

Winter School on Biometrics 2023

Face Recognition at a Distance

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Tremendous Research Progress



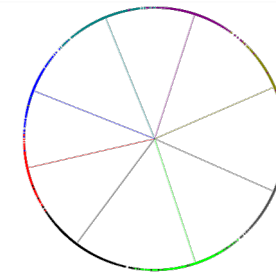
DR-GAN



Age synthesis



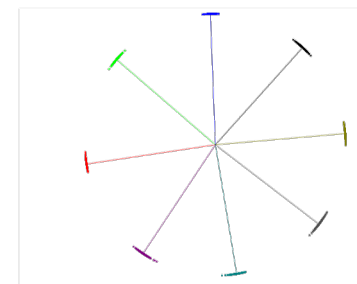
Disguised faces



Softmax

SphereFace

CosFace



ArcFace

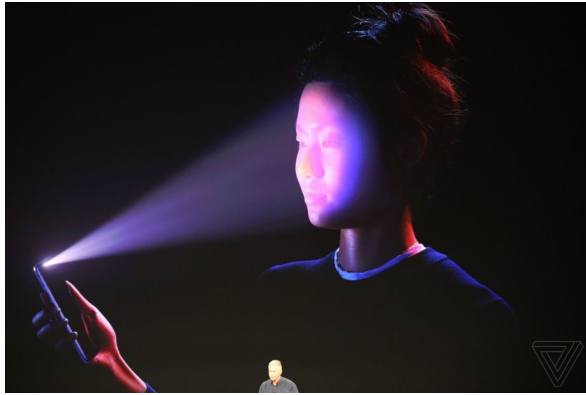
L. Tran et. al., Representation Learning by Rotating Your Faces, PAMI, 2018

H. Yang et. al., Learning Continuous Face Age Progression: A Pyramid of GANs, PAMI, 2019.

M. Singh et. al., Recognizing disguised faces in the wild. *IEEE Transactions on Biometrics, Behavior, and Identity Science*, 2019.

J. Deng et. al., ArcFace: Additive Angular Margin Loss for Deep Face Recognition, CVPR, 2019.

Successful Applications



Apple



Alipay



Boarding in Airports



Entrance to Beijing University

Overview

Objective: Recognize individuals from a video stream captured at a distance and altitude.

Modality: Face, gait and body



Biometrics

Outline:

- Generic matcher: AdaFace (CVPR 2022)
- Domain adaption: CFSM (ECCV 2022)
- Video-based recognition: CAFace (NeurIPS 2022)
- 3D body matching (Under review)

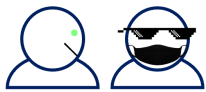
AdaFace: Quality Adaptive Margin for Face Recognition

Minchul Kim, Anil K. Jain, Xiaoming Liu
CVPR 2022



Problem Definition

Training Datasets have Varying Qualities



Pose and Occlusion

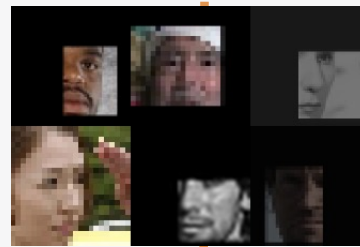
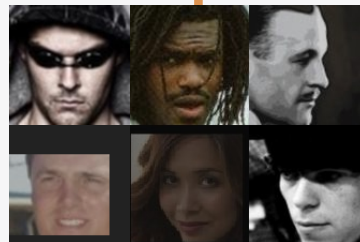
Faces that are front facing and free of occlusions such as hands or sunglasses are identifiable.



Landmark & Key points

Images with visible and detectable facial landmarks are identifiable.

Easy to Recognize

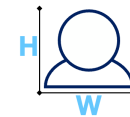


Hard to Recognize



Blur

Subject's distance, camera setting and other environmental factors cause the image to be blurred.



Size

Too low image resolution causes the subject to be unidentifiable.



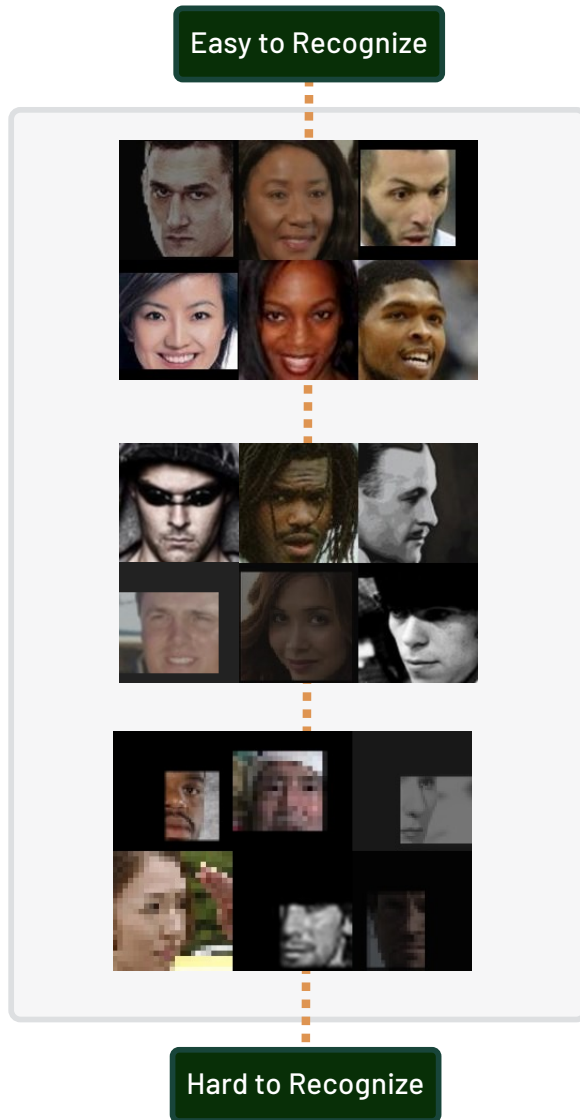
Illumination

Too dark or too bright images cause the subject to be unidentifiable.

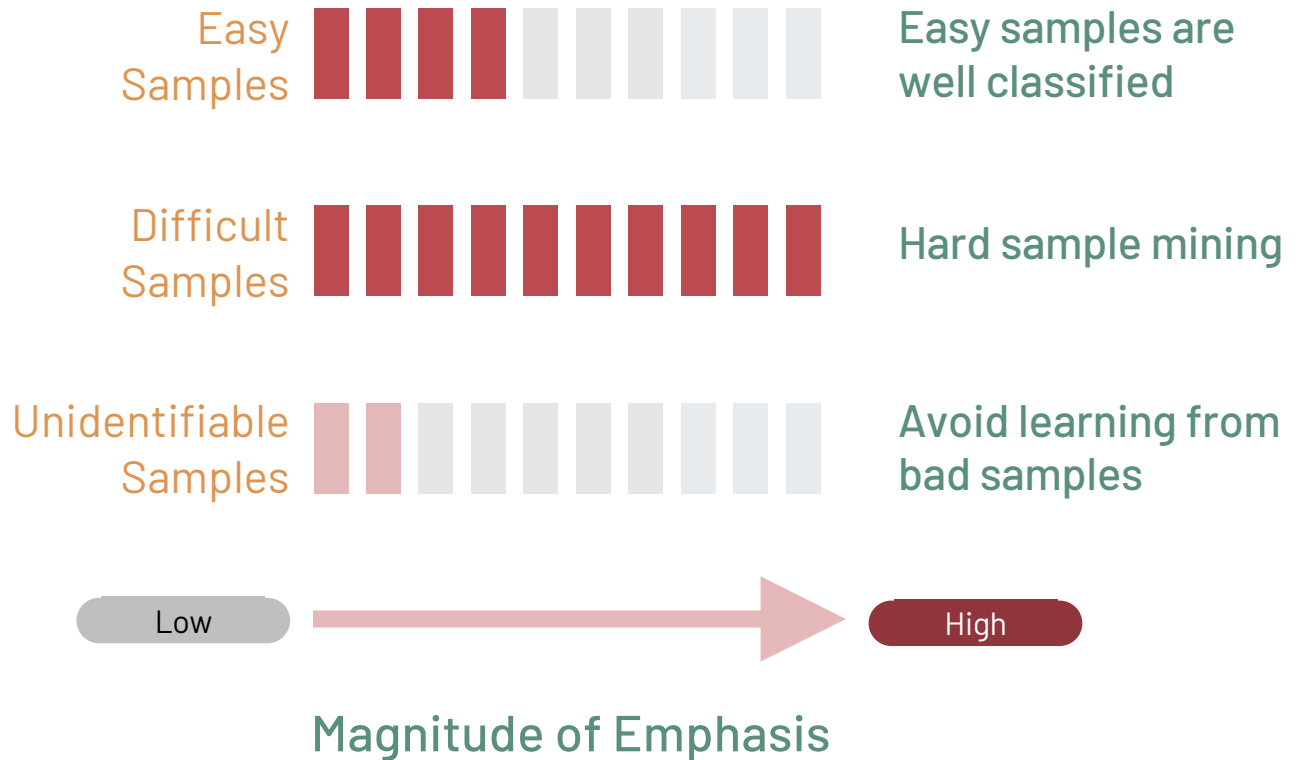
**Source of Problem
(Impossible to recognize)**

Training dataset without identifiable traits can be equivalent to noisy label samples

Motivation

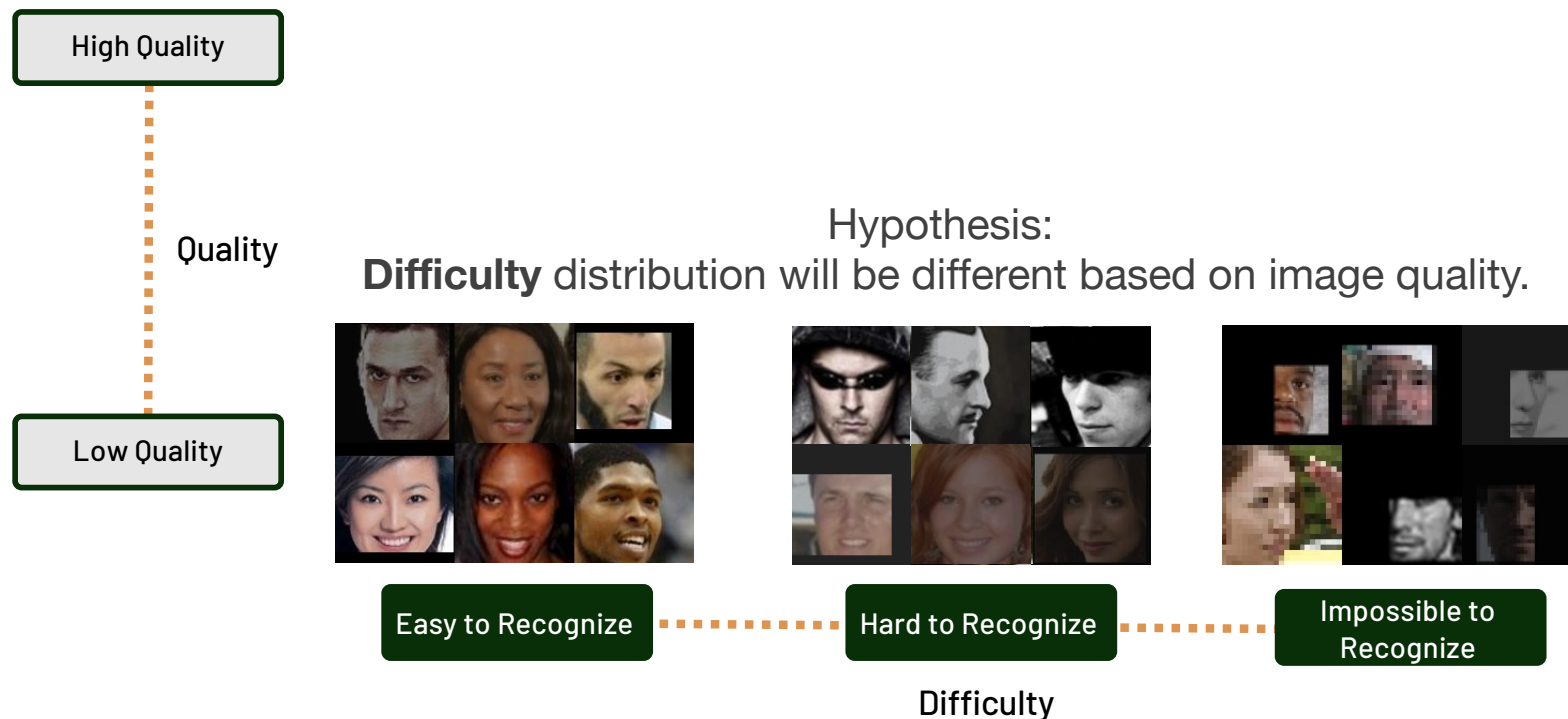


Adaptive Sample Emphasis During Training

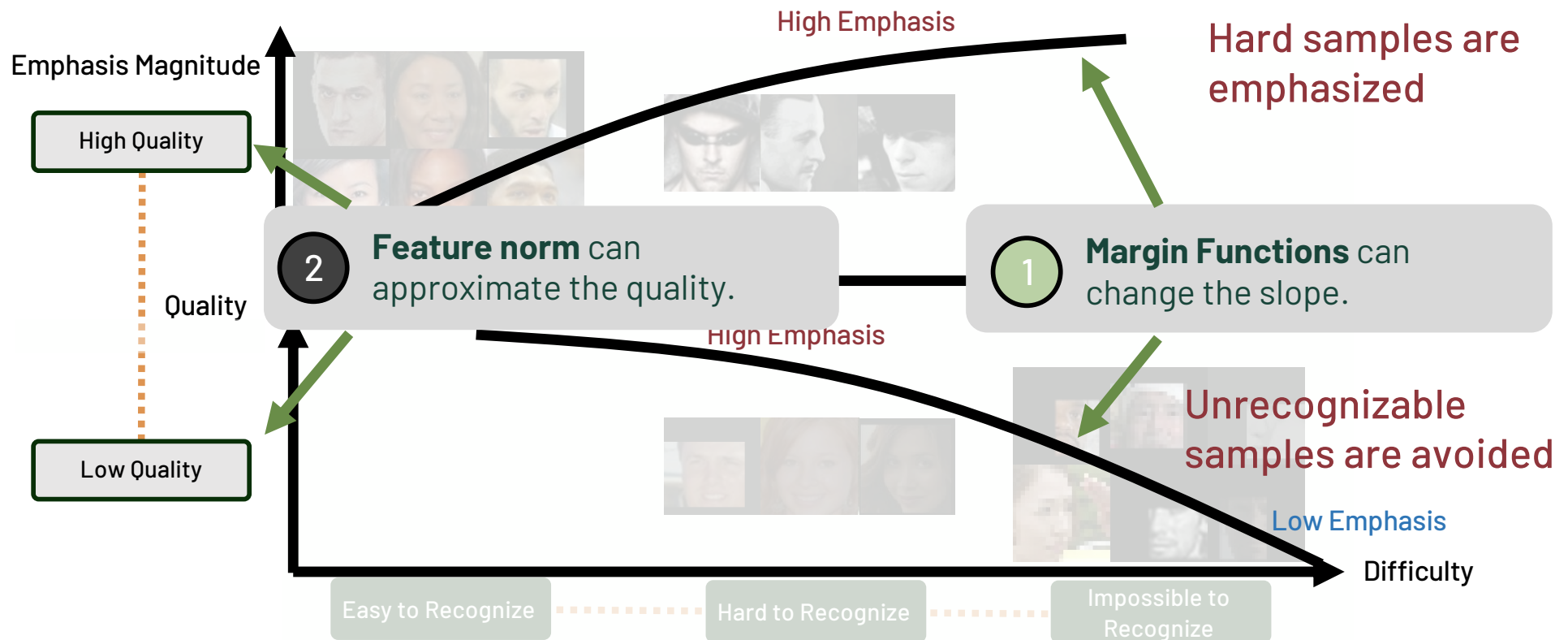


Motivation

One More Way to Look at an Image



Our Findings and Methods



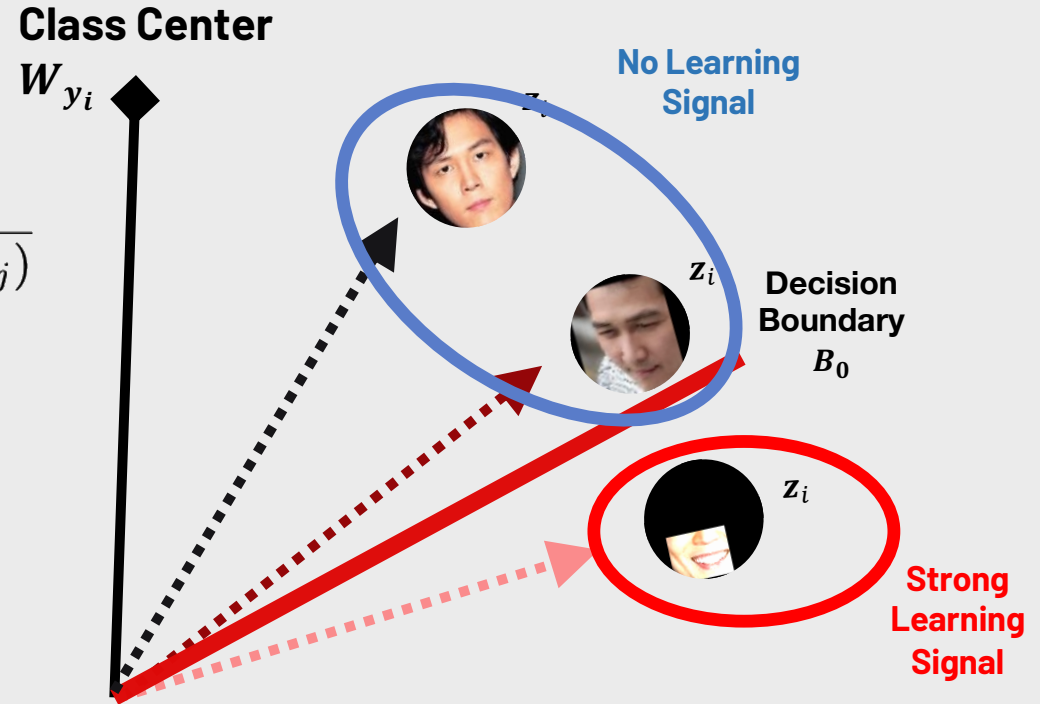
Effect of Margin on Sample Emphasis

Margin-based SoftMax Loss

$$\mathcal{L} = -\log \frac{\exp(f(\theta_{y_i}, m))}{\exp(f(\theta_{y_i}, m)) + \sum_{j \neq y_i}^n \exp(s \cos \theta_j)}$$

