-shot surveillance Videos)

# Video-based face recognition pipeline



- Jingxiao Zheng, Rajeev Ranjan, Ching-Hui Chen, Jun-Cheng Chen, Carlos D. Castillo, and Rama Chellappa. "An Automatic System for Unconstrained Video-based Face Recognition." IEEE T-BIOM, July 2020.

# Deep pyramid single shot face detector (DPSSD)

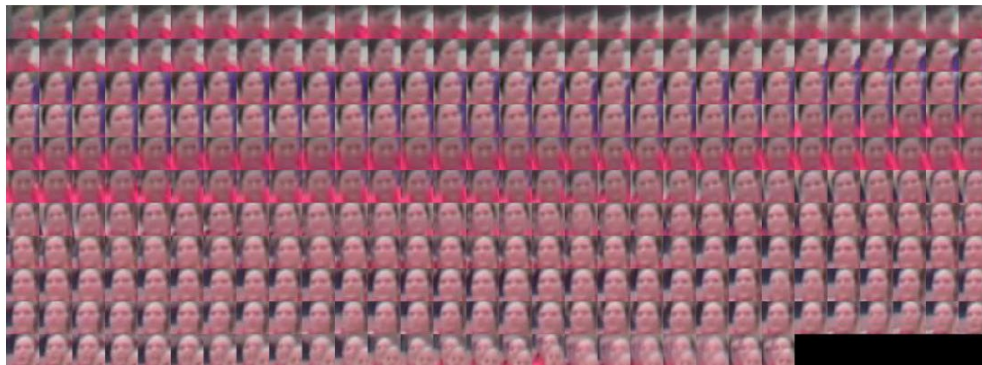


WiderFace  
Hard

- Ranjan, Rajeev, Ankan Bansal, Jingxiao Zheng, Hongyu Xu, Joshua Gleason, Boyu Lu, Anirudh Nanduri, Jun-Cheng Chen, Carlos D. Castillo, and Rama Chellappa. "A Fast and Accurate System for Face Detection, Identification, and Verification." *arXiv preprint arXiv:1809.07586* (2018).

# Face association for single-shot video

- Simple Online and Real-Time Tracking (SORT)
  - Multi-target data association for detected boxes using Kalman filters
  - Leverage the temporal contiguity for the bounding boxes



- Bewley, Alex, Zongyuan Ge, Lionel Ott, Fabio Ramos, and Ben Upcroft. "Simple online and realtime tracking." In *Image Processing (ICIP), 2016 IEEE International Conference on*, pp. 3464-3468. IEEE, 2016.

# Subspace-based representations

- Given deep features  $\mathbf{Y}$ , we learn the subspace representation  $\mathbf{P}$  by
- Subspace Learning (Sub):

$$\underset{\mathbf{P}, \mathbf{X}}{\text{minimize}} \quad \|\mathbf{Y} - \mathbf{P}\mathbf{X}\|_F^2 \quad s.t. \quad \mathbf{P}^T \mathbf{P} = \mathbf{I}$$

- Quality-aware Subspace Learning (QSub):

$$\underset{\mathbf{P}, \mathbf{X}}{\text{minimize}} \quad \sum_{i=1}^N \tilde{d}_i \|\mathbf{y}_i - \mathbf{P}\mathbf{x}_i\|_2^2 \quad s.t. \quad \mathbf{P}^T \mathbf{P} = \mathbf{I}$$

Normalized Detection  
Confidence as face  
quality indicator



0.762



0.474



0.999



0.989

Examples of faces  
with detection  
probability.



# Similarity metrics

- The similarity metrics between two sets of deep representations  $\mathbf{Y}_1$  and  $\mathbf{Y}_2$ :
  - Projection Metric (PM)

$$s_{PM}(\mathbf{P}_1, \mathbf{P}_2) = \sqrt{\frac{1}{r} \sum_{k=1}^r \cos^2 \theta_k} = \sqrt{\frac{1}{r} \|\mathbf{P}_1^T \mathbf{P}_2\|_F^2}$$

Principle angles  
between bases

- Variance-aware Projection Metric (VPM)

$$S_{VPM}(\mathbf{P}_1, \mathbf{P}_2) = \sqrt{\frac{1}{r} \sum_{k=1}^r \alpha^2(\lambda_{1k}) \alpha^2(\lambda_{2k}) \cos^2 \theta_k} = \sqrt{\frac{1}{r} \|\tilde{\mathbf{P}}_1^T \tilde{\mathbf{P}}_2\|_F^2}$$

where  $\tilde{\mathbf{P}}_i = \mathbf{P}_i \text{diag}\{\alpha(\lambda_{ik})\}$

Eigenvalues in PCA

# Similarity metrics - 2

3. Cosine similarity (Cos):

$$s_{cos}(\mathbf{Y}_1, \mathbf{Y}_2) = \frac{\mathbf{e}_1^T \mathbf{e}_2}{\|\mathbf{e}_1\|_2 \|\mathbf{e}_2\|_2}$$

4. Quality-aware cosine similarity (QCos):

$$s_{Qcos}(\mathbf{Y}_1, \mathbf{Y}_2) = \frac{\mathbf{e}_{D1}^T \mathbf{e}_{D2}}{\|\mathbf{e}_{D1}\|_2 \|\mathbf{e}_{D2}\|_2}$$

5. Combining the quality-aware subspace learning, quality-aware average pooling and variance-aware projection metric, the overall similarity is

$$s(\mathbf{Y}_1, \mathbf{Y}_2) = s_{Qcos}(\mathbf{Y}_1, \mathbf{Y}_2) + \lambda s_{VPM}(\mathbf{P}_{D1}, \mathbf{P}_{D2})$$

# Deep networks for face representation

	Deep networks	
	Rajeev-G1	Ankan-G1
Training Set	MS1M-Curated + UMDFace Still/Videos	MS1M-Curated + UMDFace Still/Videos
Base Architecture	ResNet-101	Inception-ResNet
Loss Function	L2-Softmax	L2-softmax
Embedding	TPE (UMDFace stills)	TPE (UMDFace stills)
Alignment + Box Size	All-in-One Face 224x224	All-in-One Face 299x299

