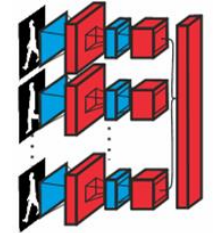


Gait Biometrics, Forensics and Soft Biometrics:

Mark Nixon

IEEE Biometrics Council Distinguished Lecturer

University of Southampton UK

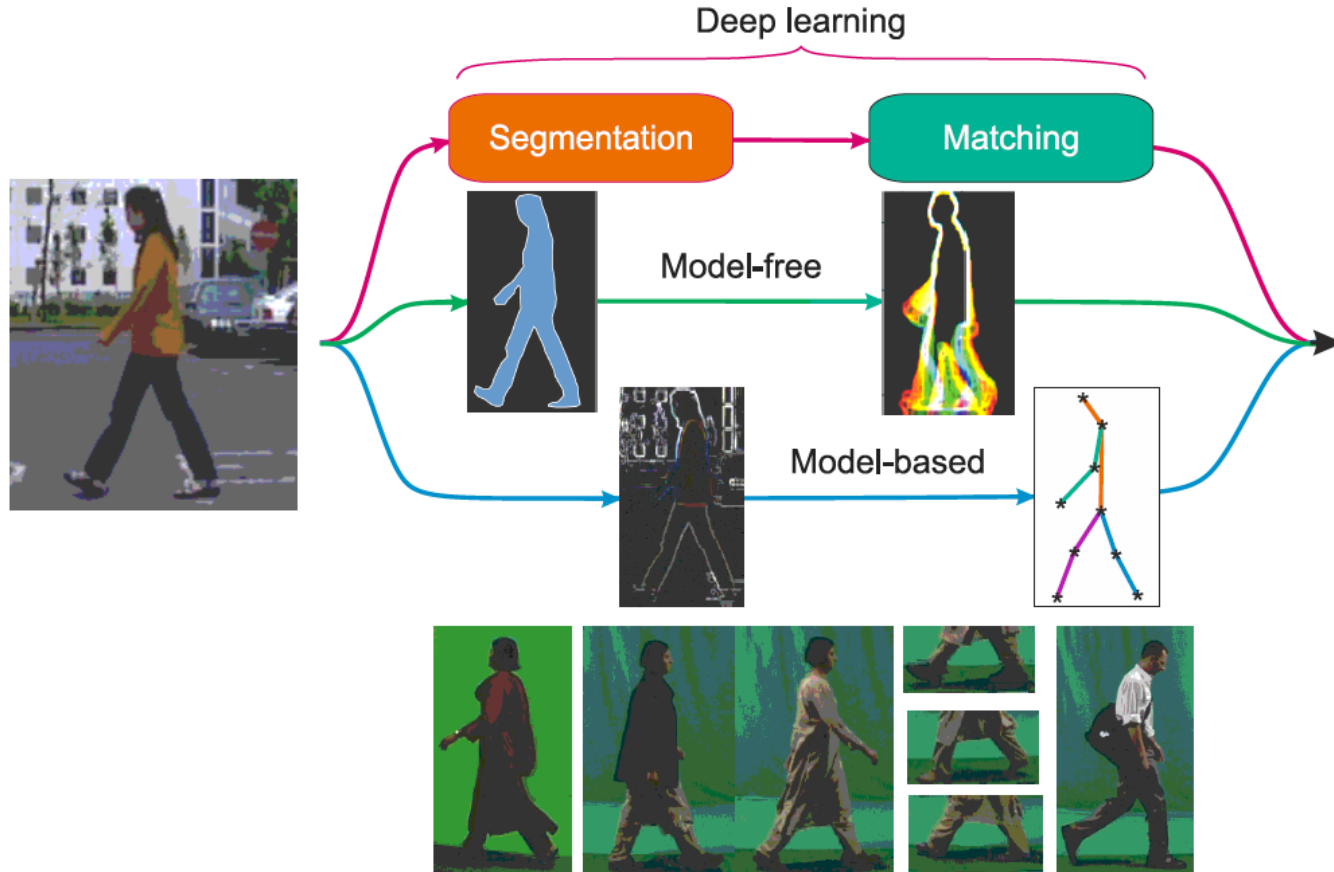


**2024 WINTER SCHOOL
ON BIOMETRICS**

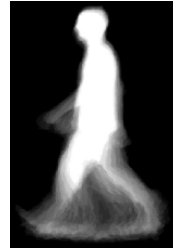
21-25 January 2024 Shenzhen, China



Gait = body shape + movement



History



Shakespeare, 1623

[Cunado et al., 1997]

[Soriano et al., 2004]

[Yam et al., 2004]

[Han and Bhanu, 2005]

[Yoo et al., 2005]

[Sarkar et al., 2005]

[Middleton et al., 2005]

[Makihara et al., 2006]

[Gafurov et al., 2006]

[Bouchrika et al., 2011]

[Matovski et al., 2011]

[Hossain and Chetty, 2013]