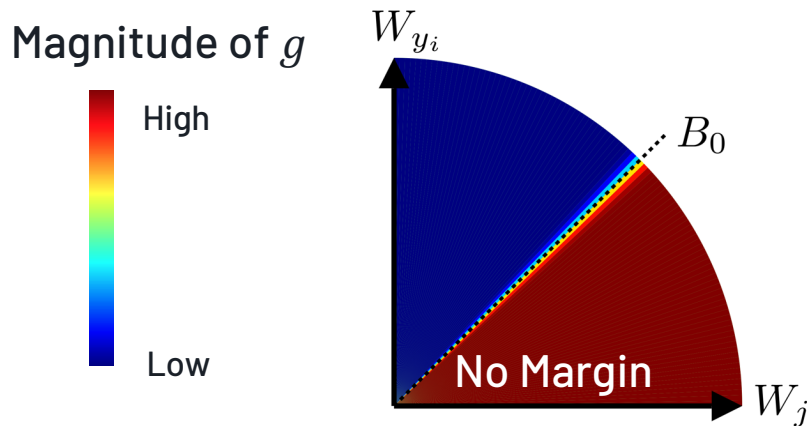
$$f(\cdot)_{\text{Additive}} = \begin{cases} s((\cos \theta_{y_i}) - m) & j = y_i \\ s \cos \theta_{y_i} & j \neq y_i \end{cases}$$

$$f(\cdot)_{\text{Angular}} = \begin{cases} s \cos(\theta_{y_i} + m) & j = y_i \\ s \cos \theta_{y_i} & j \neq y_i \end{cases}$$



Plot of Gradient Scaling Term

$$\frac{\partial \mathcal{L}_{\text{CE}}}{\partial \mathbf{x}_i} = \sum_{k=1}^C (P_k^{(i)} - \mathbb{1}(y_i = k)) \frac{\partial f(\cos \theta_k)}{\partial \cos \theta_k} \frac{\partial \cos \theta_k}{\partial \mathbf{x}_i}$$



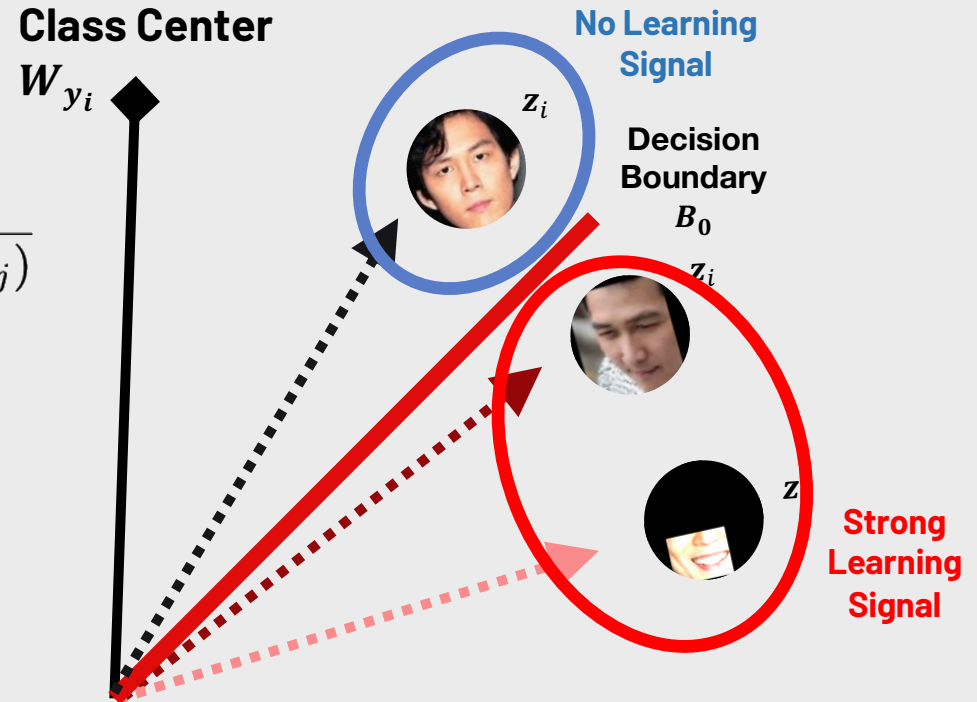
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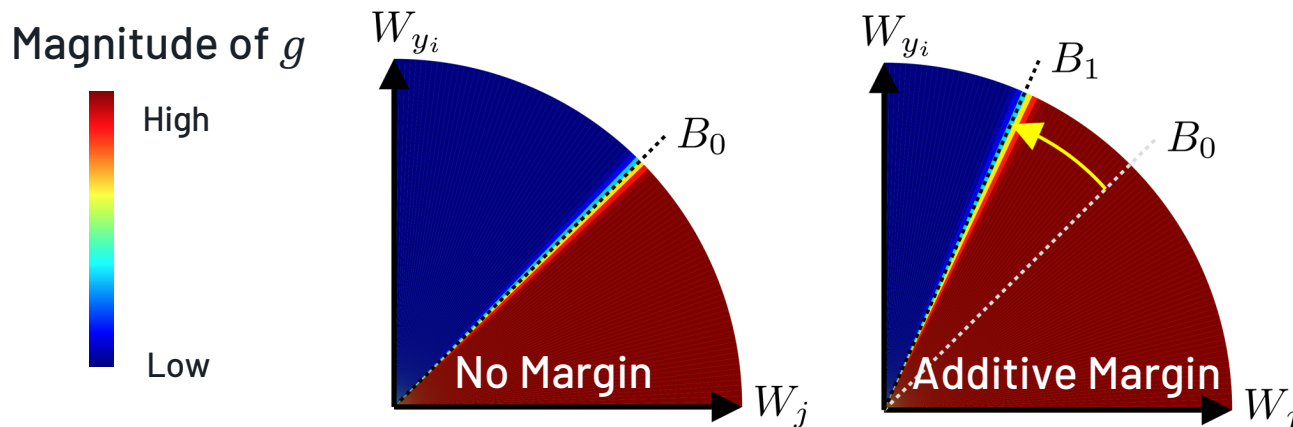
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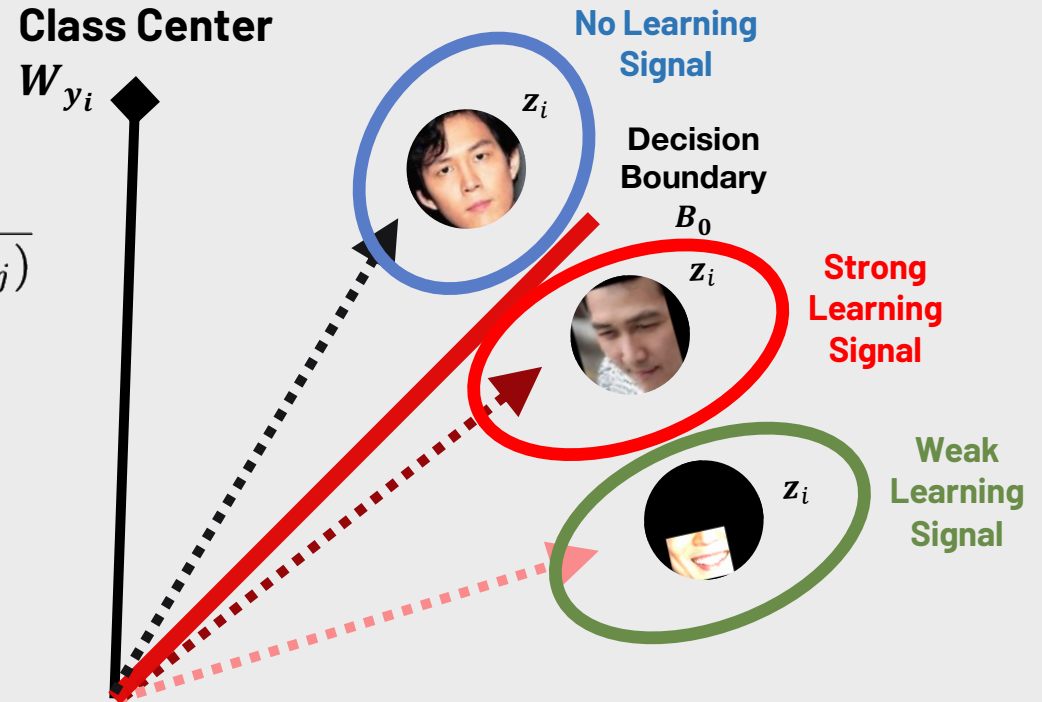
Effect of Margin on Sample Emphasis

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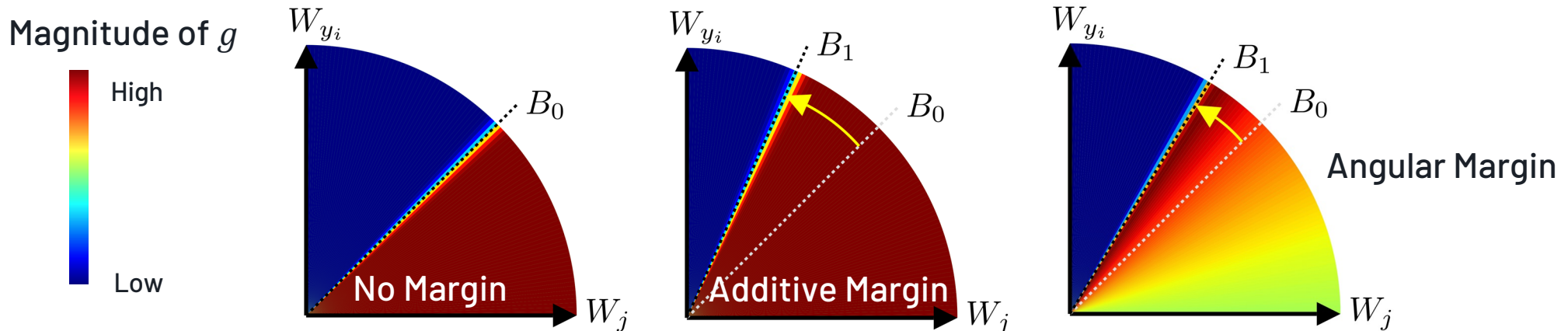
$$f(\cdot)_{\text{Additive}} = \begin{cases} s((\cos \theta_{y_i}) - m) & j = y_i \\ s \cos \theta_{y_i} & j \neq y_i \end{cases}$$

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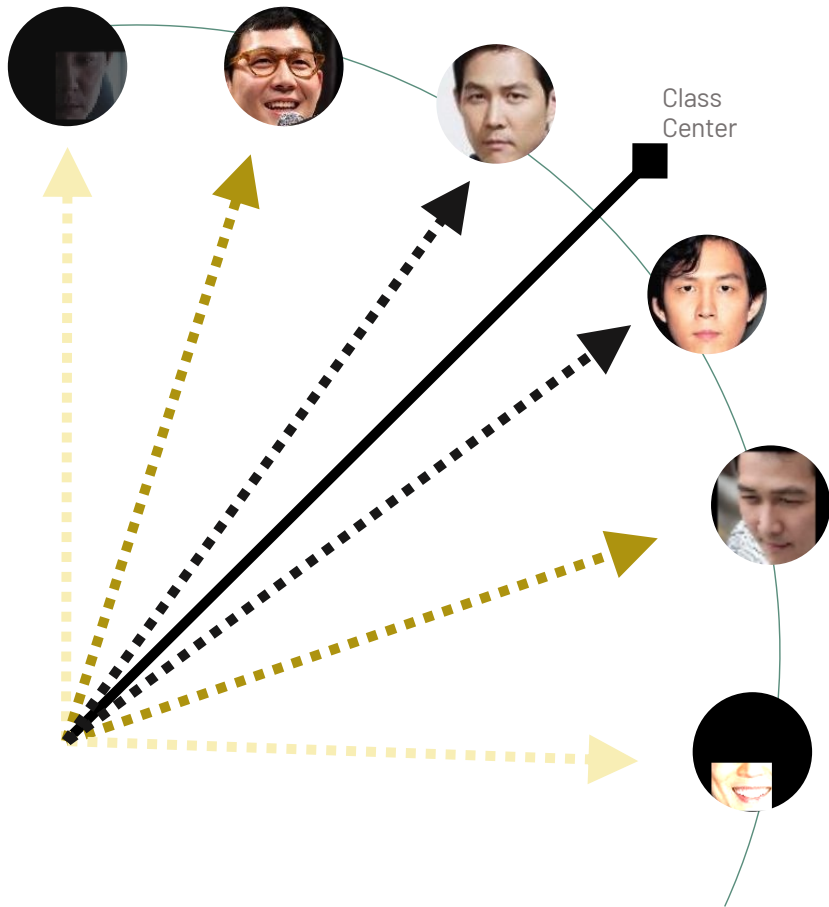


Plot of Gradient Scaling Term

$$\frac{\partial \mathcal{L}_{\text{CE}}}{\partial x_i} = \sum_{k=1}^C (P_k^{(i)} - \mathbb{1}(y_i = k)) \frac{\partial f(\cos \theta_k)}{\partial \cos \theta_k} \frac{\partial \cos \theta_k}{\partial x_i}$$



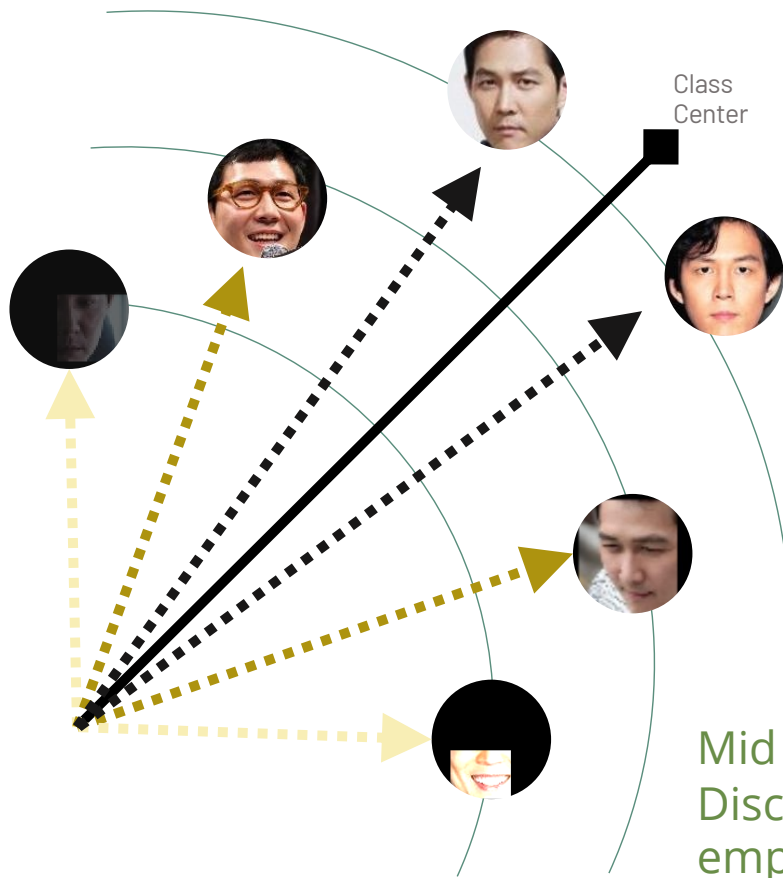
Feature Space



Previous works apply
same margin for all samples

Unit Sphere Representation

AdaFace Adaptive Margin



High Norm = Negative Angular Margin
De-emphasize trivial samples

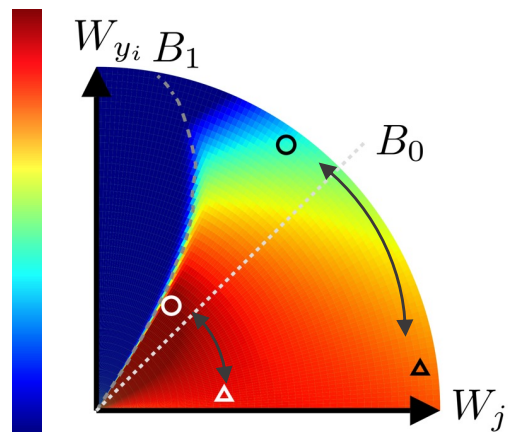
Mid Norm = Additive Margin
Discriminative feature, equal emphasis.

Low Norm = Positive Angular Margin
De-emphasize unrecognizable images

How do we emphasize different samples?