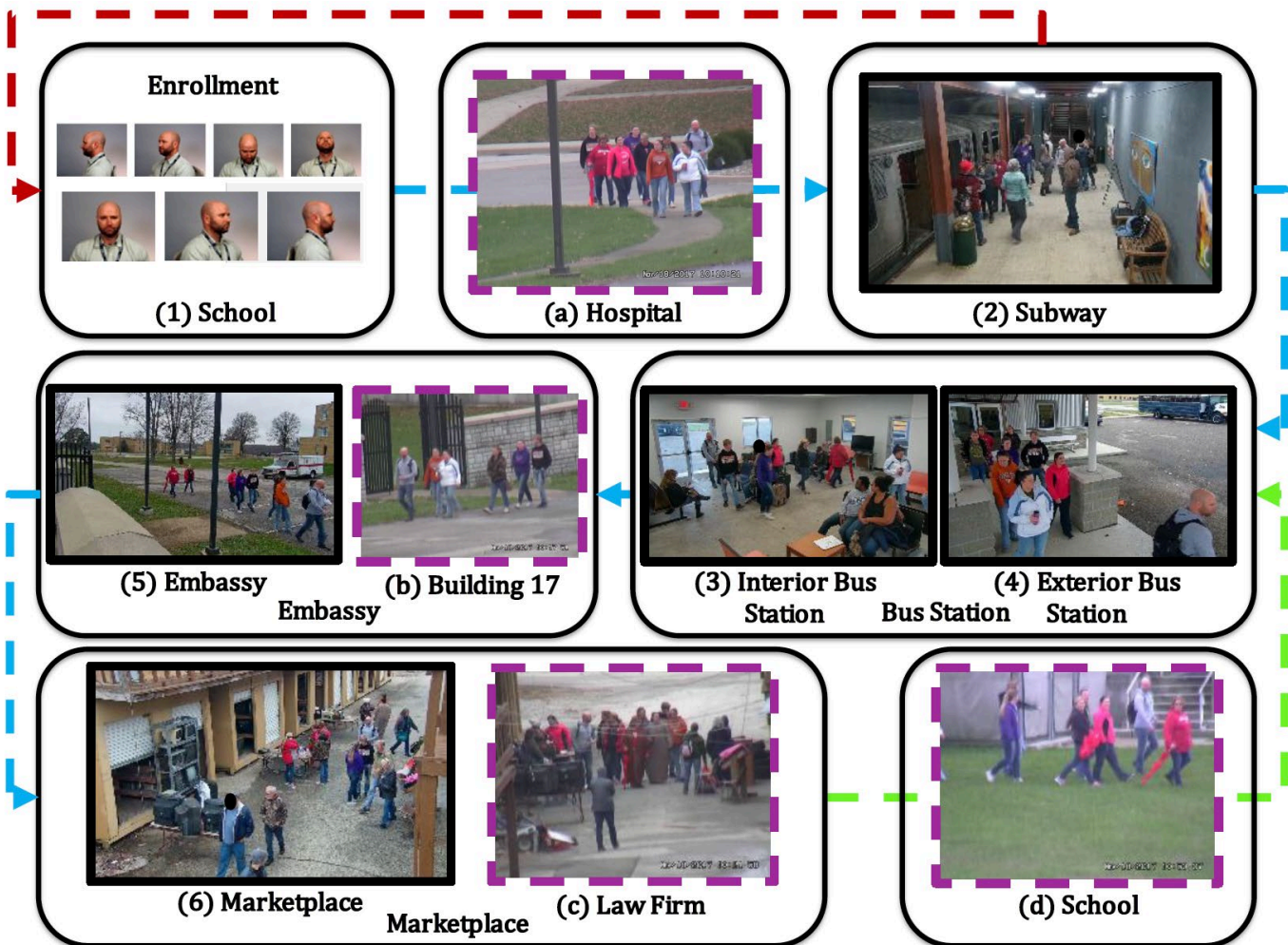
- Ranjan, Rajeev, Ankan Bansal, Hongyu Xu, Swami Sankaranarayanan, Jun-Cheng Chen, Carlos D. Castillo, and Rama Chellappa. "Crystal Loss and Quality Pooling for Unconstrained Face Verification and Recognition." *arXiv preprint arXiv:1804.01159* (2018).
- Ranjan, Rajeev, Ankan Bansal, Jingxiao Zheng, Hongyu Xu, Joshua Gleason, Boyu Lu, Anirudh Nanduri, Jun-Cheng Chen, Carlos D. Castillo, and Rama Chellappa. "A Fast and Accurate System for Face Detection, Identification, and Verification." *arXiv preprint arXiv:1809.07586* (2018).

# System details

- Face Detection
  - Multi-task SSD (Chen *et al.* 2018) for high quality faces,
  - DPSSD (Ranjan *et al.* 2019) for tiny faces.
- Facial Landmark Estimation
  - All-in-One Face (Ranjan *et al.* 2017)
- Face Association
  - SORT tracking for single-shot videos,
  - TFA (Chen *et al.* 2017) association for multi-shot videos.
- ResNet-101 and Inception-ResNet-v2, both trained on the union of MSCeleb-1M, UMDFaces, and UMDFaces Video datasets with the crystal loss.
- Features are further reduced to 128-dimensional by a Triplet Probabilistic Embedding (TPE).

# IARPA JANUS surveillance video benchmark (IJB-S)

- An unconstrained video-based face recognition dataset.
- Galleries: high-resolution still images. Probes: low quality, remotely captured surveillance videos.
- 202 subjects from 1421 images and 398 single-shot surveillance videos.
- We focus on surveillance-to-single , surveillance-to-booking and surveillance-to-surveillance identification protocols.



# Identification results on IJB-S

## Surveillance-to-Single

Methods	Top-K Average Accuracy <b>with Filtering</b>						EERR metric <b>without Filtering</b>					
	R=1	R=2	R=5	R=10	R=20	R=50	R=1	R=2	R=5	R=10	R=20	R=50
Arc-Cos (Deng <i>et al.</i> )	52.03%	56.83%	63.16%	69.05%	76.13%	88.95%	24.45%	26.54%	29.35%	32.33%	36.38%	44.81%
Arc-QCos+QSub-PM	60.92%	65.06%	70.45%	75.19%	80.69%	90.29%	28.73%	30.44%	32.98%	35.40%	38.70%	45.46%
Cos	64.86%	70.87%	77.09%	81.53%	86.11%	93.24%	29.62%	32.34%	35.60%	38.36%	41.53%	46.78%
QCos	65.42%	71.34%	77.37%	81.78%	86.25%	93.29%	29.94%	32.60%	35.85%	38.52%	41.70%	46.78%
Cos+Sub-PM	69.52%	75.15%	80.41%	84.14%	87.83%	94.27%	32.22%	34.70%	37.66%	39.91%	42.65%	47.54%
QCos+Sub-PM	69.65%	75.26%	80.43%	84.22%	87.81%	94.25%	32.27%	34.73%	37.66%	39.91%	42.67%	47.54%
QCos+QSub-PM	<b>69.82%</b>	<b>75.38%</b>	<b>80.54%</b>	<b>84.36%</b>	<b>87.91%</b>	<b>94.34%</b>	<b>32.43%</b>	<b>34.89%</b>	<b>37.74%</b>	<b>40.01%</b>	<b>42.77%</b>	<b>47.60%</b>
QCos+QSub-VPM	69.43%	75.24%	80.34%	84.14%	87.86%	94.28%	32.19%	34.75%	37.68%	39.88%	42.56%	47.50%

## Surveillance-to-Booking

Methods	Top-K Average Accuracy <b>with Filtering</b>						EERR metric <b>without Filtering</b>					
	R=1	R=2	R=5	R=10	R=20	R=50	R=1	R=2	R=5	R=10	R=20	R=50
Arc-Cos (Deng <i>et al.</i> )	54.59%	59.12%	65.43%	71.05%	77.84%	89.16%	25.38%	27.58%	30.59%	33.42%	37.60%	45.05%
Arc-QCos+QSub-VPM	60.86%	65.36%	71.30%	76.15%	81.63%	90.70%	28.66%	30.64%	33.43%	36.11%	39.57%	45.70%
Cos	66.48%	71.98%	77.80%	82.25%	86.56%	93.41%	30.38%	32.91%	36.15%	38.77%	41.86%	46.79%
QCos	66.94%	72.41%	78.04%	82.37%	86.63%	93.43%	30.66%	33.17%	36.28%	38.84%	41.88%	46.84%
Cos+Sub-PM	69.39%	74.55%	80.06%	83.91%	87.87%	<b>94.34%</b>	32.02%	34.42%	37.59%	39.97%	42.64%	<b>47.58%</b>
QCos+Sub-PM	69.57%	74.78%	80.06%	83.89%	87.94%	94.33%	32.16%	34.61%	37.62%	39.99%	42.71%	47.57%
QCos+QSub-PM	69.67%	74.85%	80.25%	84.10%	88.04%	94.22%	32.28%	34.77%	37.76%	40.11%	<b>42.76%</b>	47.57%
QCos+QSub-VPM	<b>69.86%</b>	<b>75.07%</b>	<b>80.36%</b>	<b>84.32%</b>	<b>88.07%</b>	94.33%	<b>32.44%</b>	<b>34.93%</b>	<b>37.80%</b>	<b>40.14%</b>	42.72%	<b>47.58%</b>