AdaFace Objective

Magnitude of g



○ Easier Sample, Low Norm
 △ Harder Sample, Low Norm

○ Easier Sample, High Norm
 △ Harder Sample, High Norm

$$\mathcal{L} = -\log \frac{\exp(f(\theta_{y_i}, m))}{\exp(f(\theta_{y_i}, m)) + \sum_{j \neq y_i}^n \exp(s \cos \theta_j)}$$

$$f(\theta_j, m)_{\text{AdaFace}} = \begin{cases} s \cos(\theta_j + g_{\text{angle}}) - g_{\text{add}} & j = y_i \\ s \cos \theta_j & j \neq y_i \end{cases}$$

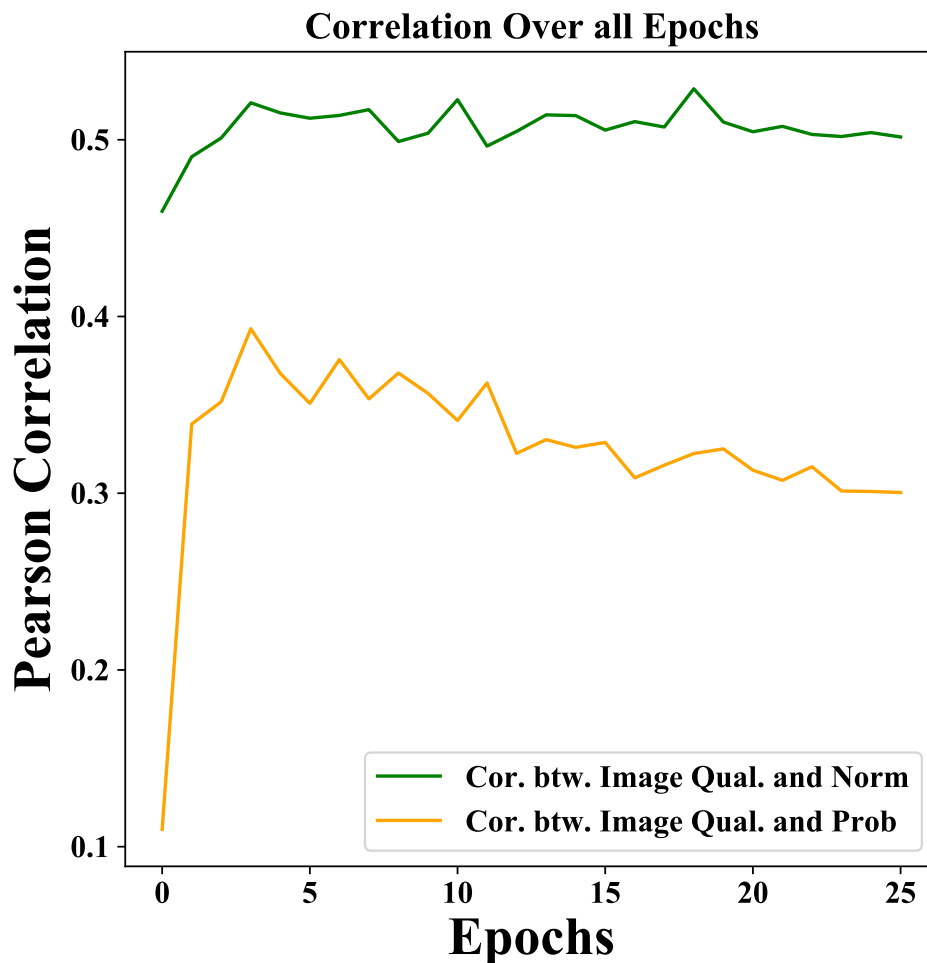
$$g_{\text{angle}} = -m \cdot \|\widehat{\mathbf{z}}_i\|, \quad g_{\text{add}} = m \cdot \|\widehat{\mathbf{z}}_i\| + m.$$

$$\|\widehat{\mathbf{z}}_i\| = \left[\frac{\|\mathbf{z}_i\| - \mu_z}{\sigma_z/h} \right]_{-1}^1$$

Combine different margin functions adaptively to emphasize samples of different difficulty based on the image quality.

Adaptively Emphasizing samples based on Image Quality

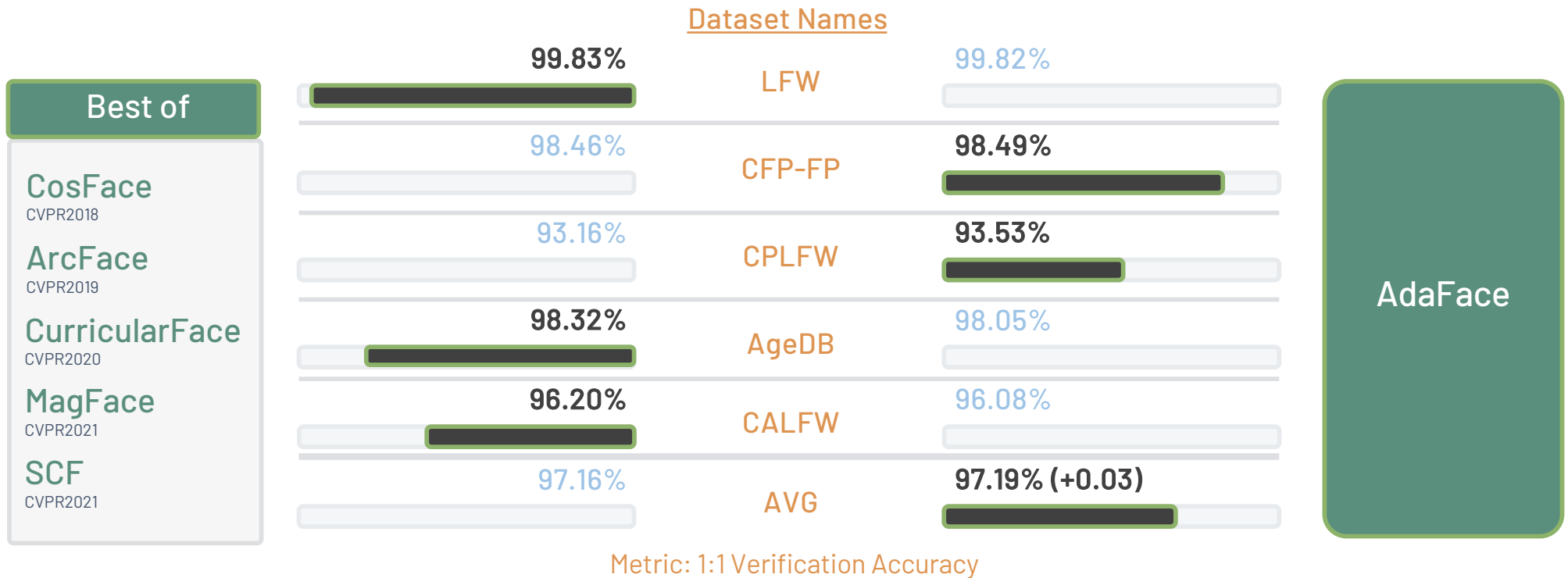
Relationship between IQ and Feature Norm



- Image Quality: calculated with BRISQUE algorithm.
- The correlation between feature norm and the image quality exists from the early stage of training

We use feature norm as a proxy for the quality and use it to change the margin.

Performance in High Quality Datasets

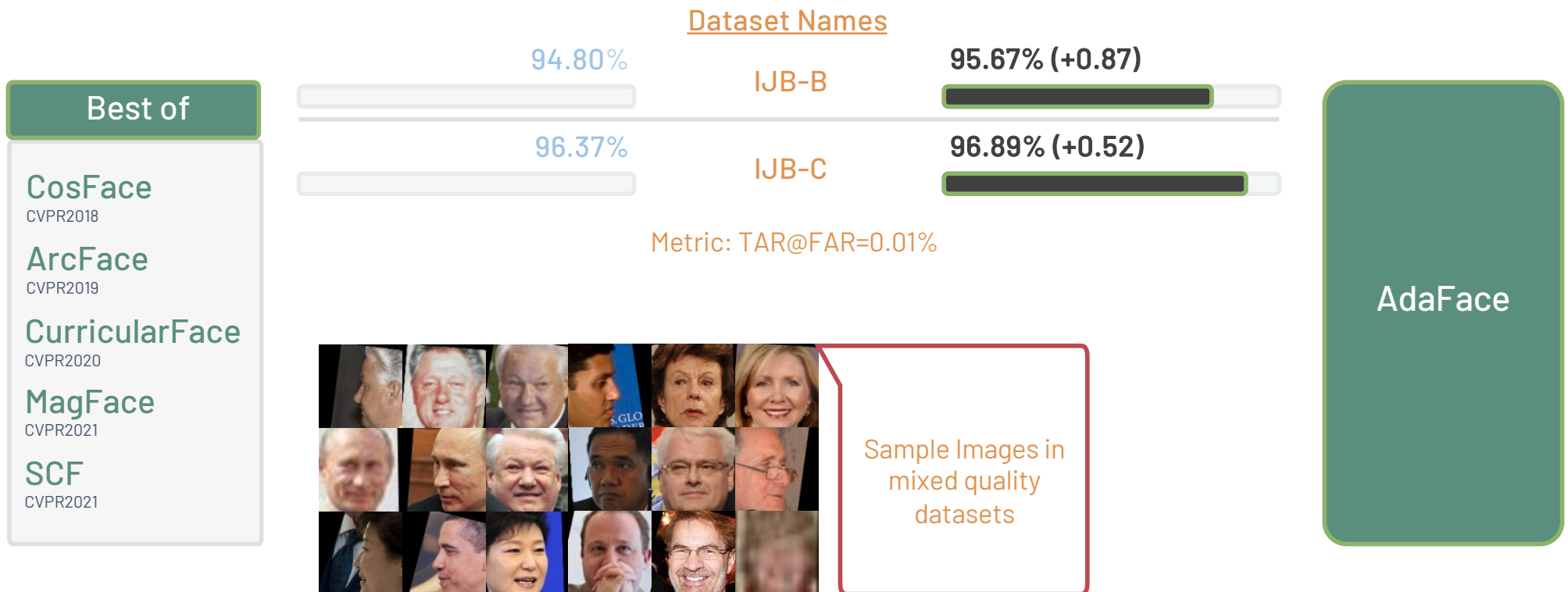


AdaFace

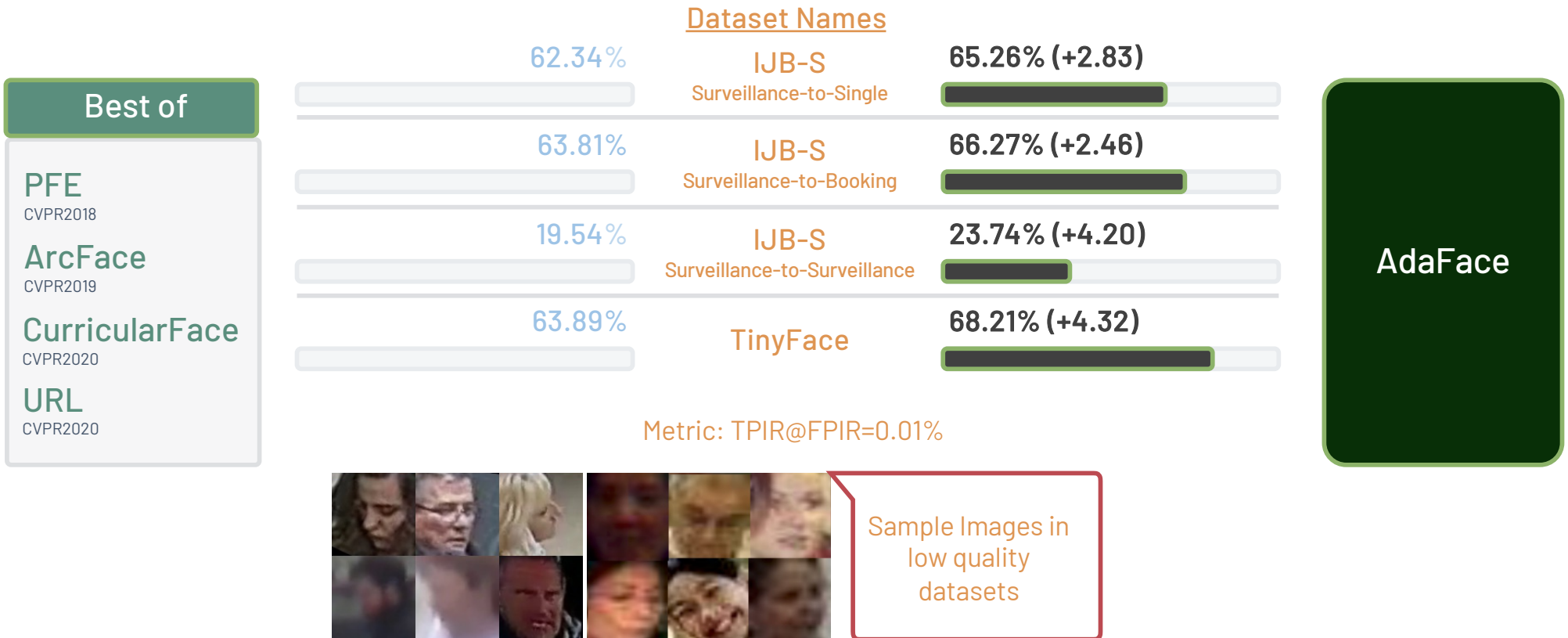


Sample Images in high quality datasets

Performance in Mixed Quality Datasets

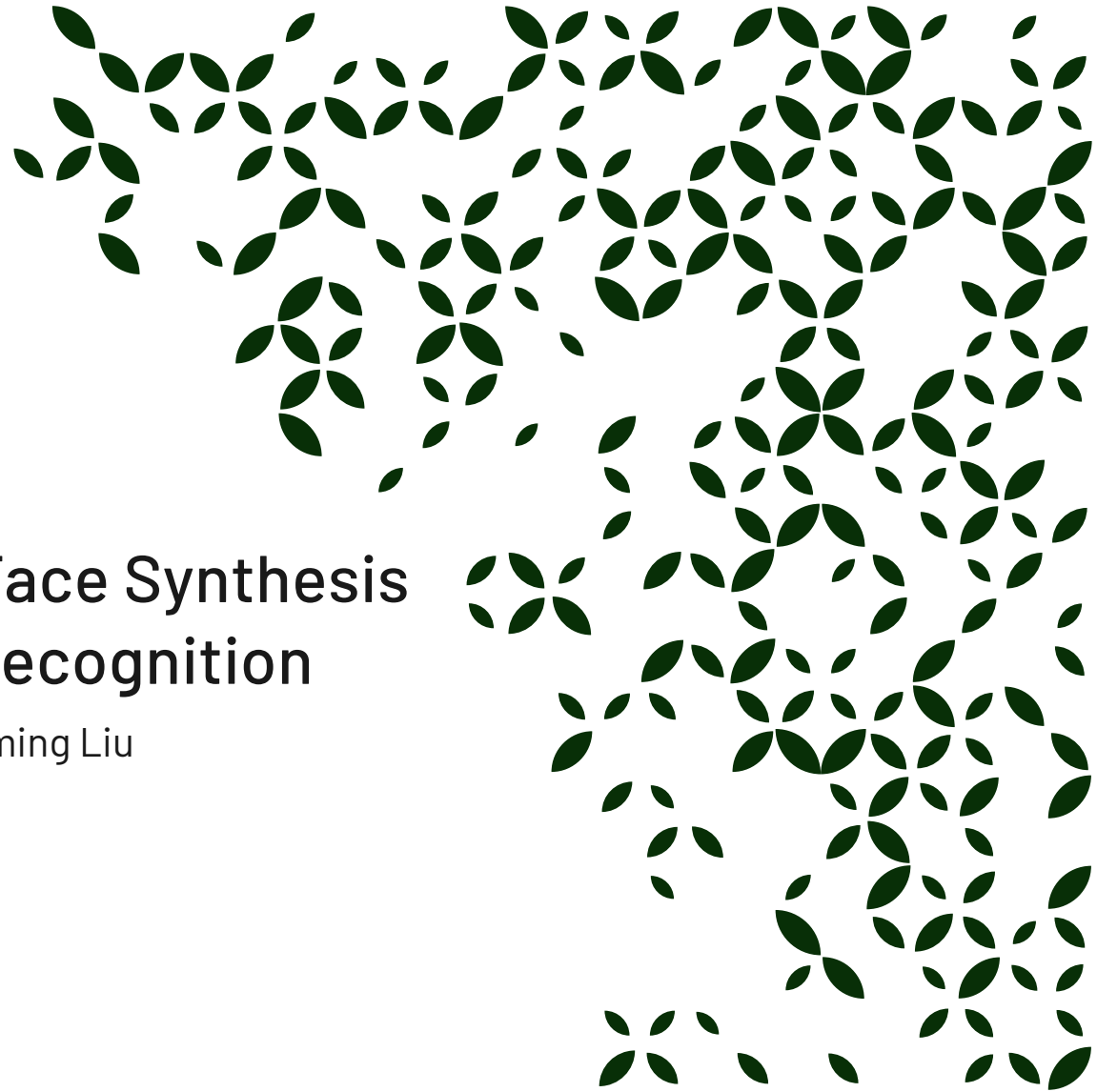


Performance in Low Quality Datasets



Controllable and Guided Face Synthesis for Unconstrained Face Recognition

Feng Liu, Minchul Kim, Anil Jain, and Xiaoming Liu
ECCV 2022

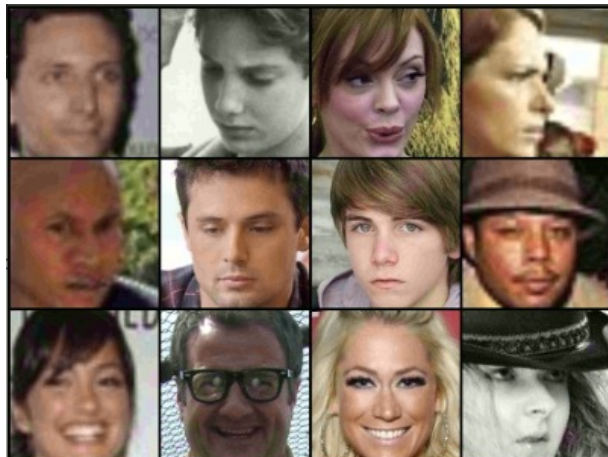


Unconstrained Face Recognition

- Domain gap between the semi-constrained training datasets and unconstrained testing scenarios.

Large-scale Training datasets

VGG2. WebFace



Semi-constrained

Faces collected from the web.