# Identification results on IJB-S

## Surveillance-to-Surveillance

Methods	Top-K Average Accuracy <b>with Filtering</b>						EERR metric <b>without Filtering</b>					
	R=1	R=2	R=5	R=10	R=20	R=50	R=1	R=2	R=5	R=10	R=20	R=50
Arc-Cos (Deng <i>et al.</i> )	8.68%	12.58%	18.79%	26.66%	39.22%	68.19%	4.98%	7.17%	10.86%	15.42%	22.34%	37.68%
Arc-QCos+QSub-PM	8.64%	12.57%	18.84%	26.86%	39.78%	<b>68.21%</b>	<b>5.26%</b>	<b>7.44%</b>	<b>11.31%</b>	15.90%	<b>22.68%</b>	<b>37.83%</b>
Cos	8.54%	11.99%	19.60%	28.00%	37.71%	59.44%	4.42%	6.15%	10.84%	15.73%	21.14%	33.21%
QCos	8.62%	12.11%	19.62%	28.14%	37.78%	59.21%	4.46%	6.20%	10.80%	15.81%	21.06%	33.17%
Cos+Sub-PM	8.19%	11.79%	19.56%	28.62%	39.77%	63.15%	4.26%	6.25%	10.79%	16.18%	22.48%	34.82%
QCos+Sub-PM	8.24%	11.82%	19.68%	28.68%	39.68%	62.96%	4.27%	6.25%	10.92%	16.18%	22.39%	34.69%
QCos+QSub-PM	8.33%	11.88%	19.82%	28.65%	39.78%	62.79%	4.33%	6.21%	10.96%	16.19%	22.48%	34.69%
QCos+QSub-VPM	8.66%	12.27%	<b>19.91%</b>	<b>29.03%</b>	<b>40.20%</b>	63.20%	4.30%	6.30%	10.99%	<b>16.23%</b>	22.50%	34.76%



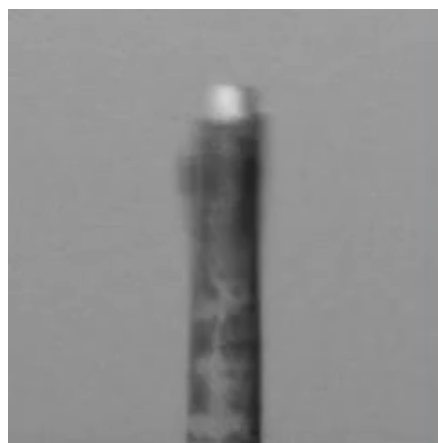
# Face recognition from atmospheric turbulence degraded images

$$\tilde{I}_k = D_k(H_k(I)) + n_k,$$

where  $\tilde{I}_k$  is the observed distorted images,  $I$  is the latent clear image,  $H_k$  is a space-invariant point spread function (PSF),  $D_k$  is the deformation operator, which is assumed to deform randomly and  $n_k$  is the sensor noise.

- Turbulence degraded images: effects of the turbulent flow of air and changes in temperature, density of air particles, humidity and carbon dioxide level, the captured image is blurry and deformed due to variations in the refractive index.
- Decreases the visual quality and the performance of different computer vision tasks such as object detection and face recognition.

# Typical examples



# Problem formulation

$$\tilde{I} = D(H(I)) + n,$$

- A more challenging and practical setting: one frame is available to reconstruct the latent clean image
- Build a restoration function  $G$  to restore the distorted face image, i.e.  $G(\tilde{I}) = I$
- The **Wasserstein GAN with gradient penalty** is employed.
- Denote blurry image and deformed image as  $I_b$  and  $I_d$  respectively.  
Build a deblur function  $G_d$  and a deformation correction  $G_b$  to remove undesired blur and deformation, i.e.  $G_d(\tilde{I}) = I_d$ ,  $G_b(\tilde{I}) = I_b$  and  $G(\tilde{I}) = G_d(G_b(\tilde{I})) = I$ .
- Then the **turbulence is decomposed into blur and deformation**.
- The "mixing" of deformation and blur in realistic turbulence face images is very fast and we could not be sure whether deformation precedes blur or blur precedes deformation.
- **Commutative constraint** is enforced, i.e.  $D(H(I)) = H(D(I)) = \tilde{I}$ .

# Data augmentation

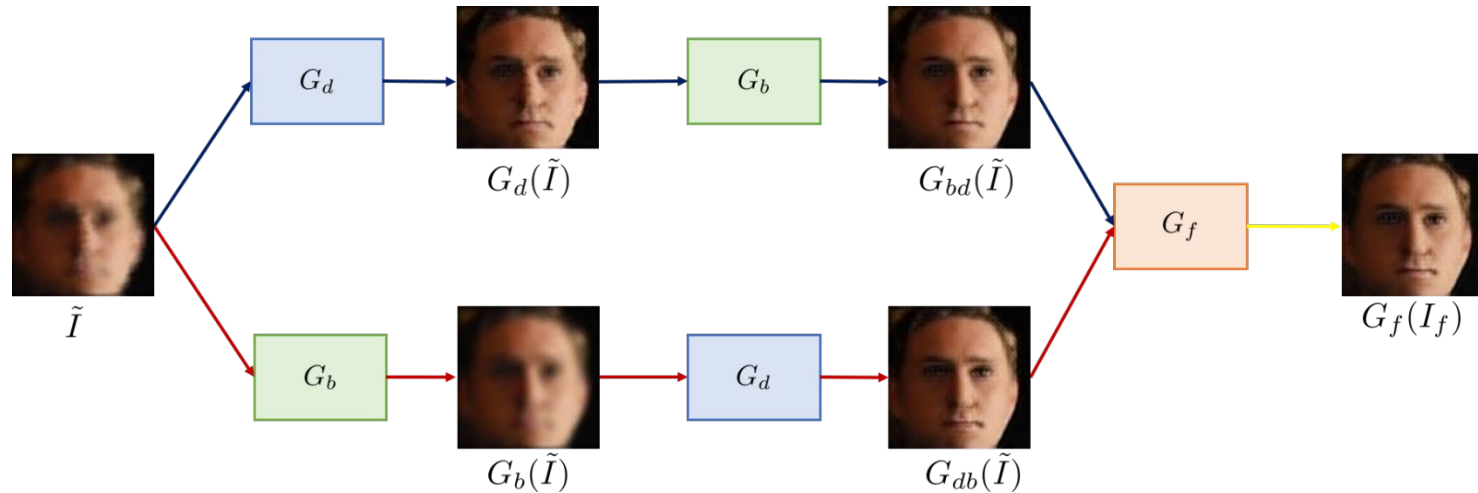
- 10000 aligned face images are picked from UMDFaces
- Use Gaussian blurring kernel with different variance as H
- Construct D as follows:

$M$  points are selected in a face image  $I$ . For each point  $(x, y)$ , a  $N \times N$  patch  $P_{x,y}^N$  centered at  $(x, y)$  is considered. A random motion vector field  $V_{x,y}$  is obtained in  $P_{x,y}^N$ . Mathematically,

$$V_{x,y} = \eta(G_\sigma * \mathcal{N}_1, G_\sigma * \mathcal{N}_2),$$

where  $G_\sigma$  is the Gaussian kernel with standard deviation  $\sigma$ ,  $\eta$  is the strength value,  $\mathcal{N}_1$  and  $\mathcal{N}_2$  are randomly selected from a Gaussian distribution. The overall motion vector field is generated after  $M$  iterations as follows,  $V = \sum_{i=1}^M V_{(x,y)_i}$ . Then this motion vector field would be our deformation operator  $D$  as  $D(I) = I \boxplus V$ , where  $\boxplus$  is the warping operator.

# Proposed method



- Using Wasserstein GAN with gradient penalty
- Split the turbulence degradation due to blur and deformation in the training stage  
Introduce deblur function  $G_d$  and deformation correction function  $G_b$ .
- Enforce novel constraint: commutative constraint:  
Denote  $G_{bd} = G_b \circ G_d$  and  $G_{db} = G_d \circ G_b$ . Mathematically,  $G(\tilde{I}) = G_f(I_f)$ , where  $G_f$  is a image fusion function and  $I_f$  is the pixelwise average of the restored image pair  $(G_{bd}(\tilde{I}), G_{db}(\tilde{I}))$ .

# Loss functions

- **Content Loss:**  $\mathcal{L}_{con} = \|G_b(\tilde{I}) - I_b\|_2^2 + \|G_d(\tilde{I}) - I_d\|_2^2$
- **Commutative Constraint:**  $\mathcal{L}_{cc} = \|G_{db}(\tilde{I}) - I\|_2^2 + \|G_{bd}(\tilde{I}) - I\|_2^2$
- **Fusion Loss:**  $\mathcal{L}_f = \|G_f(I_f) - I\|_2^2$
- **Adversarial Loss:**

$$\mathcal{L}_{Dis}^{\mathcal{I}_i} = \mathbb{E}_{\tilde{I} \sim \tilde{\mathcal{I}}} [D_i(G_i(\tilde{I}))] - \mathbb{E}_{I_i \sim \mathcal{I}_i} [D_i(I_i)] + \lambda_{WGAN} \cdot \mathbb{E}_{\hat{I}_i \sim \hat{\mathcal{I}}_i} [(\|\nabla_{\hat{I}_i} D_i(\hat{I})\|_2 - 1)^2],$$

$$\mathcal{L}_{Dis}^{\mathcal{I}_j} = \mathbb{E}_{I_j \sim \mathcal{I}_j} [D_f(G_j(I_j))] - \mathbb{E}_{I \sim \mathcal{I}} [D_f(I)] + \lambda_{WGAN} \cdot \mathbb{E}_{\hat{I}_j \sim \hat{\mathcal{I}}_j} [(\|\nabla_{\hat{I}_j} D_f(\hat{I})\|_2 - 1)^2],$$

$$\mathcal{L}_{Gen}^{\mathcal{I}_k} = -\mathbb{E}_{I_k \sim \mathcal{I}_k} [D_k(G_k(I_k))],$$

where  $\hat{\mathcal{I}}_i$  is the distribution obtained by randomly interpolating between real images  $I_i$  and restored images  $G_i(\tilde{I})$ ,  $i \in \{b, d\}$ ,  $j \in \{bd, db, f\}$  and  $k \in \{b, d, bd, db, f\}$ . For convenience of notation,  $I_{bd} = I_{db} = \tilde{I}$ ,  $\mathcal{I}_{bd} = \mathcal{I}_{db} = \tilde{\mathcal{I}}$  and  $D_{bd} = D_{db} = D_f$ .
- **Perceptual Loss:**

$$\mathcal{L}_p^{\mathcal{I}_i} = \|\phi_l(G_i(\tilde{I})) - \phi_l(I_i)\|_2^2, \quad i \in \{b, d\}, \quad \mathcal{L}_p^{\mathcal{I}_j} = \|\phi_l(G_j(I_j)) - \phi_l(I)\|_2^2, \quad j \in \{bd, db, f\},$$

where  $\phi_l(\cdot)$  is the features of the  $l^{\text{th}}$  layer of a pretrained CNN.
- **Full Loss Function:**  $\mathcal{L} = \mathcal{L}_{adv} + \lambda_{con} \mathcal{L}_{con} + \lambda_{cc} \mathcal{L}_{cc} + \lambda_f \mathcal{L}_f + \lambda_p \mathcal{L}_p$

# Results



- The first row is the synthetic atmospheric turbulence degraded images. The second row is the corresponding restored images. The third row is the latent ground truth images

# Ablation study

Table 1: Ablation study tested with LFW dataset

Method	One generator	Decompose into two generators	Add commutative constraint	Add Perceptual loss
PSNR	25.09	25.21	25.50	<b>26.53</b>
SSIM	0.882	0.878	0.886	<b>0.908</b>



Figure 3: Ablation study. (a) is the distorted image and (f) is the sharp image. (b) only contains one generator. (c) is split into  $G_d$  and  $G_b$ . (d) adds the commutative constraints and (f) adds perceptual loss.



# Qualitative and quantitative evaluation

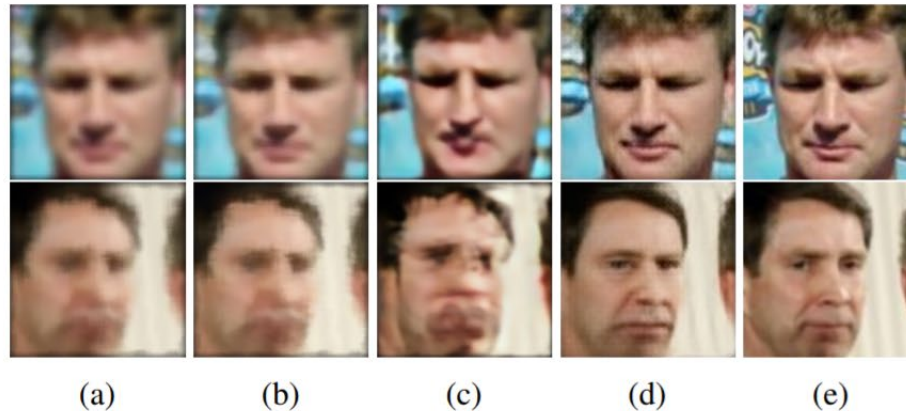


Figure 4: Visual performance comparison with state-of-the-art methods. (a) is the distorted image. (b) Kupyn et al. [17]. (c) Shen et al. [32]. (d) Ours. (e) Groundtruth.

Table 2: Quantitative performance comparison with state-of-the-art methods on LFW dataset

Distorted		Kupyn et al. [17]		Shen et al. [32]		Ours	
PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM
24.17	0.878	23.89	0.867	19.60	0.768	<b>26.53</b>	<b>0.908</b>

Table 3: Face verification results on the LFW dataset.

Method	Sharp	Distorted	Kupyn et al. [17]	Shen et al. [32]	Ours
Accuracy	0.998	0.726	0.783	0.647	<b>0.799</b>

# Performance of the disentangled representation

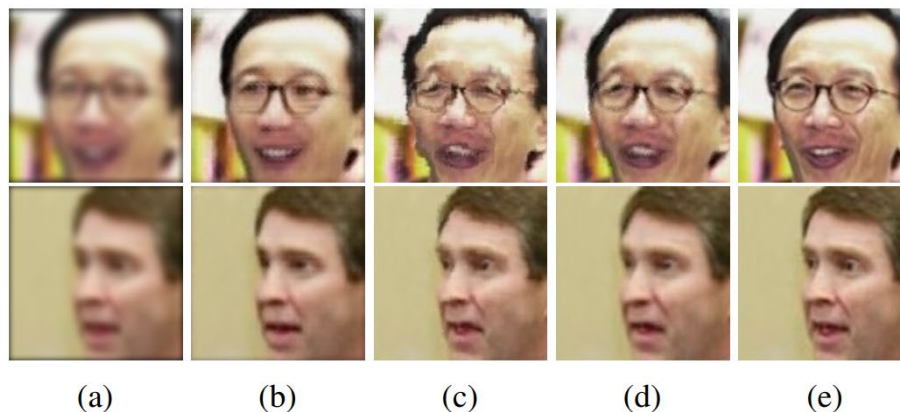


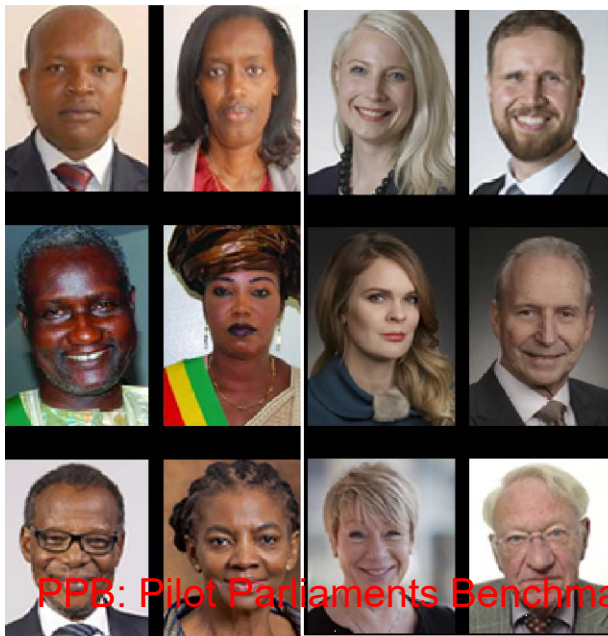
Figure 5: Visual performance comparison of the deblur function  $G_d$  and deformation correction  $G_b$  with the LFW dataset. (a) Blurry image. (b) Restored image of (a) by  $G_d$ . (c) Deformed image. (d) Restored image of (c) by  $G_b$ . (e) Groundtruth.