Testing scenarios

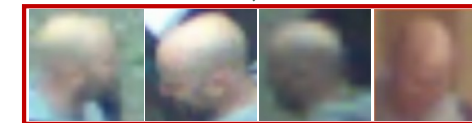
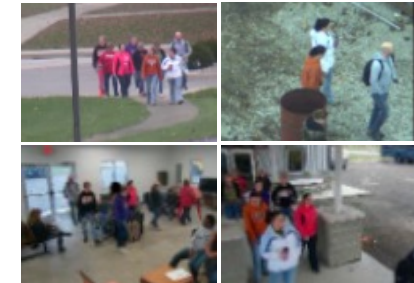
LFW



Semi-constrained

Accuracy:99.83%

IJB-S



Unconstrained

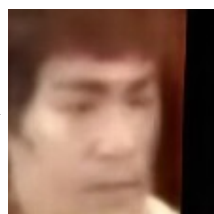
Accuracy<70%

- Potential solution

Source domain



Face
Synthesis

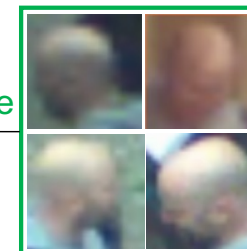


False

Discriminatio
r

True

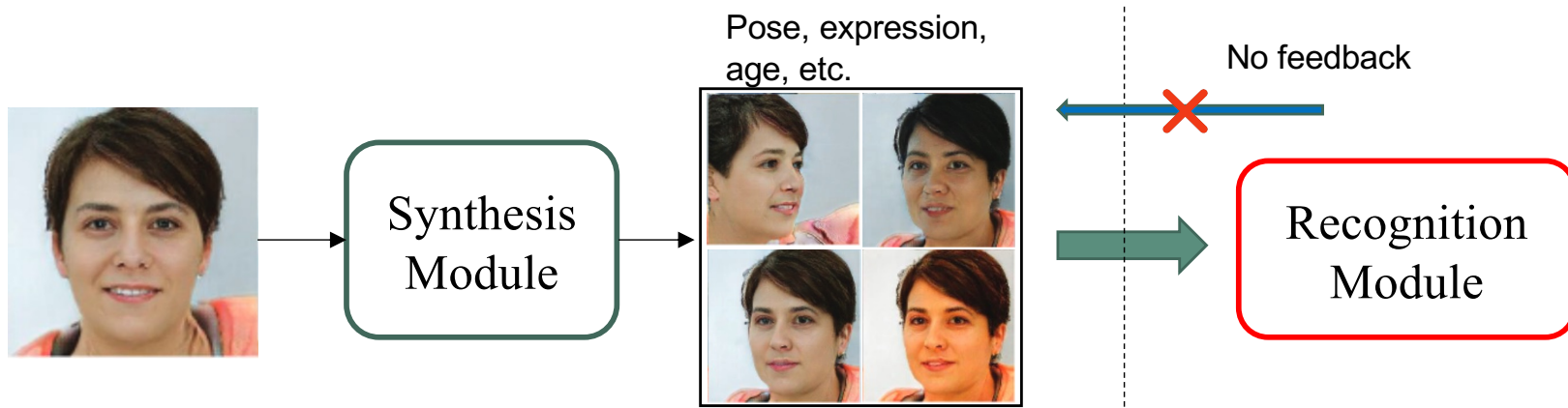
Target unconstrained domain



- Low resolution
- Motion blurring
- Bad illumination
- Turbulence effect

Motivation

- Previous synthesis models: *limited face properties*; offline and *blind* data augmentation.

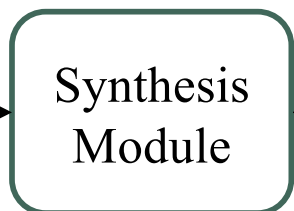
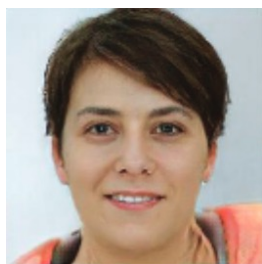


Motivation

- Previous synthesis models: *limited face properties*; offline and *blind* data augmentation.
- Facial properties should be generalizable to the challenging unconstrained testing scenarios.

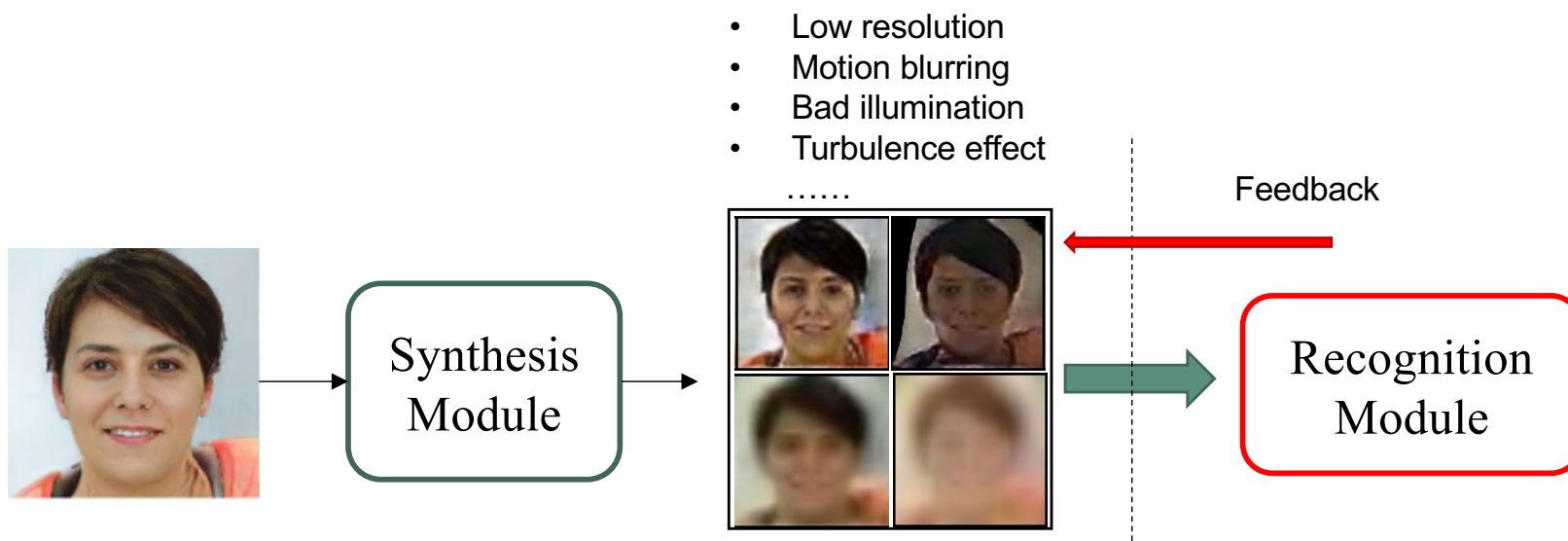
- Low resolution
- Motion blurring
- Bad illumination
- Turbulence effect

.....



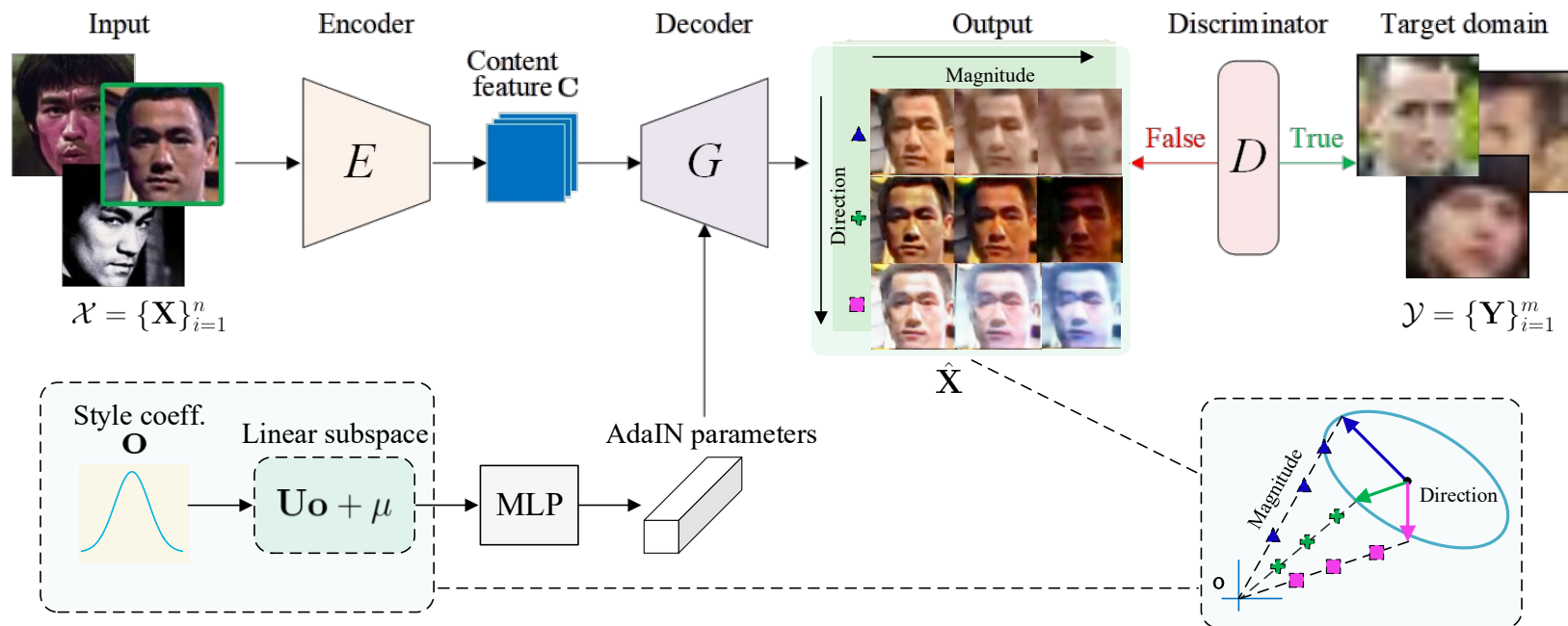
Motivation

- Previous synthesis models: *limited face properties*; offline and *blind* data augmentation.
- Facial properties should be generalizable to the challenging unconstrained testing scenarios.
- Feedback-based face synthesis is more beneficial to FR models.



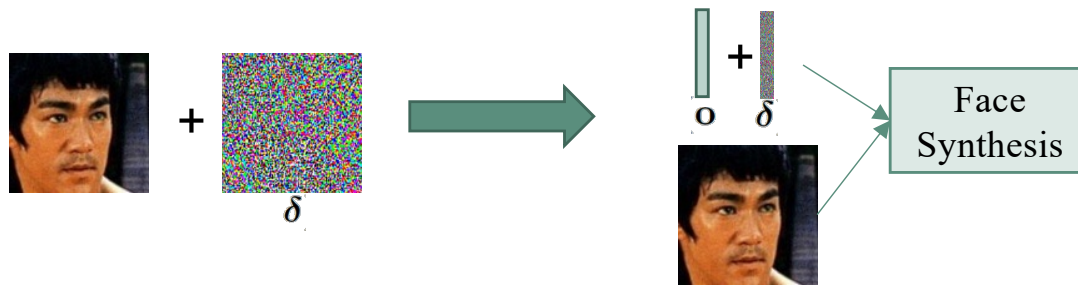
Controllable Face Synthesis

- The synthesis model can discover the **styles** in the target unconstrained data.
- The synthesis model is precisely-controllable in the style latent space, in both **diversity** and **degree**.



Guided Face Synthesis for Face Recognition

- The FR model feedback signal is incorporated into the face generation using *adversarial perturbation*.
- The manipulation of the low-dimensional style space renders this feedback *meaningful* and *efficient*.



- Style latent perturbations to maximize the classifier loss

$$\delta^* = \arg \max_{\|\delta\| < \epsilon} \mathcal{L}_{cla}(\mathcal{F}(\mathbf{X}^*), l), \text{ where } \mathbf{X}^* = G(E(\mathbf{X}), \text{MLP}(\mathbf{U}(\mathbf{o} + \delta) + \boldsymbol{\mu})).$$

- Optimize the face embedding model

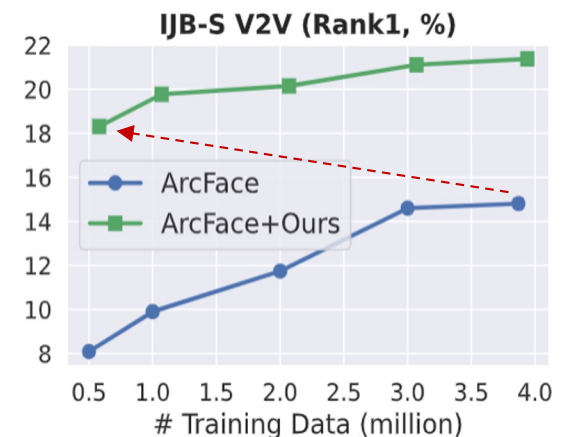
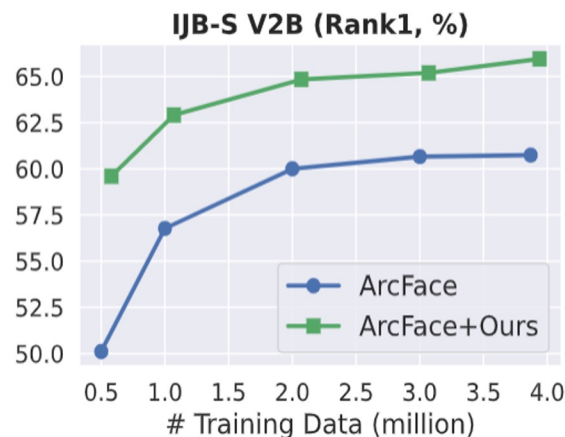
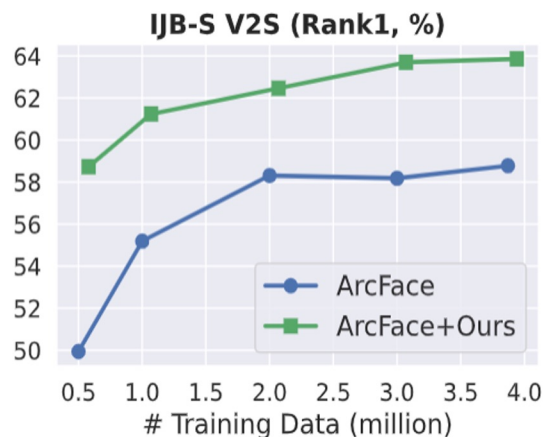
$$\min_{\theta} \mathcal{L}_{cla}([\mathbf{X}^*, \mathbf{X}], l)$$

Face Recognition Results on IJB-S and TinyFace

- Our synthesis models could be plugged into any SoTA FR model and improve its performance.

Method	Labeled Train Data	Backbone	IJB-S V2S				IJB-S V2B				IJB-S V2V				TinyFace	
			Rank1	Rank5	1%	10%	Rank1	Rank5	1%	10%	Rank1	Rank5	1%	10%	Rank1	Rank5
ArcFace[1]	MS1MV2-*	ResNet-50	58.78	66.40	40.99	50.45	60.66	67.43	43.12	51.38	14.81	26.72	2.51	5.72	62.21	66.85
ArcFace+Ours*	MS1MV2-*	ResNet-50	61.69	68.33	43.99	53.34	62.20	69.50	44.38	53.49	18.14	31.34	2.09	4.51	62.39	67.36
ArcFace+Ours	MS1MV2-*	ResNet-50	63.86	69.95	47.86	56.44	65.95	71.16	47.28	57.24	21.38	35.11	2.96	7.41	63.01	68.21
AdaFace[2]	WebFace12M	IResNet-100	71.35	76.24	59.40	66.34	71.93	76.56	59.37	66.68	36.71	50.03	4.62	11.84	72.29	74.97
AdaFace+Ours	WebFace12M	IResNet-100	72.54	77.59	60.94	66.02	72.65	78.18	60.26	65.88	39.14	50.91	5.05	13.17	73.87	76.77

- Our synthesis models can boost FR performance even with less labelled training samples.

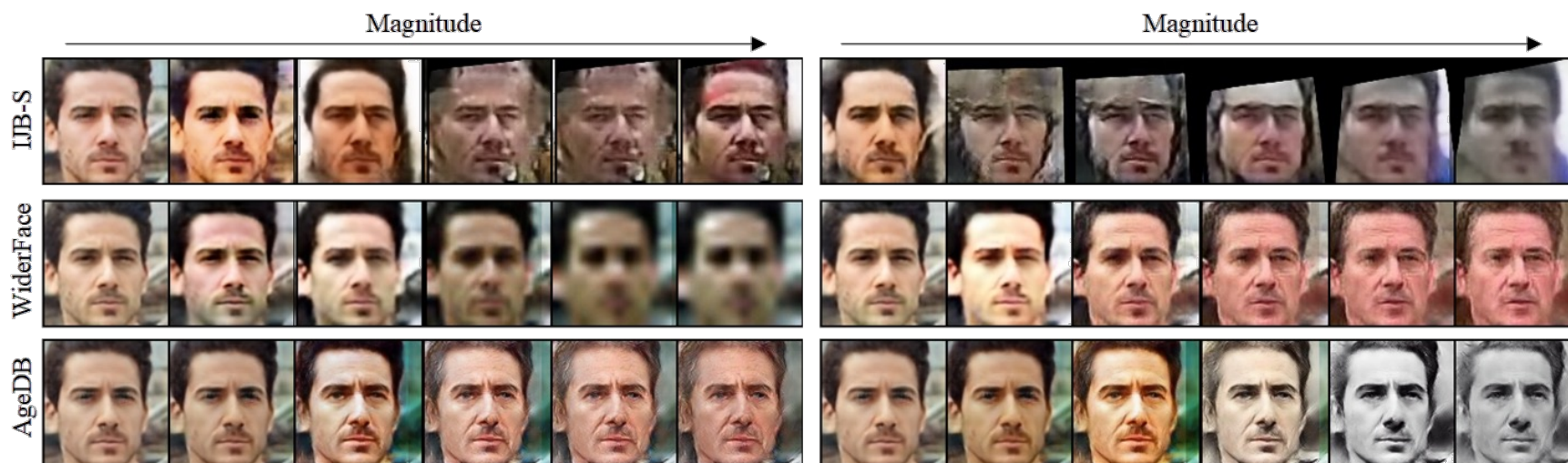


[1] Arcface: Additive angular margin loss for deep face recognition. CVPR 2019.