Table 4: PSNR, SSIM and face verification results for LFW dataset with  $G_b$  and  $G_d$ .

	$I_b$	$I_d$	$G_d(I_b)$	$G_b(I_d)$
PSNR	25.33	29.78	<b>28.72</b>	<b>29.93</b>
SSIM	0.895	0.958	<b>0.931</b>	<b>0.961</b>
Accuracy	0.793	0.649	<b>0.817</b>	<b>0.809</b>

# Bias in gender classification

The PPB dataset  
AFRICAN SCANDINAVIAN



PPB: Pilot Parliaments Benchmark

6.3%



20.8%



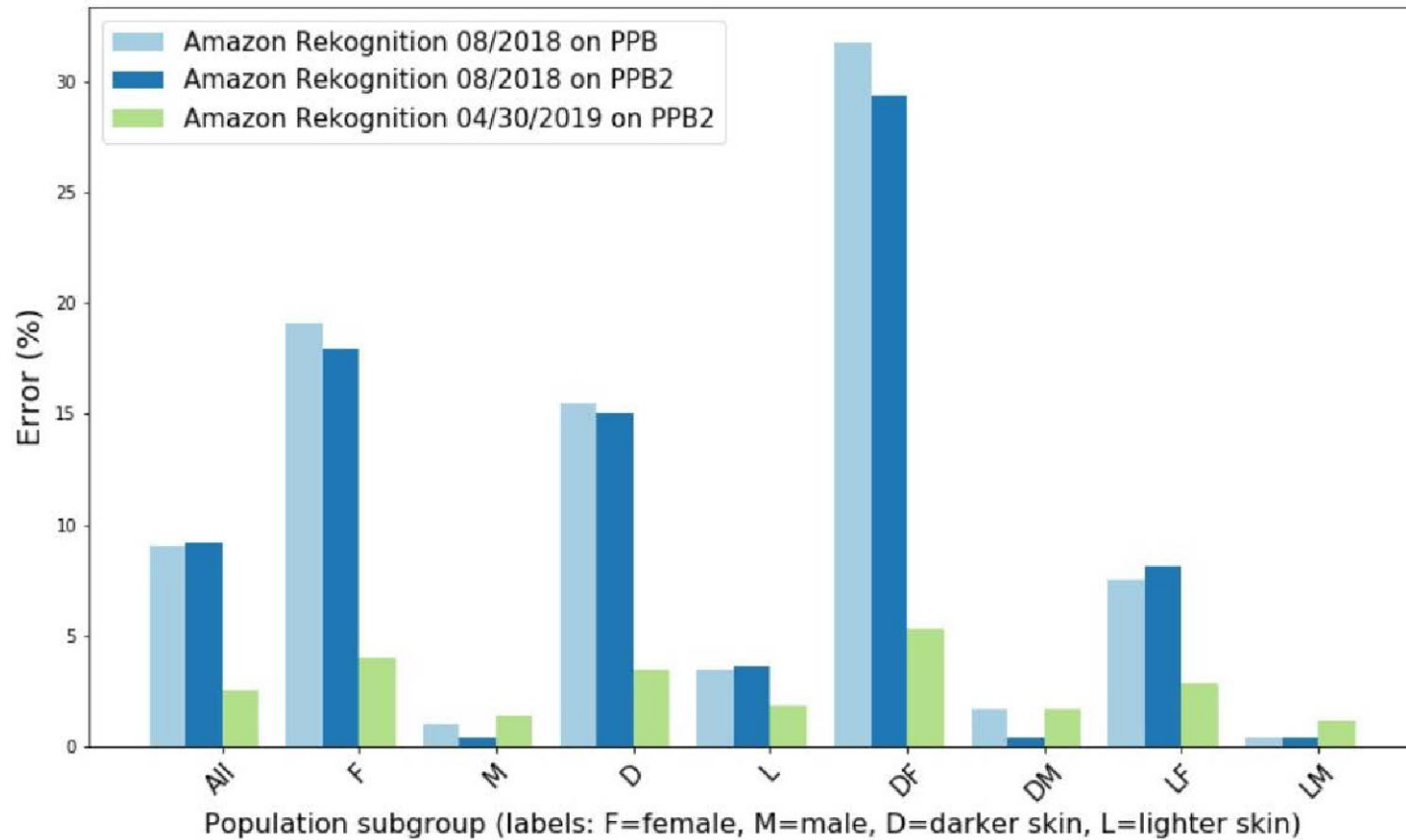
Classifier	Metric	All	F	M	Darker	Lighter	DF	DM	LF	LM
<b>A</b>	PPV(%)	93.7	89.3	97.1	87.1	99.3	79.2	91.0	98.3	<b>100</b>
	Error Rate(%)	<b>6.3</b>	10.7	2.6	12.9	0.7	<b>20.8</b>	6.0	1.7	0.0
	TPR (%)	93.7	96.5	91.7	87.1	99.3	92.1	83.7	<b>100</b>	98.7
	FPR (%)	6.3	8.3	3.5	12.9	0.7	<b>16.3</b>	7.9	1.3	0.0
<b>B</b>	PPV(%)	90.0	78.7	99.3	83.5	95.3	65.5	<b>99.3</b>	94.0	99.2
	Error Rate(%)	10.0	21.3	0.7	16.5	4.7	<b>34.5</b>	0.7	6.0	0.8
	TPR (%)	90.0	98.9	85.1	83.5	95.3	98.8	76.6	<b>98.9</b>	92.9
	FPR (%)	10.0	14.9	1.1	16.5	4.7	<b>23.4</b>	1.2	7.1	1.1
<b>C</b>	PPV(%)	87.9	79.7	94.4	77.6	96.8	65.3	88.0	92.9	<b>99.7</b>
	Error Rate(%)	12.1	20.3	5.6	22.1	3.2	<b>34.7</b>	12.0	7.1	0.3
	TPR (%)	87.9	92.1	85.2	77.6	96.8	82.3	74.8	<b>99.6</b>	94.8
	FPR (%)	12.1	14.8	7.9	22.4	3.2	<b>25.2</b>	17.7	5.20	0.4

GenderShades.Org

[Buolamwini & Gebru 2018]

[Buolamwini 2018]

Gender Classification Error Rates on PPB dataset  
Test Date: 05/01/2019



# Why are face recognition systems biased?

- Imbalanced training datasets [Albiero et. al, IJCB 2020]
- Use of cosmetics (gender-bias) [Albiero et. al, WACV-W 2020]
- Gendered Hairstyles (gender-bias) [Albiero et. al, BMVC 2020]
- Implicit encoding of gender and skin tone in face recognition features [Hill et. al, Nature MI 2019; Dhar et. al, FG 2020]

# Balancing does not work

- Several papers have experimentally verified that training a network on a balanced dataset does not mitigate bias

Albiero et. al, IJCB 2020

**How Does Gender Balance In Training Data Affect Face Recognition Accuracy?**

Vitor Albiero, Kai Zhang and Kevin W. Bowyer  
University of Notre Dame  
Notre Dame, Indiana  
{valbiero, kzhang4, kwb}@nd.edu

Wang et. al, ICCV 2019

**Balanced Datasets Are Not Enough:  
Estimating and Mitigating Gender Bias in Deep Image Representations**

Tianlu Wang<sup>1</sup>, Jieyu Zhao<sup>2</sup>, Mark Yatskar<sup>3</sup>, Kai-Wei Chang<sup>2</sup>, Vicente Ordonez<sup>1</sup>  
<sup>1</sup>University of Virginia, <sup>2</sup>University of California Los Angeles,  
<sup>3</sup>Allen Institute for Artificial Intelligence  
tianlu@virginia.edu, jyzhao@cs.ucla.edu, marky@allenai.org,  
kwchang@cs.ucla.edu, vicente@virginia.edu

“...we show that balanced datasets do not lead to unbiased predictions...”

“... there is little if any empirical support for the premise that training with a gender-balanced training set will result in gender-balanced accuracy on a test set.”

# Why doesn't balancing work?

- We cannot completely 'balance' a dataset.
- Even if the training dataset has equal number of male and female identities, we cannot control the appearance variation in both genders.
- Appearance variation can be affected by yaw (pose), image quality, lighting etc.
- Building a dataset where the lighting, pose, expression etc. is exactly same for males and females is not feasible.

# How are attributes expressed in face DCNNs? (Dhar et. al, FG 2020)

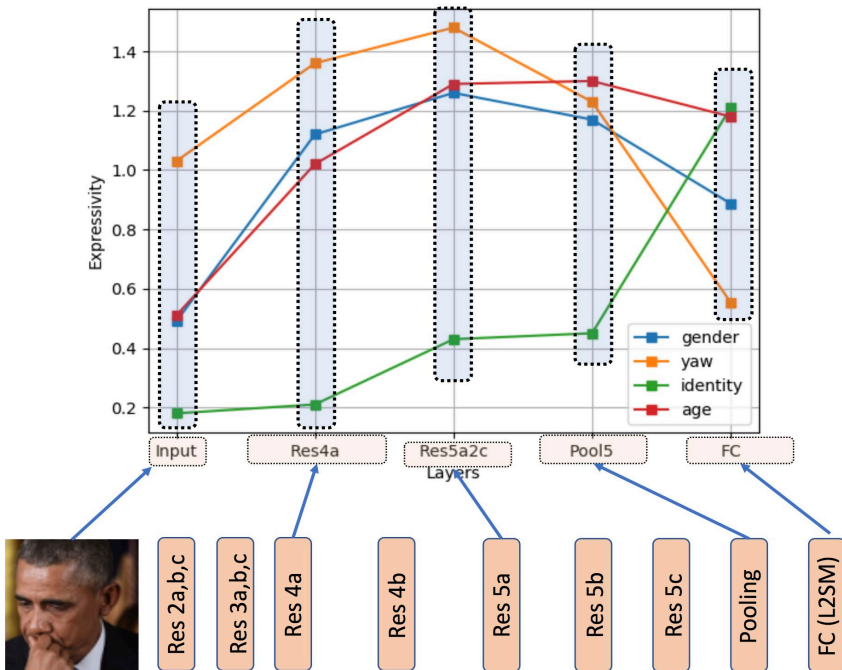
- Face recognition networks are trained to classify identities.
- However, if we train an MLP network to classify gender using features extracted from a trained network, we obtain a very high accuracy.
- High predictability → Implicit encoding of sensitive attributes



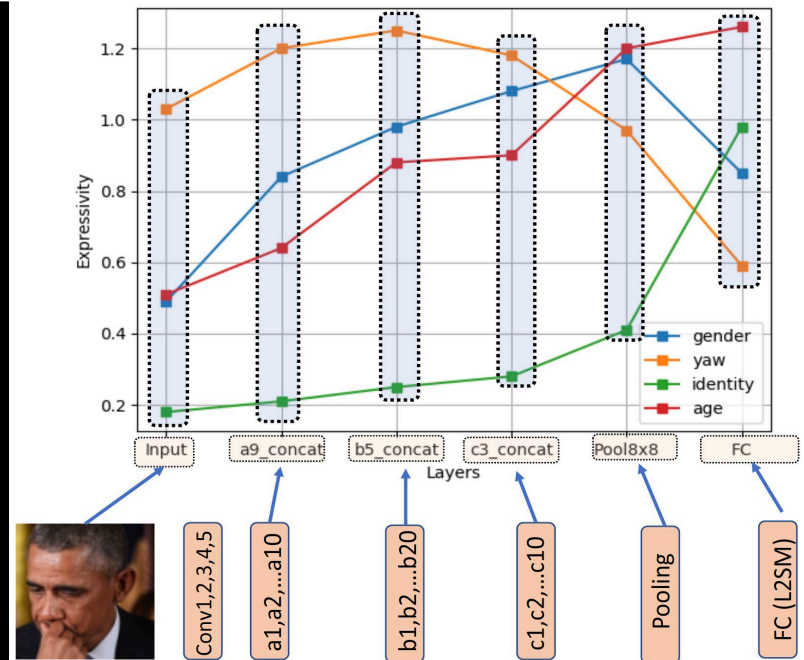
# Expressivity of attributes

- *Expressivity of an entity* = the *ease* with which that entity can be predicted using a given set of features.
- We compute expressivity of facial attributes (yaw, age, gender, identity\* ) in a given set of face descriptors.
- To compute expressivity, we approximate the mutual information (MI) between features and attributes, by using an existing approach called Mutual Information Neural Estimation (MINE) [Belghazi et. al, ICML 2018].

# Expressivity of yaw, gender and age



Crystalface  
(Resnet-101)



Inception  
Resnet-v2

# Key takeaways

- Face recognition features implicitly encode attributes like yaw, gender and age.
- During the training process, the expressivity of identity increases while that of yaw, gender and age decreases, thus showing that *un-learning is a part of learning*. Expressivity of yaw, especially, decreases very rapidly.
- Rate of un-learning: **Age < Gender < Yaw** (opposite to the order of attribute-wise relevance)

# Adversarial removal of sensitive attributes

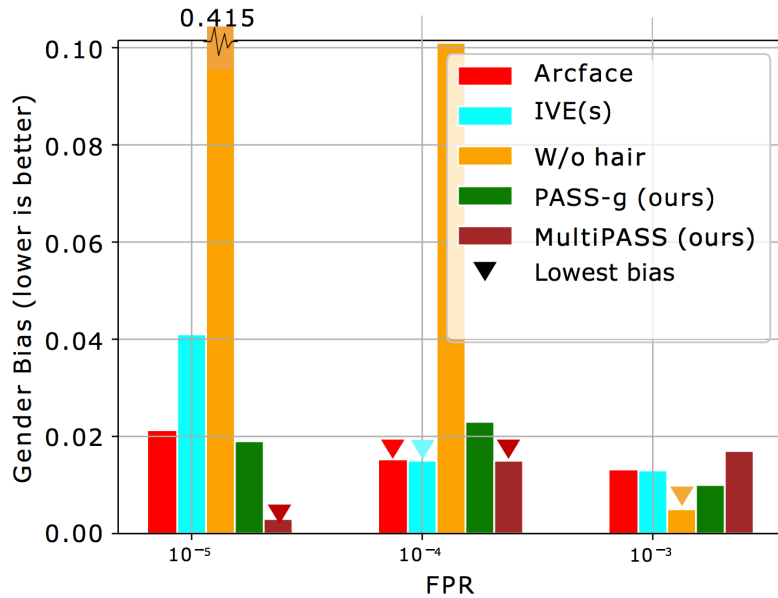
- Implicit encoding of attributes may result in networks demonstrating bias in face recognition.
- Potential solution: Train networks to classify identities, while adversarially removing sensitive attributes
- P. Dhar, A. Roy, J. Gleason, C.D. Castillo and R. Chellappa, "PASS: Protected Attribute Suppression System for Mitigating Bias in Face Recognition", ICCV 2021.