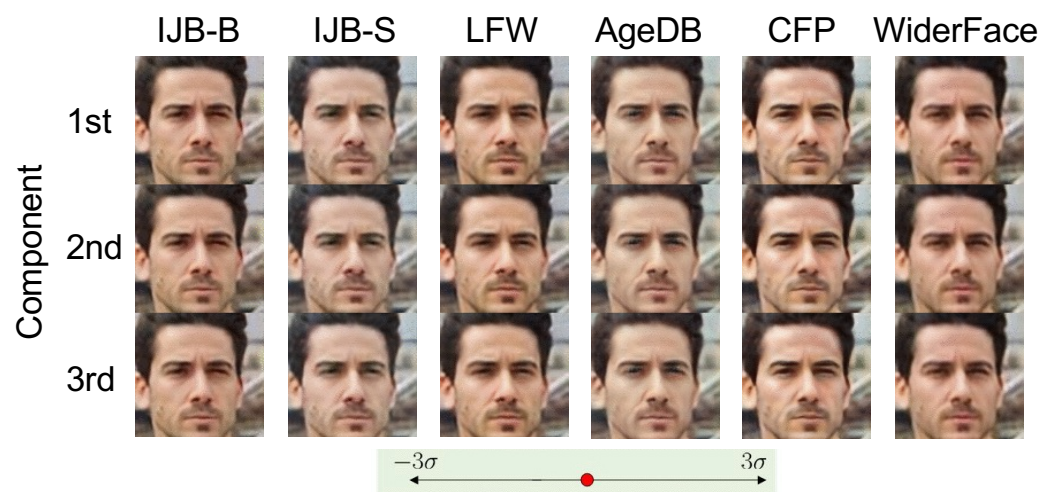
[2] AdaFace: Quality Adaptive Margin for Face Recognition. CVPR 2022.

Visualizations of the Face Synthesis Model

- Interpretable magnitude of the style coefficient.



- Learned the orthonormal basis of the subspace.



By-product: Dataset Distribution Similarity Measurement

- The distribution similarity between datasets A and B

$$\mathcal{S}(A, B) = \frac{1}{q} \left(\sum_i^q S_C(\mathbf{u}_A^i + \boldsymbol{\mu}_A, \mathbf{u}_B^i + \boldsymbol{\mu}_B) \right)$$



	LFW	AgeDB	CFP	IJB-B	WF	IJB-S
LFW		0.52	0.37	0.57	0.50	0.41
AgeDB			0.58	0.50	0.55	0.40
CFP				0.56	0.67	0.46
IJB-B					0.68	0.68
WF						0.70
IJB-S						

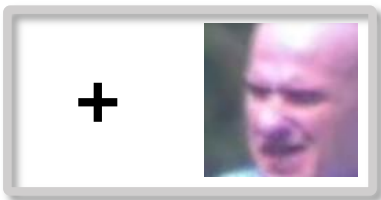
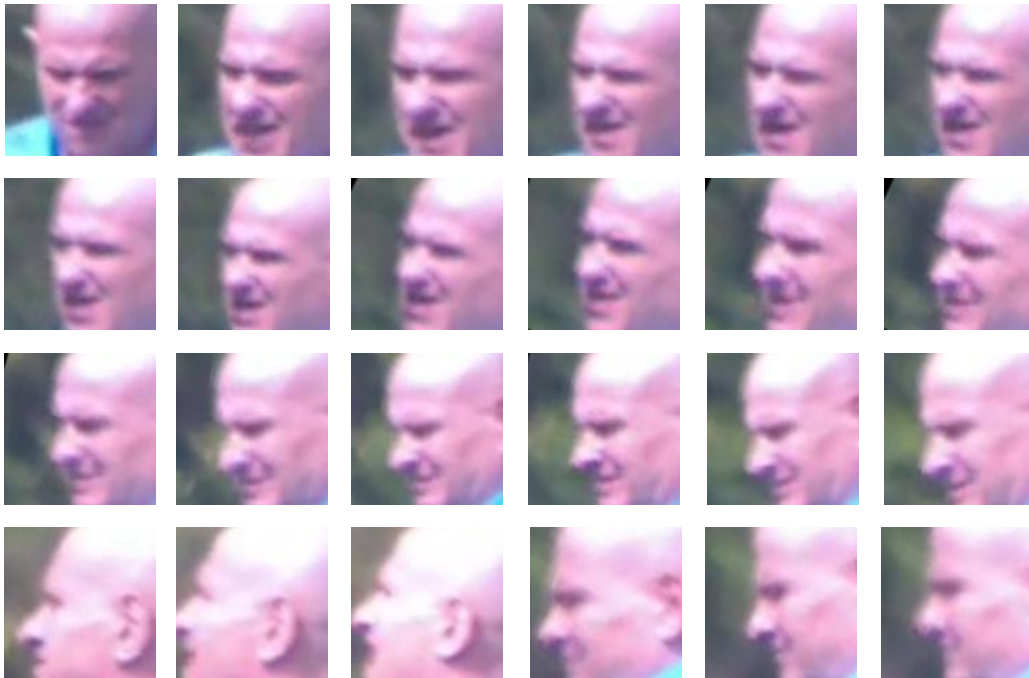
Cluster and Aggregate: Face Recognition with Large Probe Set

Minchul Kim, Feng Liu, Anil K. Jain, Xiaoming Liu
NeurIPS 2022



Problem Definition

Traits of Face Recognition with Videos



1



Varied Identifiability

Some images are more identifiable than others

2

N ▶ 10 ~ 1,000,000

Varied Number of Images

Number of images are not fixed.

3

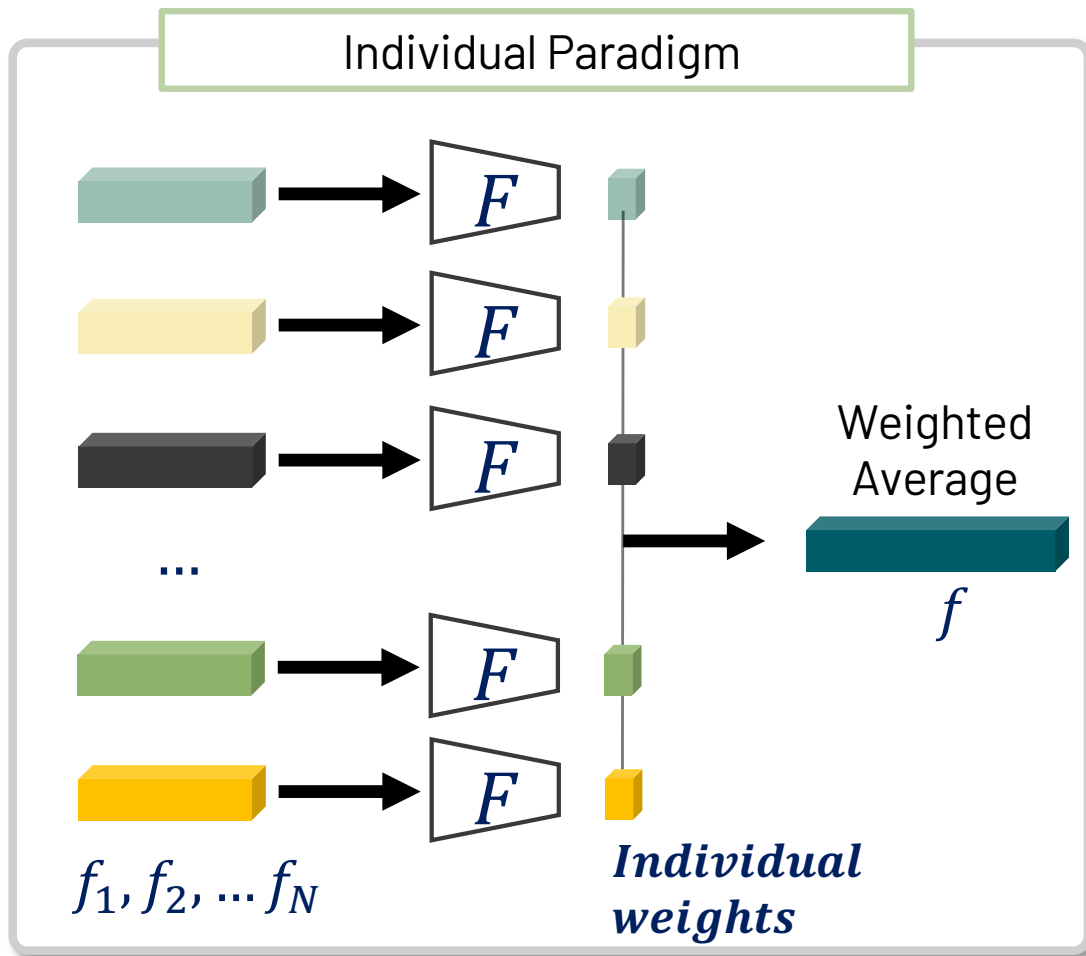


Sequential Inputs

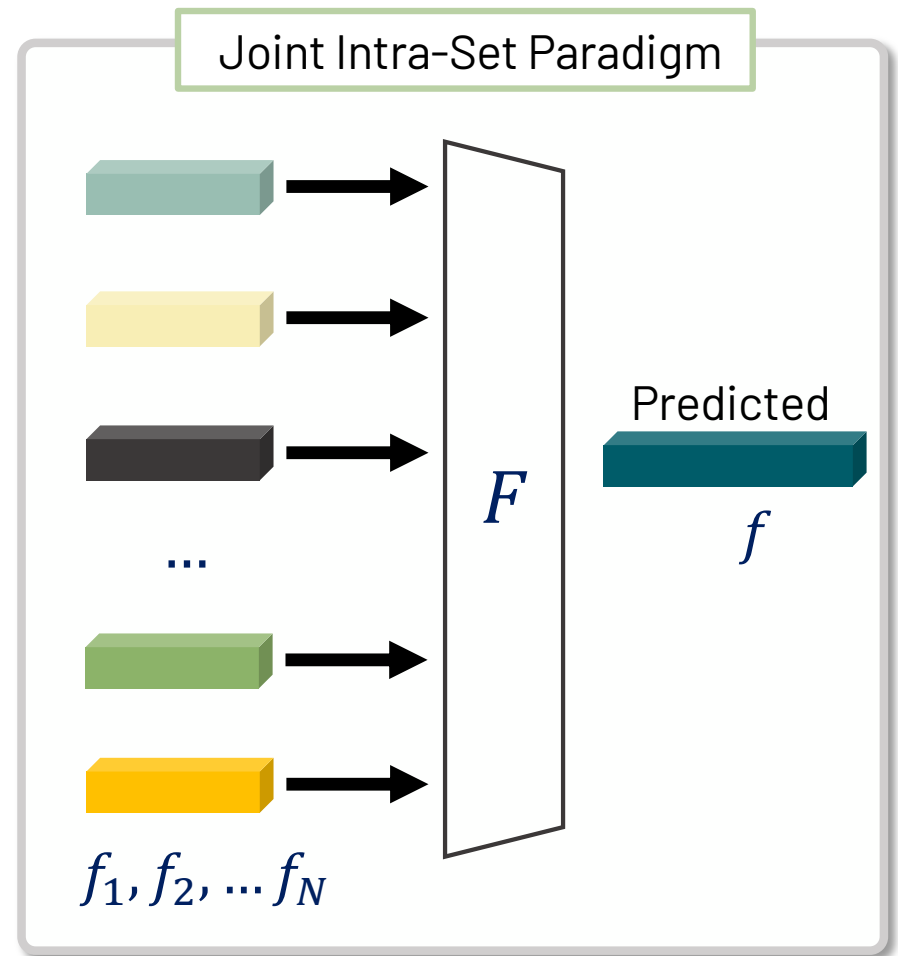
Videos come in sequentially. We use what we have up-to the current timeframe.

Subject in the slide consented to publication

Problem of Previous Methods



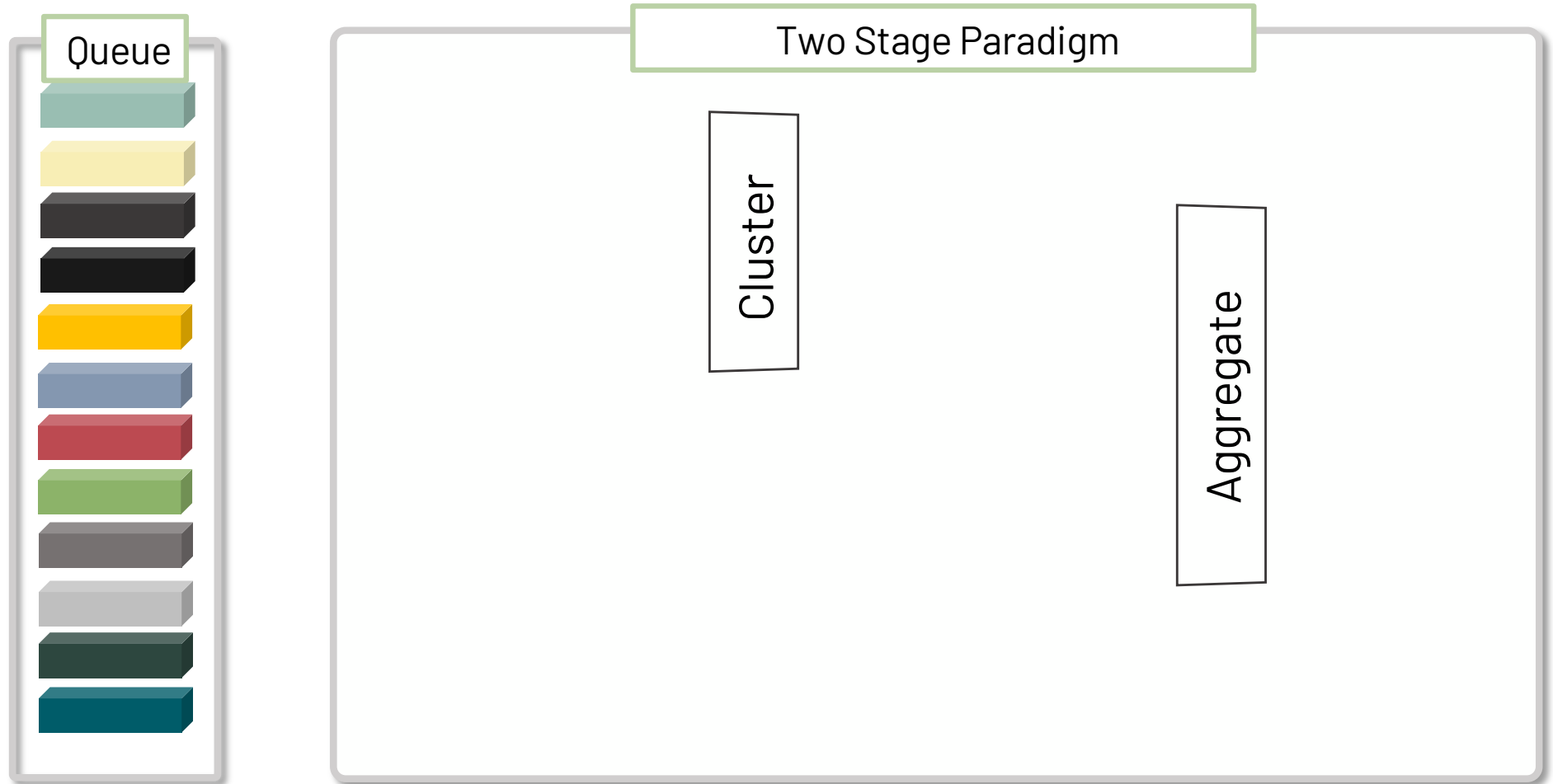
(No Intra-Set Relationship)



(Cannot handle large N)

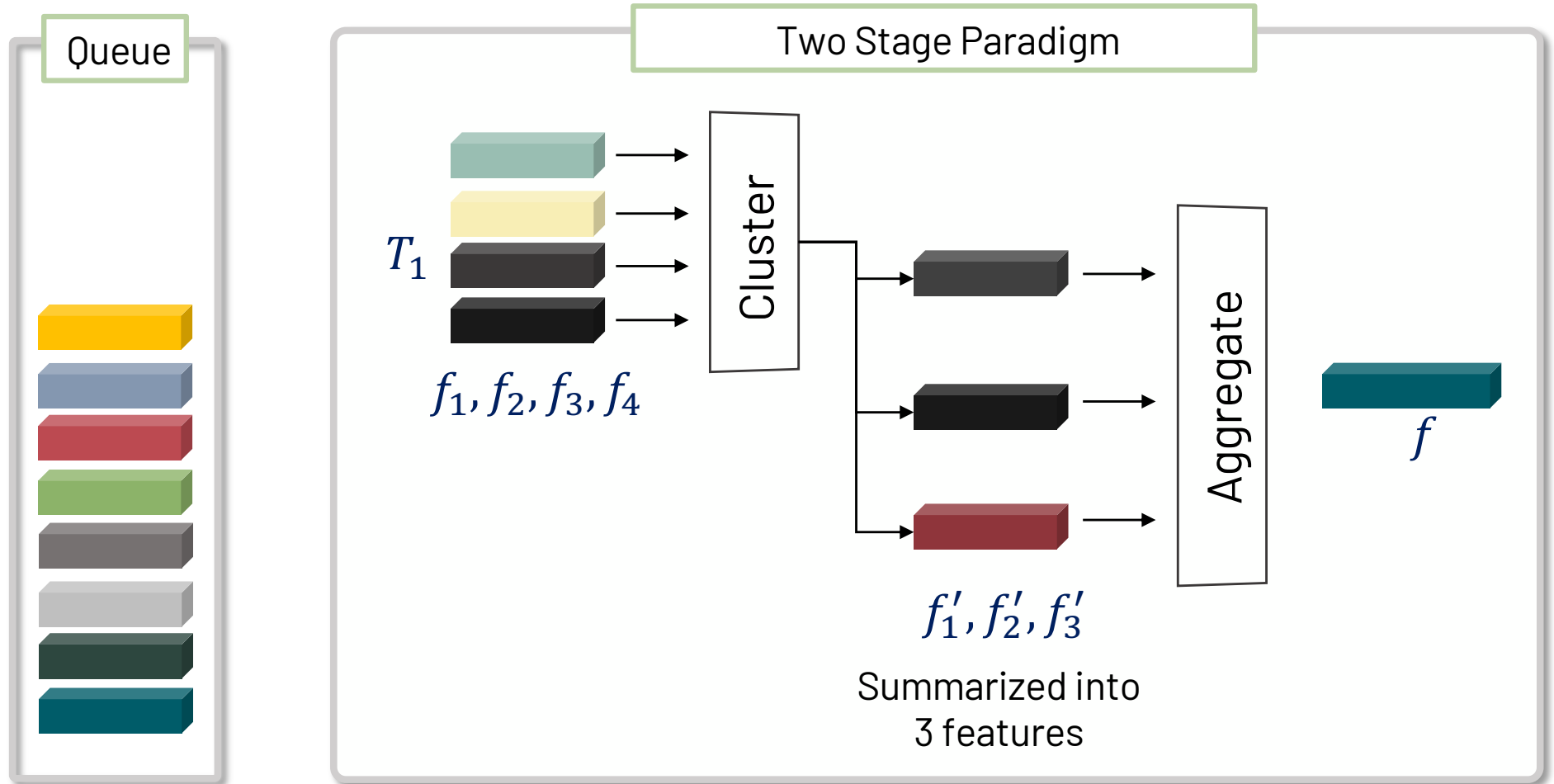
Motivation

Large N / Sequential Scenario



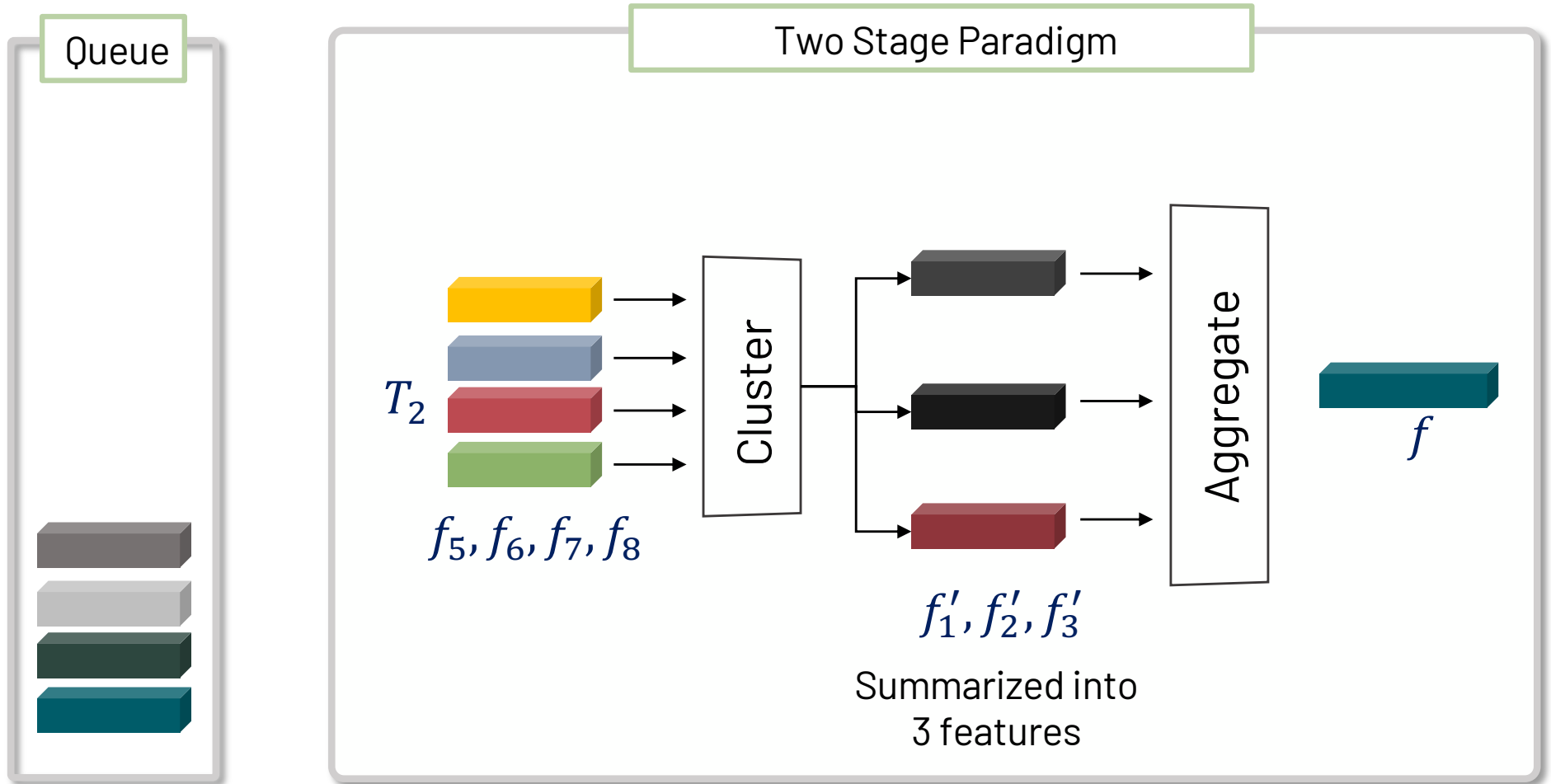
Motivation

Large N / Sequential Scenario



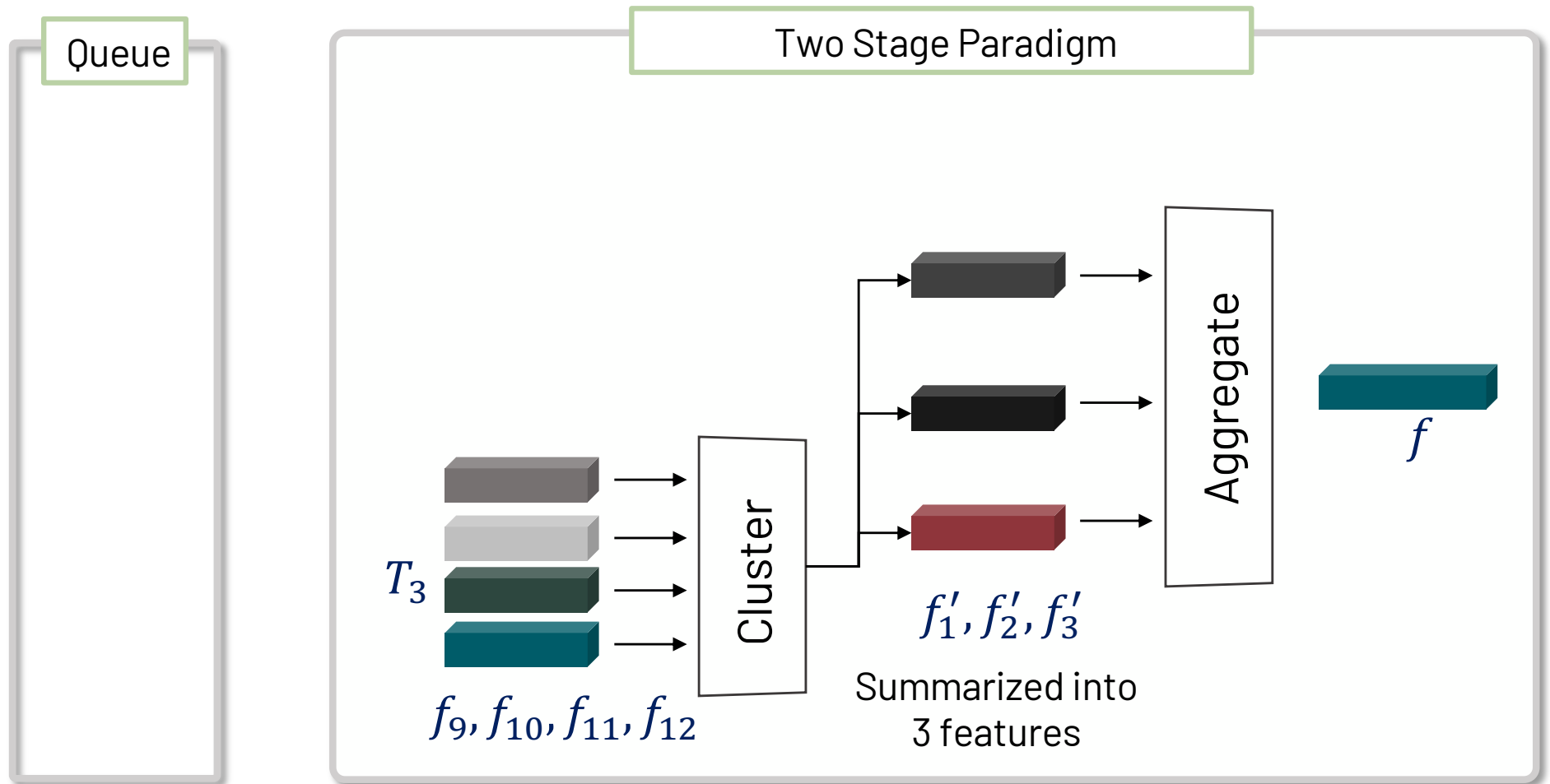
Motivation

Large N / Sequential Scenario



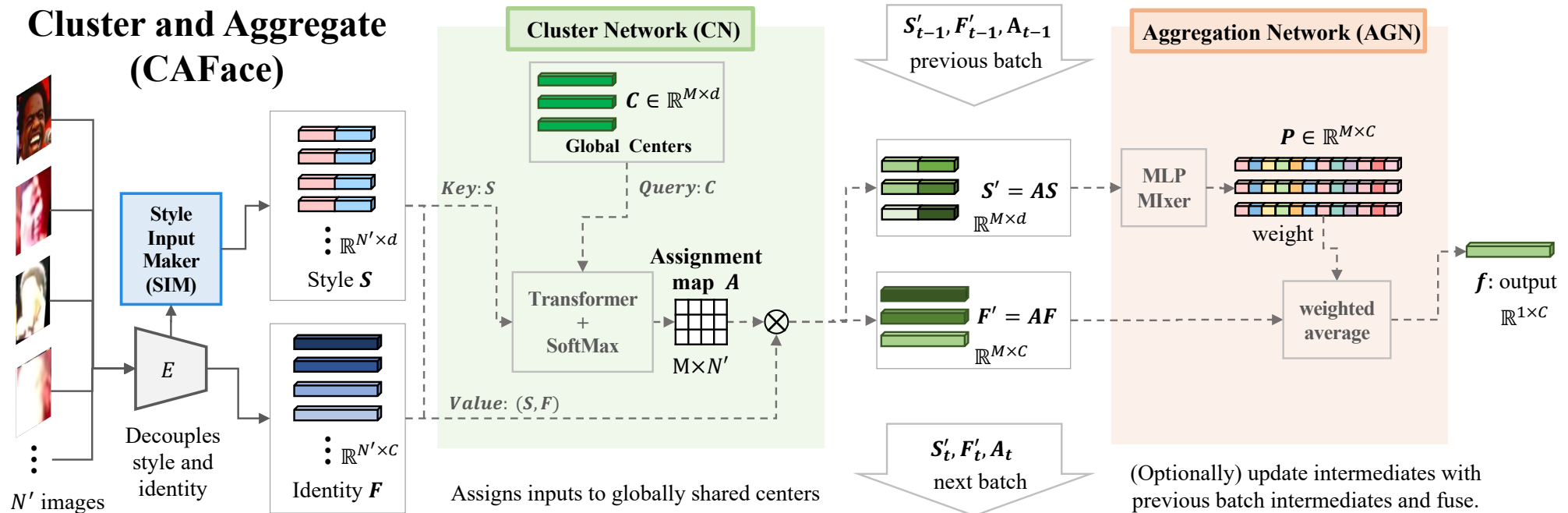
Motivation

Large N / Sequential Scenario



Method

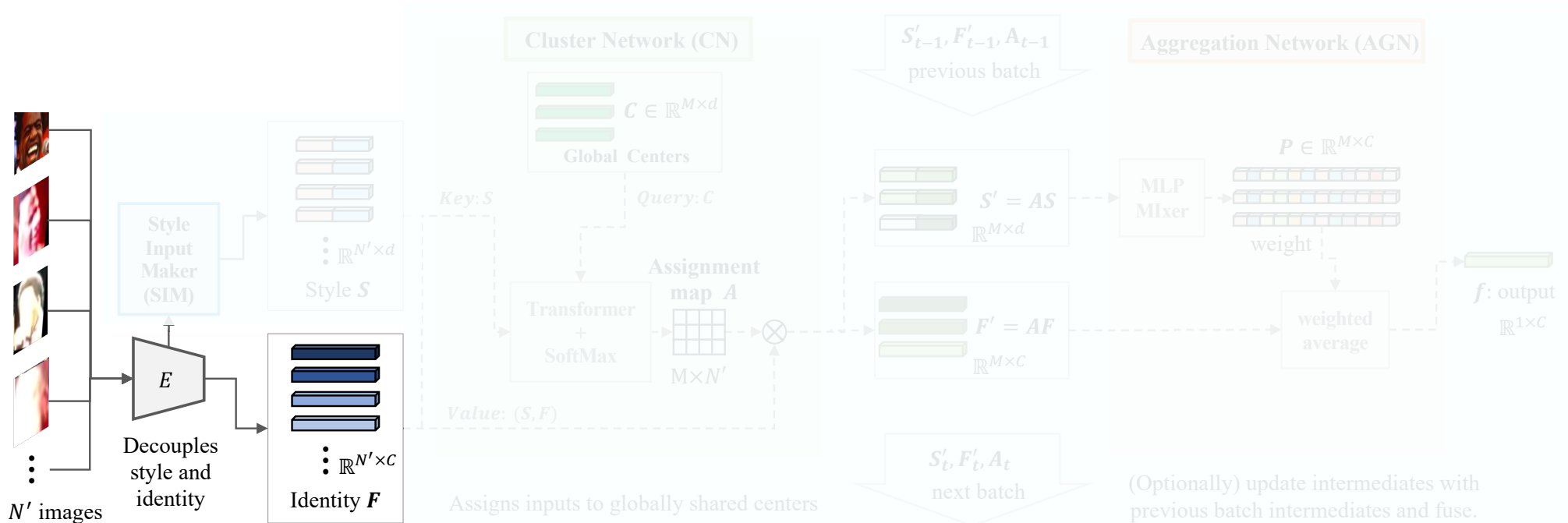
Architecture



Overall Architecture
3 components (SIM, CN, AGN)

Method

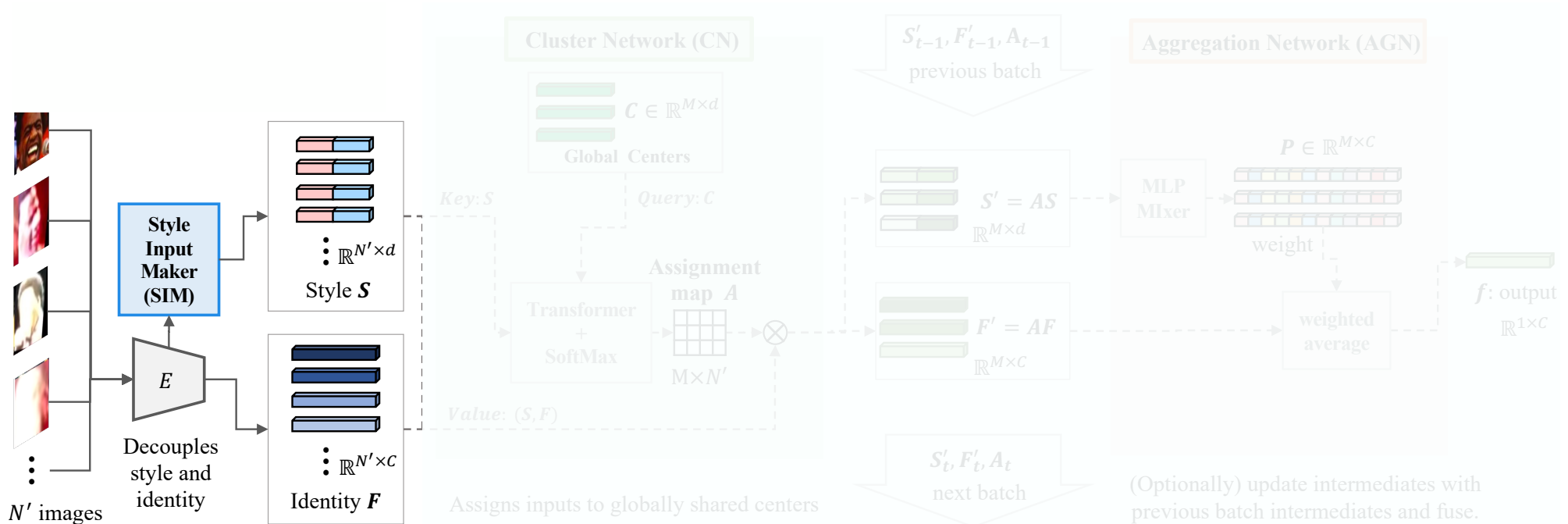
Architecture



Input images fed into the fixed feature extractor.