# Bias-performance Tradeoff

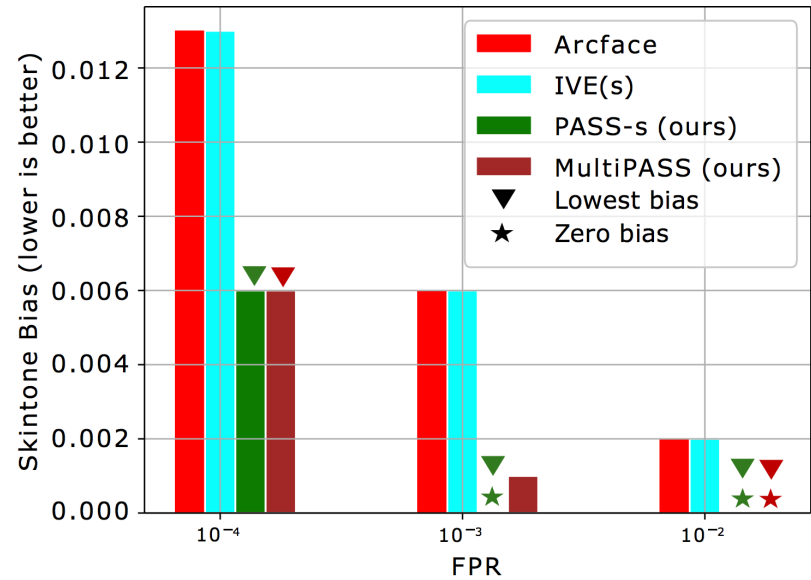
- Most adversarial de-biasing systems demonstrate a drop in face verification performance.
- An ideal face recognition system should demonstrate high bias reduction and low drop in performance.
- To measure this tradeoff between reduction in bias and drop in verification performance, we propose a new metric called Bias Performance Coefficient:

$$\text{BPC}^{(F)} = \frac{\text{Bias}^{(F)} - \text{Bias}_{deb}^{(F)}}{\underbrace{\text{Bias}^{(F)}}_{\% \text{ drop in bias}}} - \frac{\text{TPR}^{(F)} - \text{TPR}_{deb}^{(F)}}{\underbrace{\text{TPR}^{(F)}}_{\% \text{ drop in TPR}}}$$

# Results (Arcface)

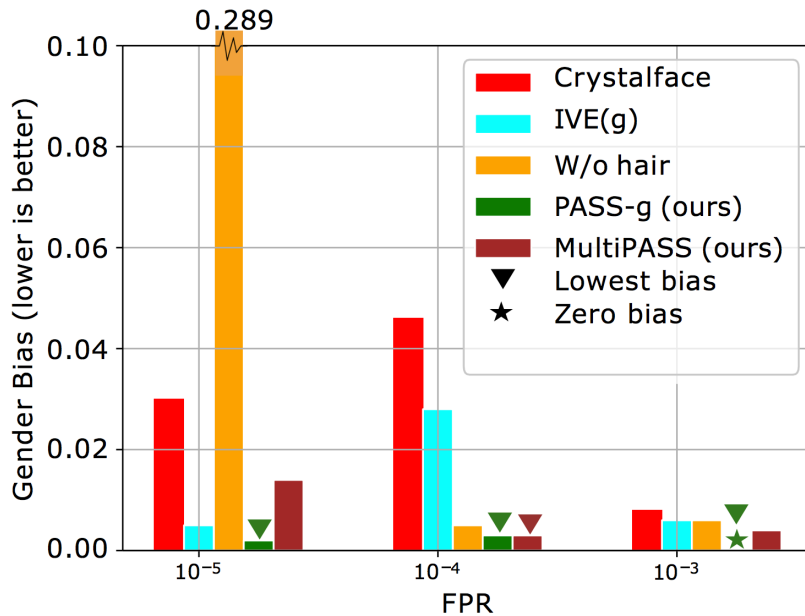


Genderbias

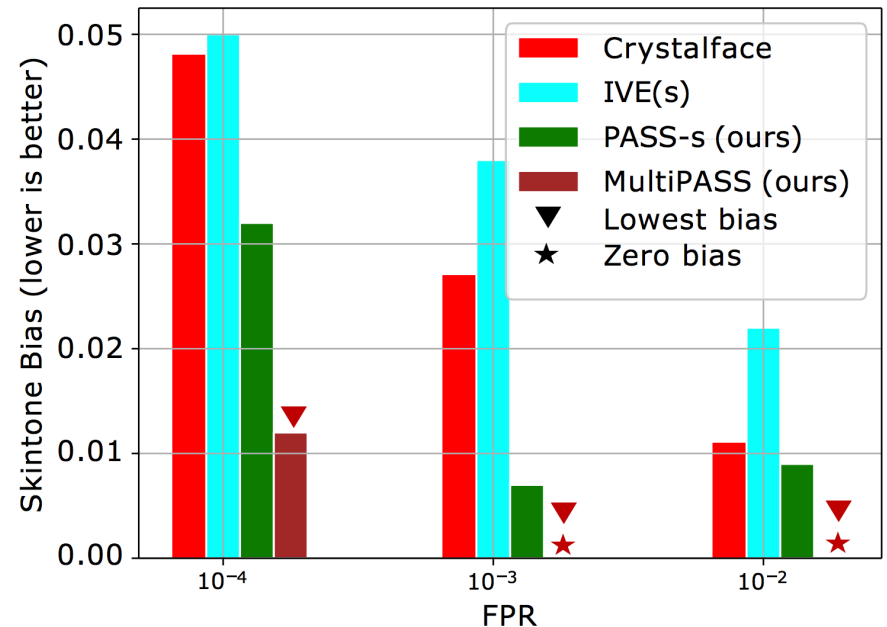


Skintone bias

# Results (Crystalface)



Genderbias



Skintone bias

Crystalface: R. Ranjan, A. Bansal, J. Zheng, H. Xu, J. Gleason, B. Lu, A. Nanduri, J.C.Chen, C. D. Castillo, and R. Chellappa, "A Fast and Accurate System for Face Detection, Identification, and Verification", IEEE T-BIOM, vol. 1, pp. 82-96, April 2019.

# PASS/MultiPASS Systems Achieve High BPCs

## Arcface – Gender bias analysis

FPR		$10^{-5}$					$10^{-4}$					$10^{-3}$				
Network	Acc-g ( $\downarrow$ )	TPR <sub>m</sub>	TPR <sub>f</sub>	TPR	Bias( $\downarrow$ )	BPC <sub>g</sub> ( $\uparrow$ )	TPR <sub>m</sub>	TPR <sub>f</sub>	TPR	Bias( $\downarrow$ )	BPC <sub>g</sub> ( $\uparrow$ )	TPR <sub>m</sub>	TPR <sub>f</sub>	TPR	Bias( $\downarrow$ )	BPC <sub>g</sub> ( $\uparrow$ )
Arcface[15]	82.06	0.921	0.900	0.929	0.021	0.000	0.962	0.947	0.953	<b>0.015</b>	<b>0.000</b>	0.969	0.956	0.974	0.013	0.000
W/o hair[3]	80.77	0.418	0.833	0.616	0.415	-19.099	0.788	0.889	0.864	0.101	-5.828	0.933	0.928	0.925	<b>0.005</b>	<b>0.565</b>
IVE(g[42])	80.20	0.922	0.881	0.925	0.041	-0.957	0.962	0.947	0.950	<b>0.015</b>	-1.736	0.969	0.956	0.966	0.013	-0.008
PASS-g (ours)	<u>73.65</u>	0.900	0.881	0.919	<u>0.019</u>	<u>0.084</u>	0.948	0.925	0.946	0.023	-0.540	0.957	0.947	0.962	<u>0.010</u>	<u>0.218</u>
MultiPASS (ours)	<b>68.43</b>	0.871	0.874	0.881	<b>0.003</b>	<b>0.805</b>	0.934	0.919	0.934	<b>0.015</b>	<u>-0.019</u>	0.953	0.936	0.950	0.017	-0.332