Method

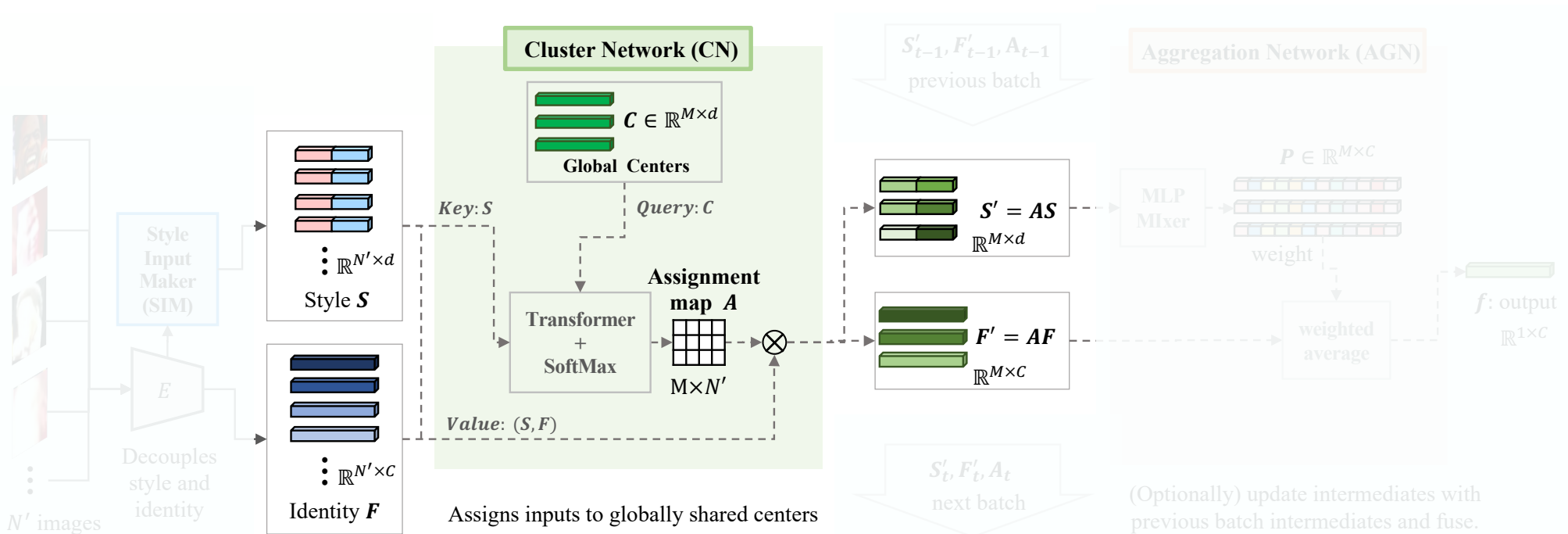
Architecture



Extract 1) style $\{s_i\}^N$ and 2) identity $\{f_i\}^N$ using the fixed feature extractor

Method

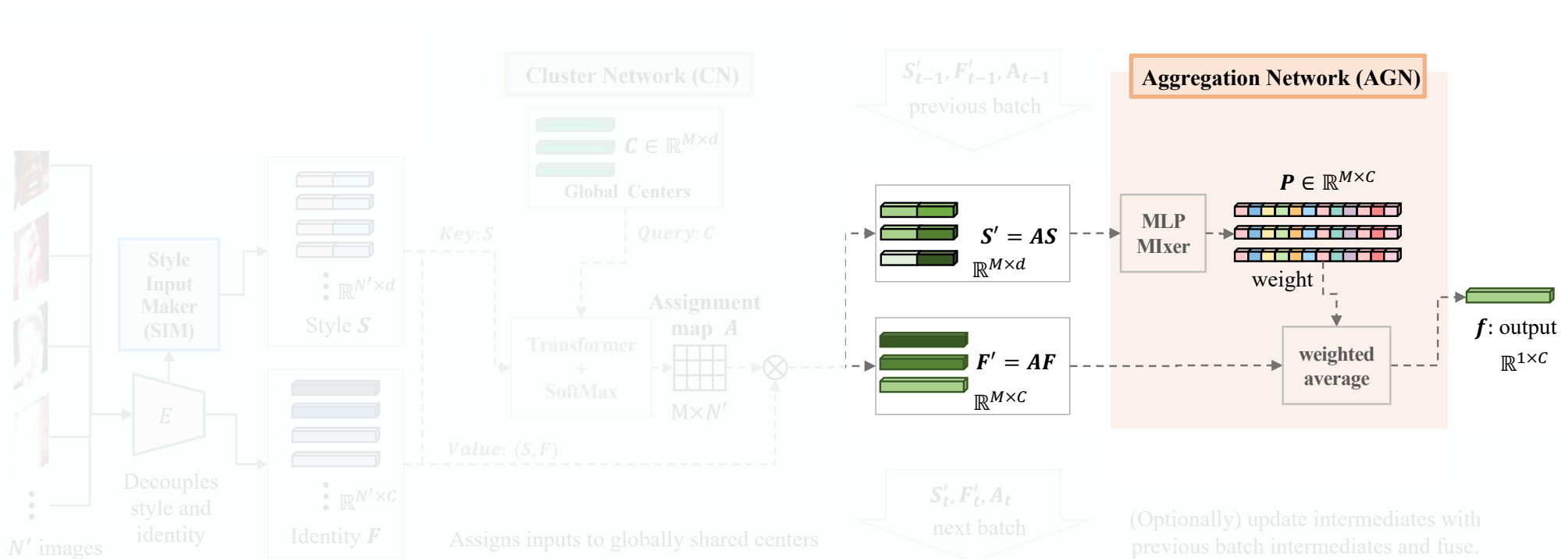
Architecture



CN uses learned centers $\{c_j\}^M$ and $\{s_i\}^N$ to create assignment map A .
 A is used to map $\{f_i\}^N \rightarrow \{f_j\}^M$ and $\{s_i\}^N \rightarrow \{s_j\}^M$

Method

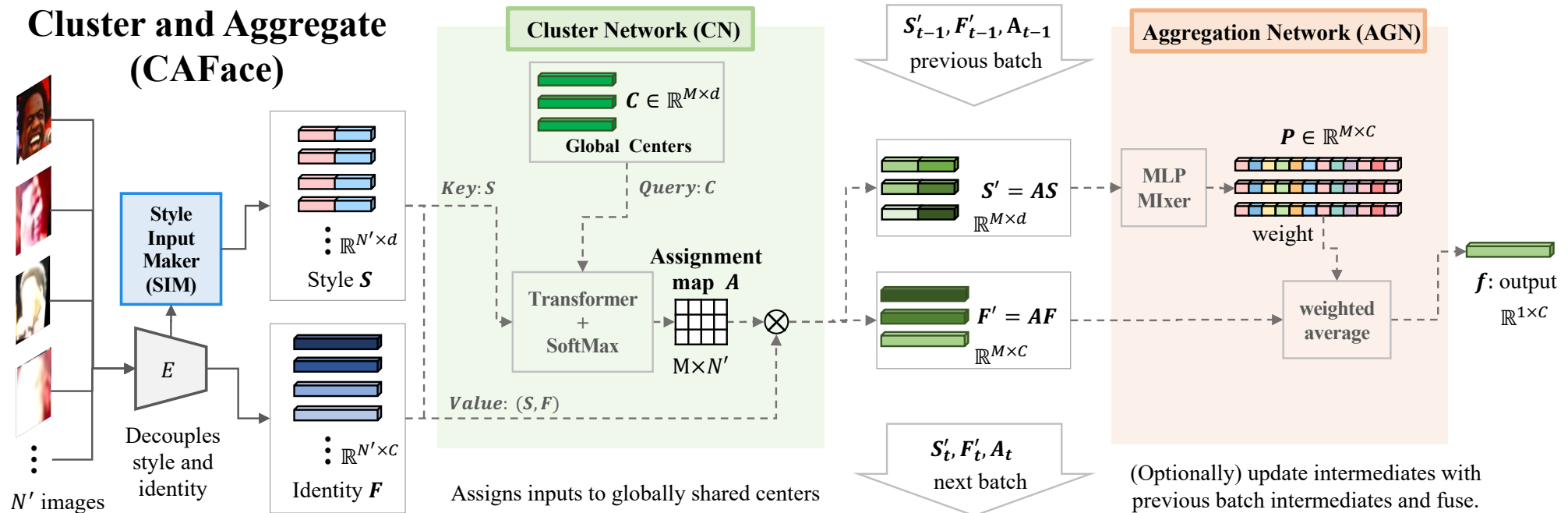
Architecture



AGN maps $\{f_j\}^M, \{s_j\}^M \rightarrow f$ with intra-set relationship.

Method

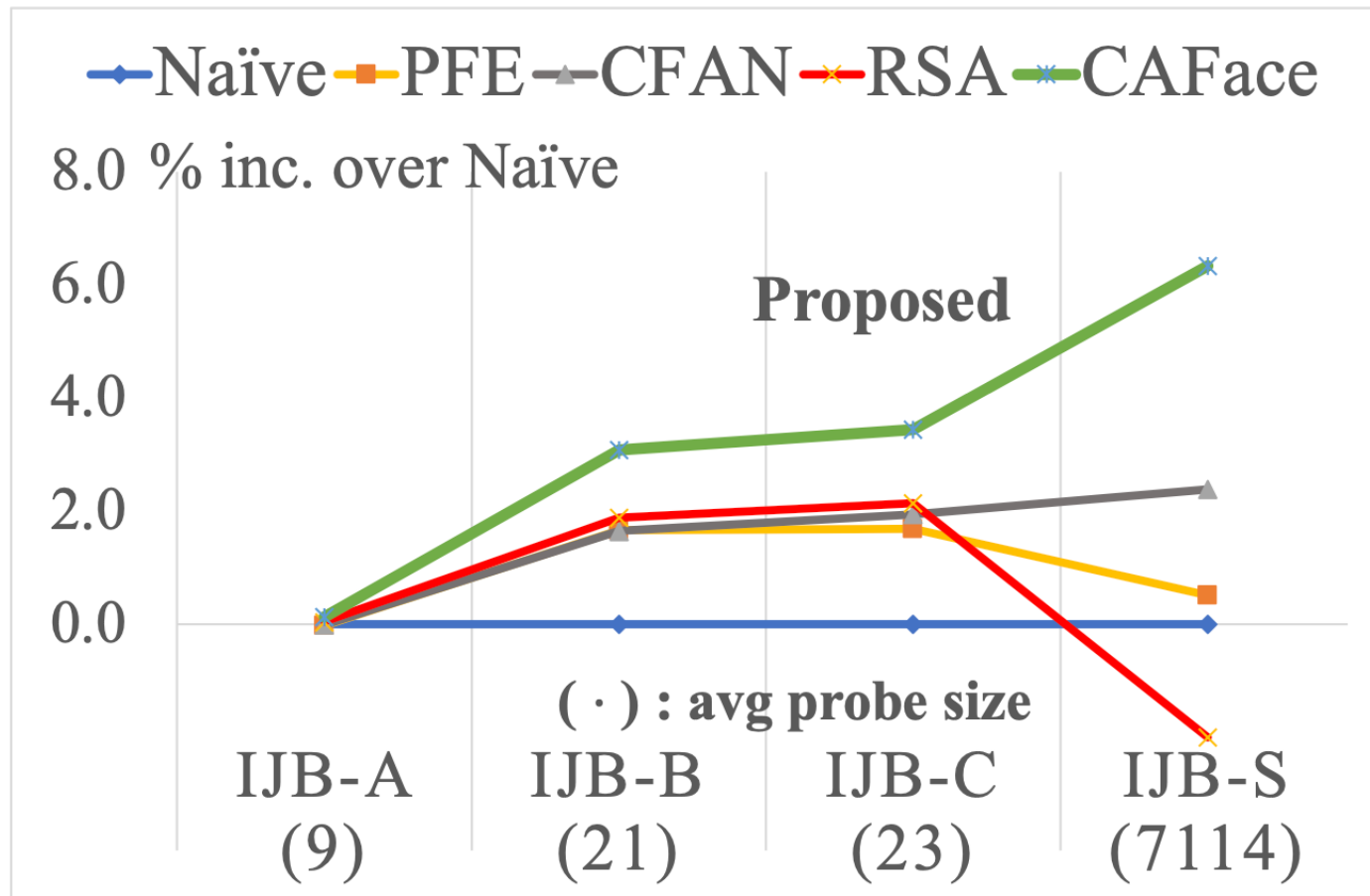
Architecture



Intermediate features $\{f_j\}^M$ and $\{s_j\}^M$ are updated in sequential setting.

Experiments

Performance Gain over simple average using feature fusion methods.



Naïve: Simple Average

PFE, CFAN: single image weight estimation

RSA: Attention Mechanism

↑
**Largest Probe size,
Largest Perf. gain**

Experiments

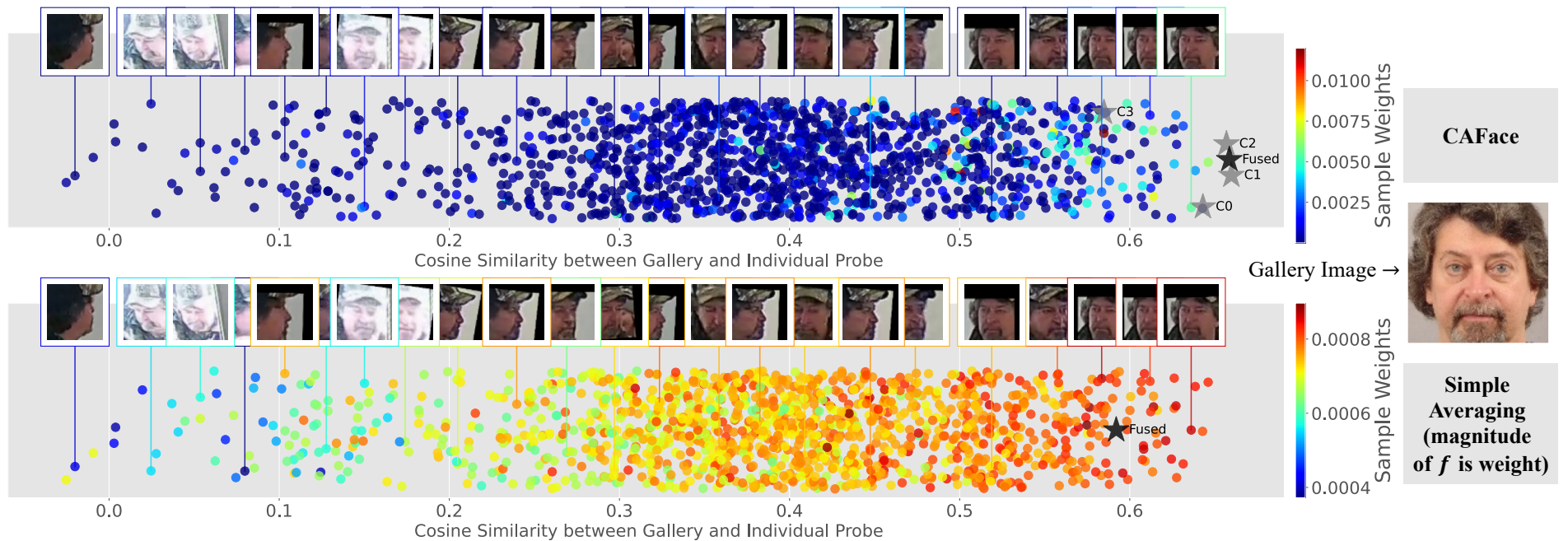
Table 3: A performance comparison of recent methods on the IJB-S [24] dataset.

Method	Surveillance-to-Single			Surveillance-to-Booking			Surveillance-to-Surveillance		
	Rank-1	Rank-5	1%	Rank-1	Rank-5	1%	Rank-1	Rank-5	1%
Naive Average	69.26	74.31	57.06	70.32	75.16	56.89	32.13	46.67	5.32
PFE [46]	69.50	74.39	57.51	70.53	75.29	57.98	32.27	46.70	5.41
CFAN [15]	70.00	74.58	57.93	70.90	75.58	58.09	31.66	45.59	5.79
RSA [31]	63.04	67.33	51.62	63.54	68.23	51.89	16.82	31.80	0.75
CAFace	71.61	76.43	62.21	72.72	77.41	62.68	36.51	49.59	8.78
CAFace (Random Order)	71.65 ± 0.05	76.37 ± 0.04	62.27 ± 0.11	72.77 ± 0.04	77.37 ± 0.03	62.70 ± 0.06	36.43 ± 0.08	49.40 ± 0.05	8.89 ± 0.03

Changing the order of probe sequence does not affect the performance.

Weight Visualizations

IJBS Probes' similarity to Gallery Visualization



Point colors indicate the weight during fusion.

CAFace Demo

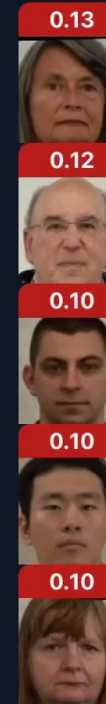
Video Feed - Frame Count: 1



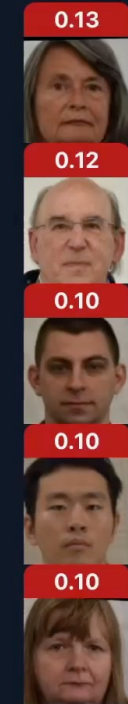
Detected Face Frame



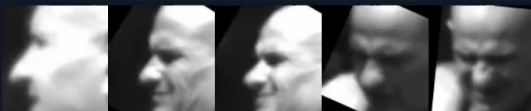
Top 5 CAFE Match



Top 5 Naive Match



● Tok 5 Most influential Images in CAFE



Cosine Similarity To Gallery



● CAFE
● Naive

Gallery Image



CAFace Demo

Video Feed - Frame Count: 1



Detected Face Frame



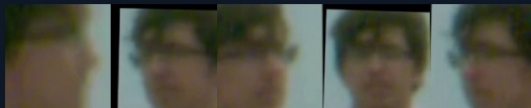
Top 5 CAFE Match



Top 5 Naive Match



● Tok 5 Most influential Images in CAFE



Cosine Similarity To Gallery

-0.3 -0.2 -0.1 0.0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0

● CAFE
● Naive

Gallery Image



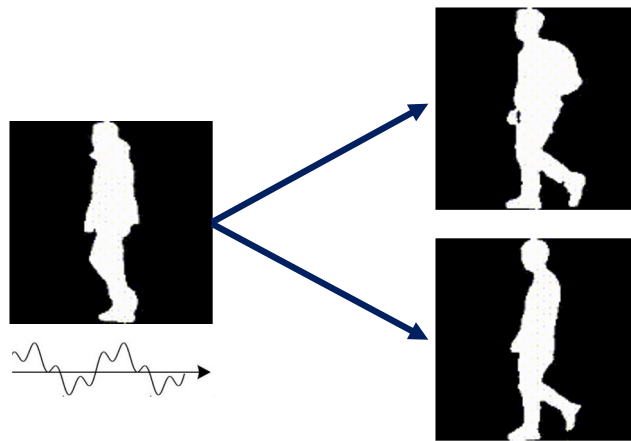
People Matching: Learning Clothing Invariant 3D Shape Representation

Feng Liu, Minchul Kim, ZiAng Gu, Anil Jain, and Xiaoming Liu
Under review



Problem Definition

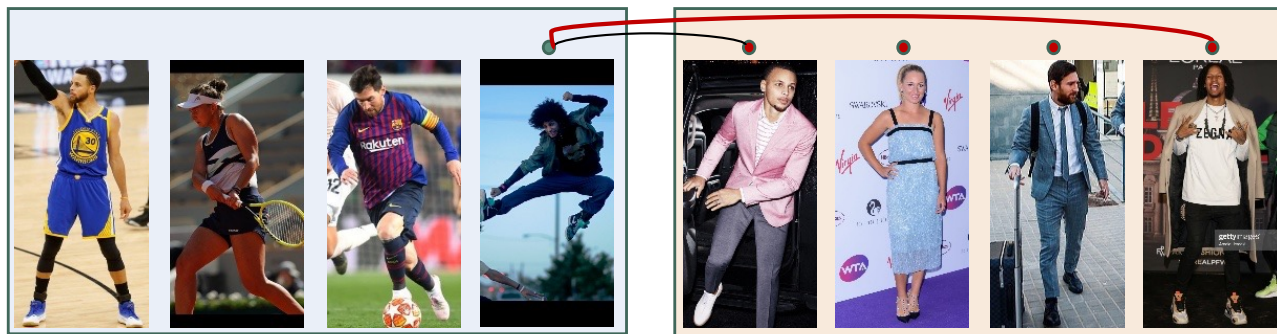
People matching. Two main characteristics:
diverse human activities and clothing changes



Gait recognition



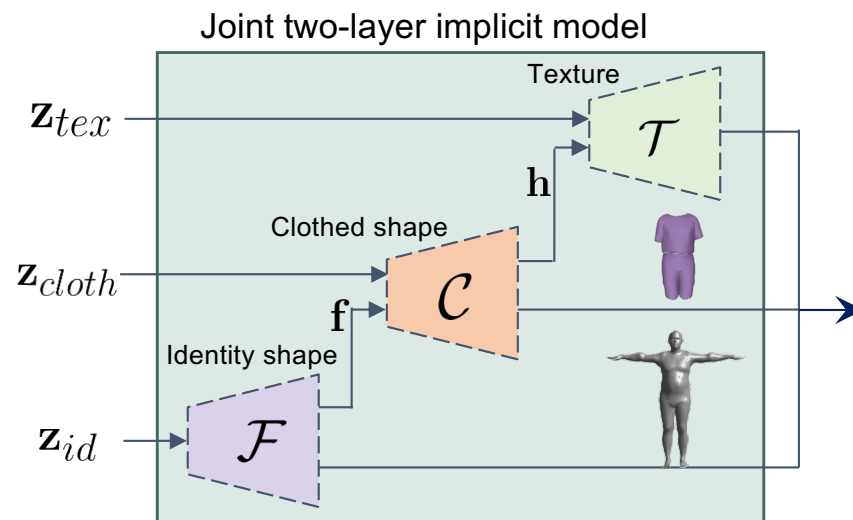
Person re-identification



People matching

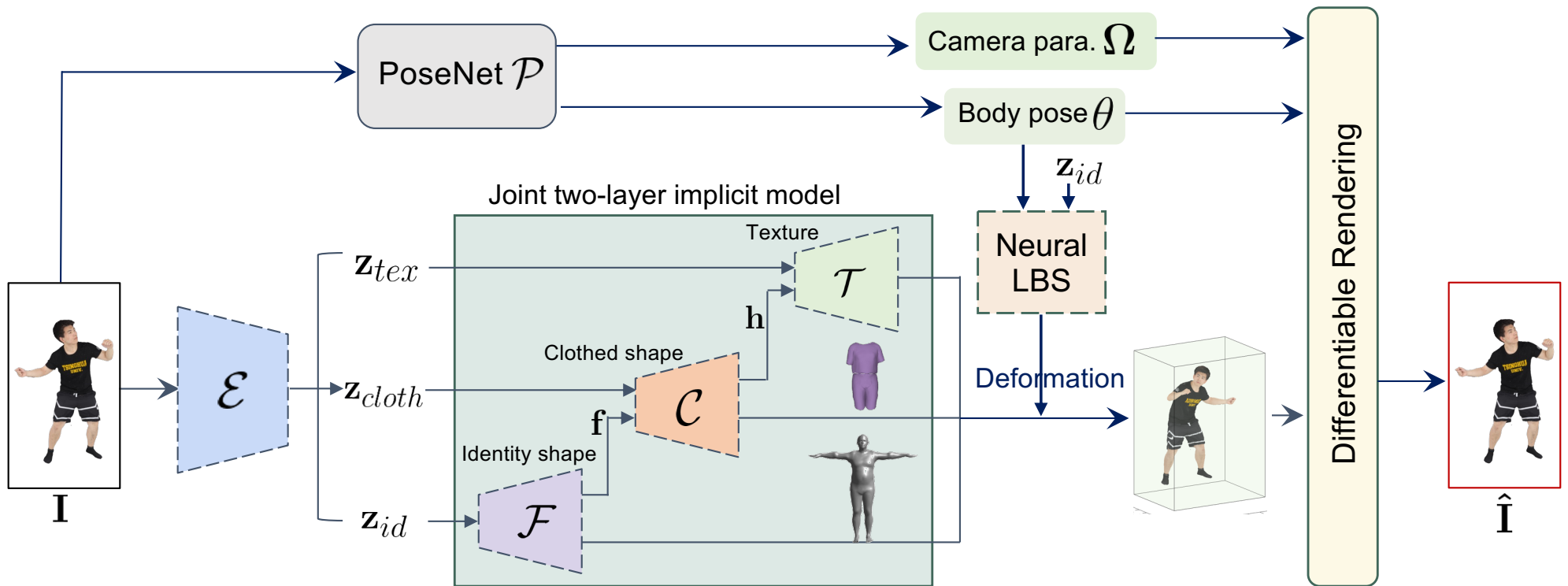
Joint Learning for People Matching and 3D Reconstruction

Disentangle identity and non-identity features in 3D body shape space



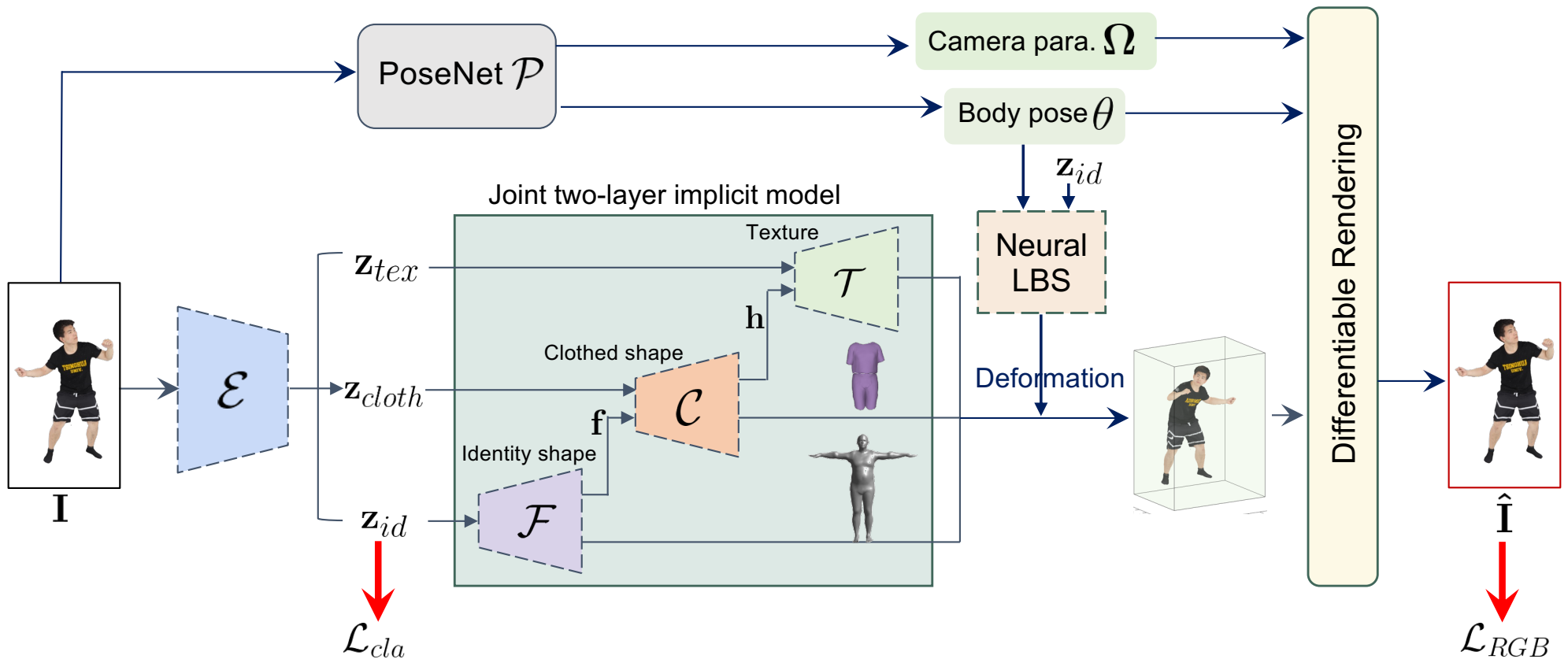
Joint Learning for People Matching and 3D Reconstruction

Disentangle identity and non-identity features in 3D body shape space



Joint Learning for People Matching and 3D Reconstruction

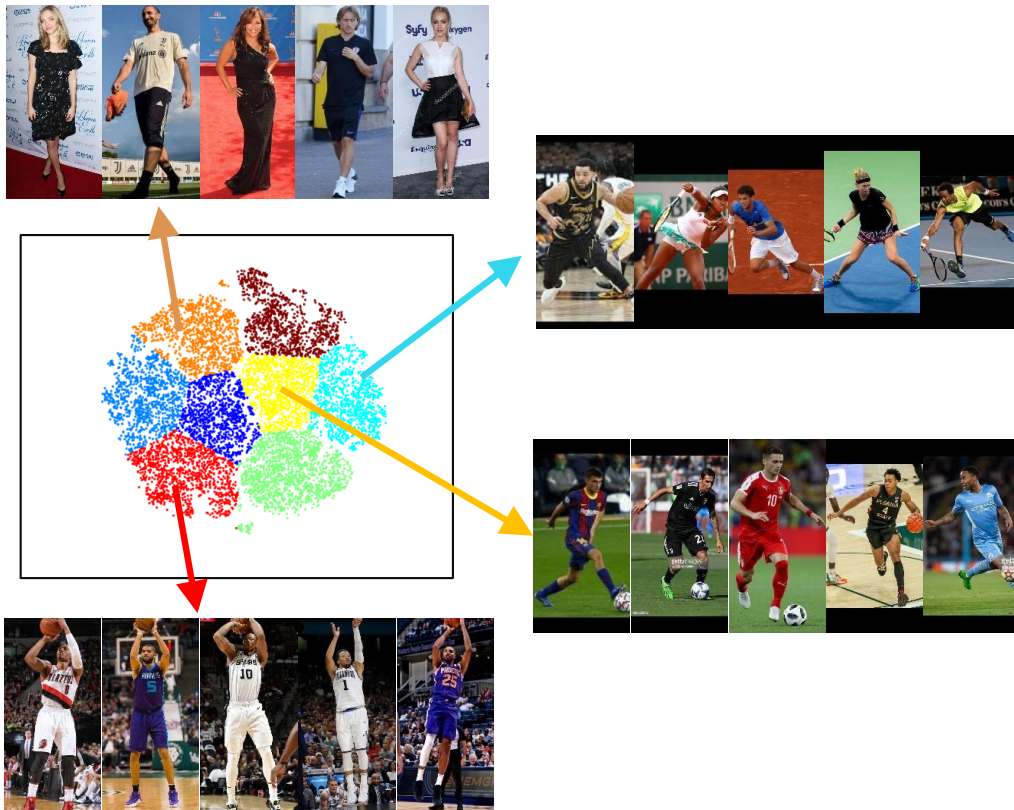
Disentangle identity and non-identity features in 3D body shape space



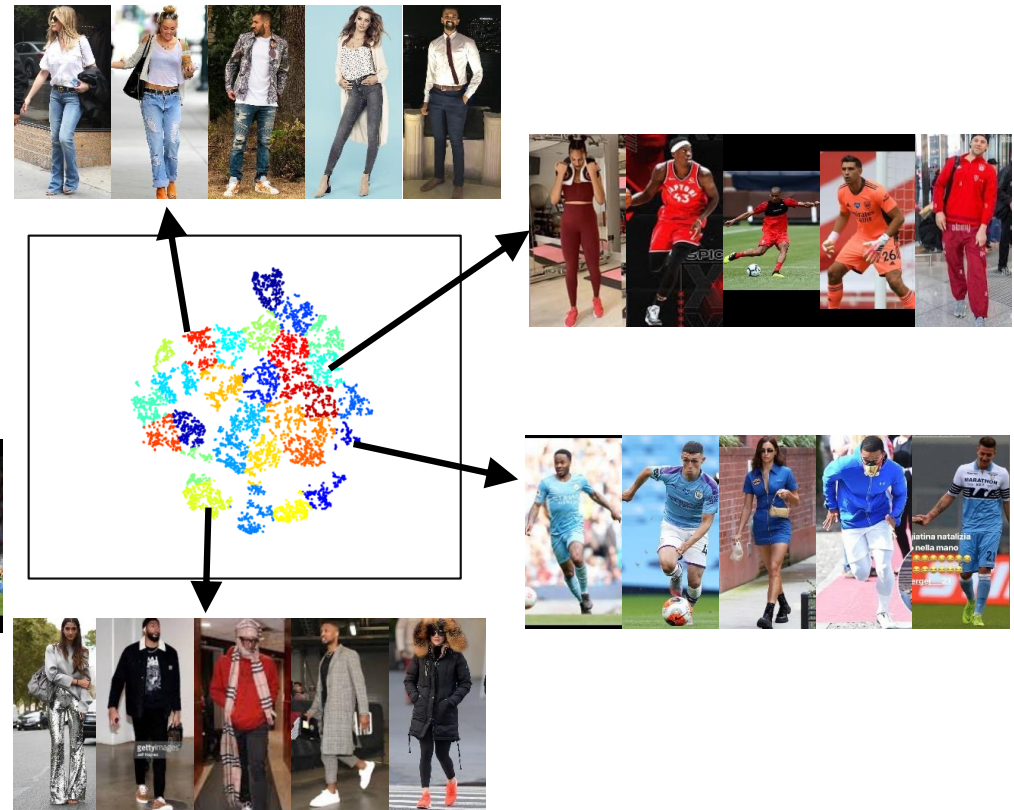
Diverse People Matching Dataset (DPMD)

87,821 images of 536 subjects

Examples of diverse poses



Examples of diverse clothes



People Matching Results

Best of baselines

ReIDCaps

TCSVT2019

DG-Net

CVPR2019

Li et al.

WACV2021

CAL

CVPR2022

■ Baseline (2D)

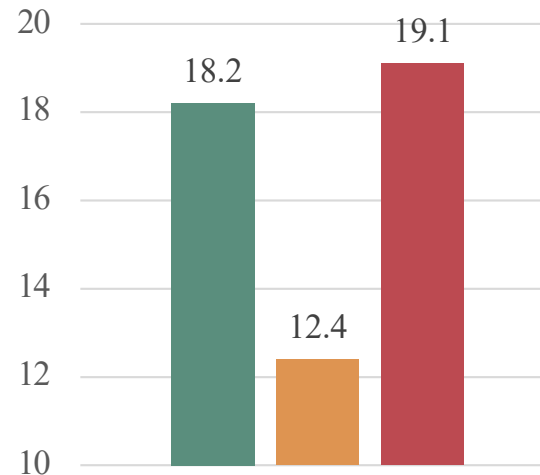
■ Ours (3D)

■ Baseline+Ours

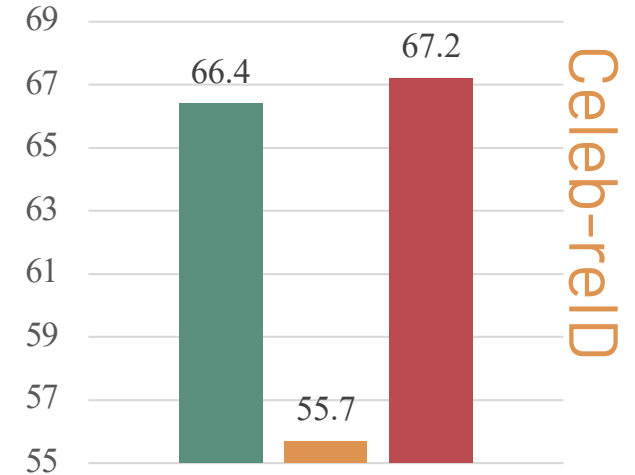


Sample images

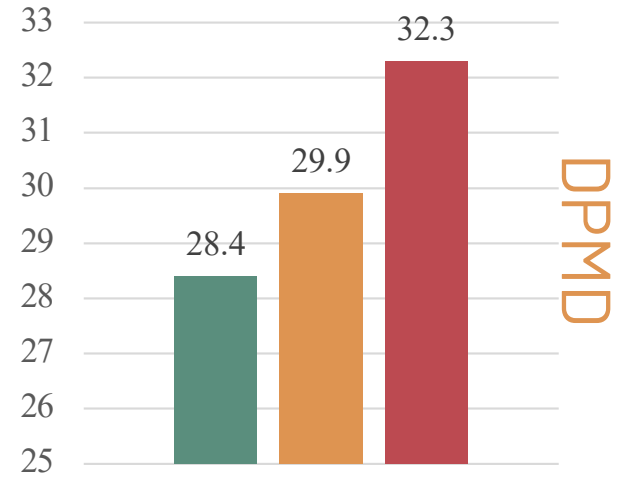
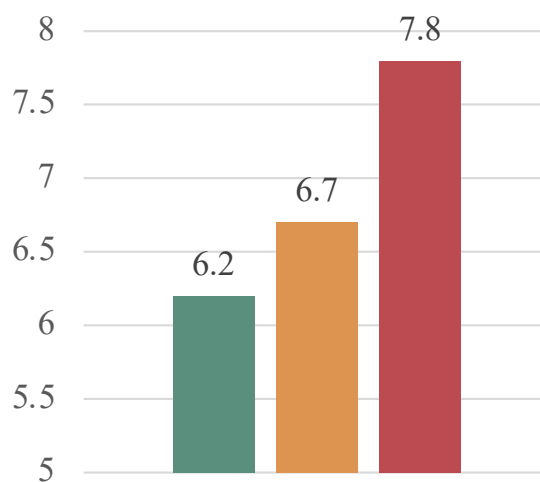
mAP



Rank1

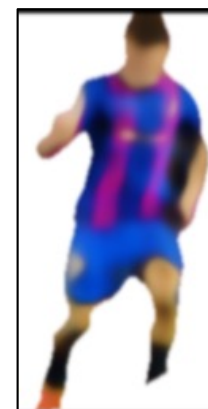


Celeb-reID



DPMD

3D Reconstruction Results



Naked
body

Clothed
body

Rec.
image

Naked
body

Clothed
body

Rec.
image

Conclusions

- There are many research questions for low-quality recognition
- Even for conventional FR problems, there are research opportunities such as explainability, new architecture, etc.
- Body biometrics is just at the beginning and there is a great potential for further development.



Questions?