## Arcface - Skin tone bias analysis

FPR		$10^{-4}$					$10^{-3}$					$10^{-2}$				
Network	Acc-st ( $\downarrow$ )	TPR <sub>l</sub>	TPR <sub>d</sub>	TPR	Bias( $\downarrow$ )	BPC <sub>st</sub> ( $\uparrow$ )	TPR <sub>l</sub>	TPR <sub>d</sub>	TPR	Bias( $\downarrow$ )	BPC <sub>st</sub> ( $\uparrow$ )	TPR <sub>l</sub>	TPR <sub>d</sub>	TPR	Bias( $\downarrow$ )	BPC <sub>st</sub> ( $\uparrow$ )
Arcface [15]	87.15	0.951	0.938	0.953	0.013	0.000	0.974	0.968	0.974	0.006	0.000	0.976	0.974	0.976	0.002	0.000
IVE(s)[42]	88.23	0.951	0.938	0.953	0.013	0.000	0.973	0.967	0.974	0.006	0.000	0.976	0.974	0.976	0.002	0.000
PASS-s (ours)	<u>83.86</u>	0.925	0.919	0.934	<b>0.006</b>	<b>0.519</b>	0.949	0.949	0.950	<b>0.000</b>	<b>0.975</b>	0.974	0.974	0.973	<b>0.000</b>	<b>0.997</b>
MultiPASS (ours)	<b>79.22</b>	0.925	0.919	0.934	<b>0.006</b>	<b>0.519</b>	0.950	0.949	0.950	<u>0.001</u>	<u>0.808</u>	0.974	0.974	0.973	<b>0.000</b>	<b>0.997</b>



# PASS/MultiPASS Systems Achieve High BPCs

## Crystalface – Gender bias analysis

FPR		$10^{-5}$		$10^{-4}$		$10^{-3}$	
Network	Acc-g(↓)	TPR	BPC <sub>g</sub> (↑)	TPR	BPC <sub>g</sub> (↑)	TPR	BPC <sub>g</sub> (↑)
Crystalface[36]	86.73	0.833	0.000	0.910	0.000	0.951	0.000
W/o hair[3]	86.04	0.589	-8.926	0.780	0.823	0.899	0.195
IVE(g)[42]	86.10	0.833	<u>0.833</u>	0.910	0.391	0.951	0.250
PASS-g	<u>80.54</u>	0.761	<b>0.847</b>	0.839	<b>0.857</b>	0.921	<b>0.968</b>
MultiPASS	<b>76.31</b>	0.708	0.383	0.809	<u>0.823</u>	0.881	<u>0.426</u>

## Crystalface – Skin tone bias analysis

FPR		$10^{-4}$		$10^{-3}$		$10^{-2}$	
Network	Acc-st(↓)	TPR	BPC <sub>st</sub> (↑)	TPR	BPC <sub>st</sub> (↑)	TPR	BPC <sub>st</sub> (↑)
Crystalface[36]	89.30	0.910	0.000	0.950	0.000	0.974	0.000
IVE(s)[42]	88.26	0.910	-0.041	0.950	-0.407	0.974	-1.000
PASS-s	<u>83.84</u>	0.844	<u>0.261</u>	0.914	<u>0.702</u>	0.919	<u>0.125</u>
MultiPASS	<b>79.44</b>	0.809	<b>0.639</b>	0.881	<b>0.927</b>	0.968	<b>0.994</b>

# End-to-end Systems v/s PASS

- PASS-based systems require fewer parameters than their end-to-end counterparts.

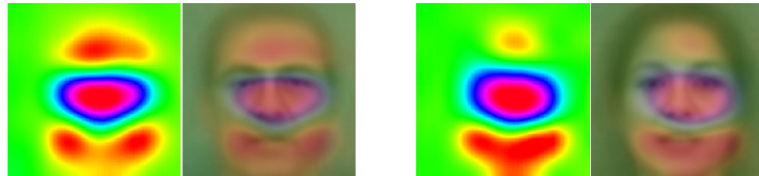
Method	Training	Backbone	#Params w/o final classif <sup>n</sup> layer
Debface-ID[7]	End-to-end	ResNet-52	10.99 million
Demo-ID[7]	End-to-end	ResNet-52	10.99 million
GAC[8]	End-to-end	ResNet-52	10.99 million
PASS-g w/ AF	Descriptor-based	MLP	254,336
PASS-s w/ AF	Descriptor-based	MLP	213,504
MultiPASS w/ AF	Descriptor-based	MLP	336,768

- With PASS, we have the freedom to start with SOTA face descriptors. So, the verification performance obtained by PASS is closer to SOTA.

Method/FPR	$10^{-5}$	$10^{-4}$	$10^{-3}$	Training method	Training attributes
Arcface [15](SOTA)	92.9	95.3	97.4	-	-
Demo-ID <sup>+</sup> [20]	83.2	89.4	92.9	End-to-End	Age
Debface-ID <sup>+</sup> [20]	82.0	88.1	89.5	End-to-End	Age,gender,race
GAC <sup>+</sup> [21]	83.5	89.2	93.7	End-to-End	Race
PASS-s w/ AF	88.1	93.4	95.0	Descriptor-based	Race
PASS-g w/ AF	91.9	94.6	96.2	Descriptor-based	Gender
MultiPASS w/ AF	88.1	93.4	95.0	Descriptor-based	Race, gender

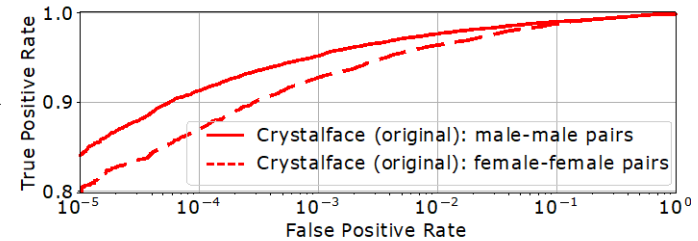
# FR Networks Attend to Different Spatial Regions, Depending on Demographic Groups

Dissimilar attention regions for male and female

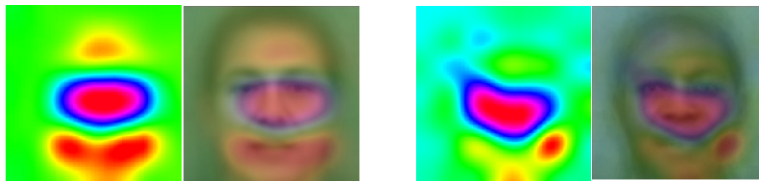


Average attention map : Average attention map :  
**Male** **Female**

Gender bias in face verification

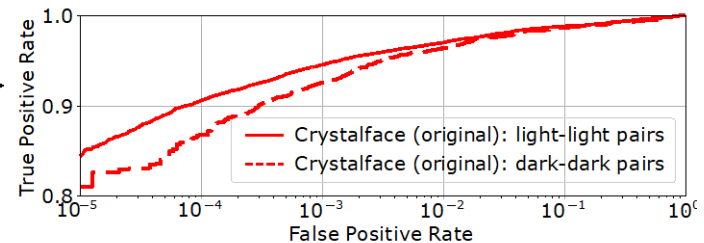


Dissimilar attention regions for dark and light skintone



Average attention map : Average attention map :  
**Dark skintone** **Light skintone**

Skintone bias in face verification



# Summary -1

- Learning from degraded data is hard.
- Statistics literature has considered the “errors in variable” formulation
  - Insufficient to deal with blur, deformations and turbulence
- Without incorporating physics-based models of deformation, performance will not improve.
- In the absence of physics, demand on annotated data will be huge.

# Summary -2

- Face recognition systems demonstrate gender and skintone bias.
- Simply balancing the training dataset does not help.
- Face recognition networks implicitly encode sensitive attributes like gender, age etc., without being trained to do so.
- Adversarially removing sensitive attributes is an interesting line of research, that can potentially reduce bias.
- End-to-end adversarial de-biasing systems reduce bias but achieve much lower verification performance, compared to SOTA.
- PASS can reduce gender and skintone bias while achieving SOTA verification performance
- For latest evaluation results see
  - [https://pages.nist.gov/frvt/reports/11/frvt\\_11\\_report.pdf](https://pages.nist.gov/frvt/reports/11/frvt_11_report.pdf)
  - [https://pages.nist.gov/frvt/reports/1N/frvt\\_1N\\_report.pdf](https://pages.nist.gov/frvt/reports/1N/frvt_1N_report.pdf)

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