First observation

Video-based recognition

Running

Gender

Biometric carpet

Wearable/accelerometer

Time

Front view

Gait Energy Image GEI

Shoe, coat, surface

Viewpoint independence

Forensics

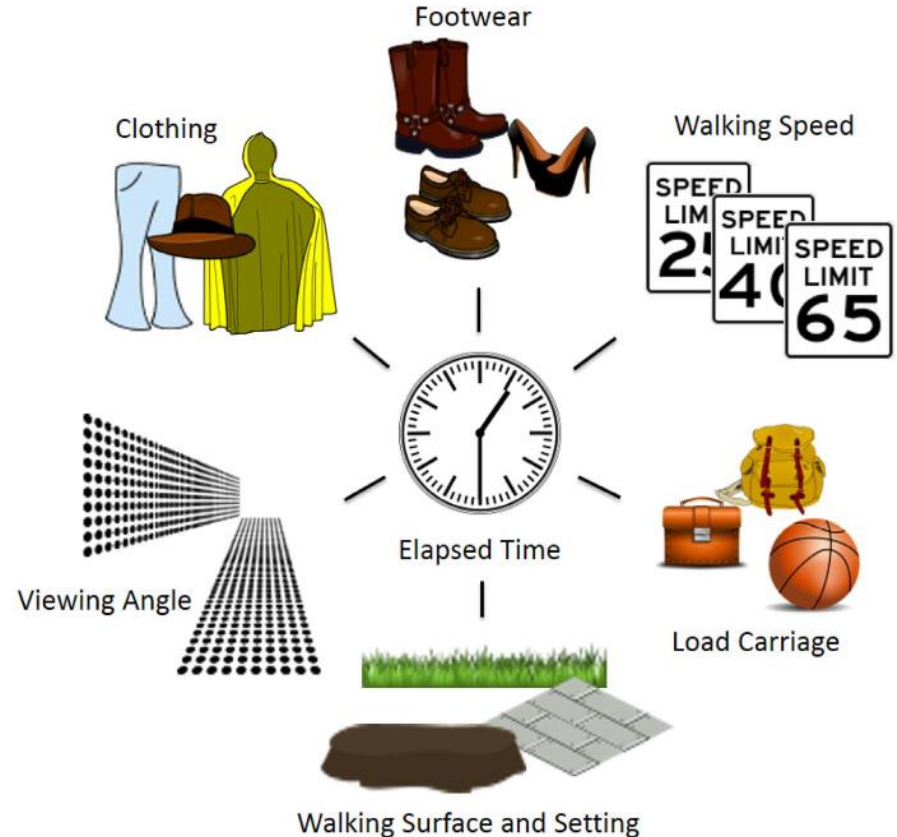
Deep

What changes?

Many **covariates** can affect walking style

.... + health, drugs, mood,

.... but walking is a natural part of our daily lives



Gait biometrics databases

Laboratory

- Southampton 3D and 2D
- CASIA (+ multiview, thermal)
- Osaka OU-ISIR (+ multiview)

'Real' World

- HumanID/ Southampton
- FVG
- CASIA
- Sustech (+ Lidar)

+ accelerometer, footfall, medical



A. Identifying people by their gait

1. Where are we now?
2. How did we get here?
3. Where are we going?

Gait Recognition –state of the art

Technique: mainly deep

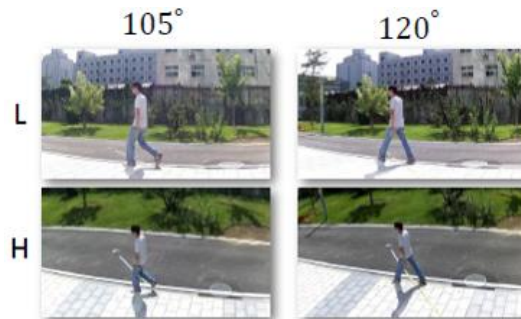
Data: Frontal-View Gait (FVG)

CASIA E

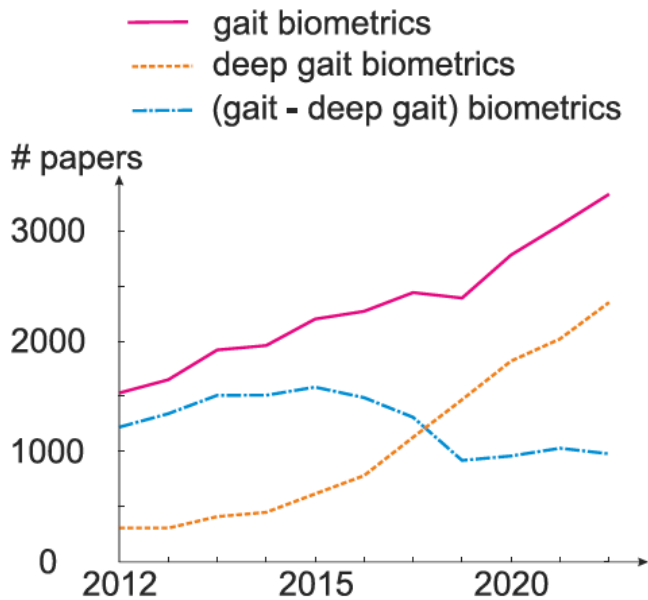
SUSTech

GREW

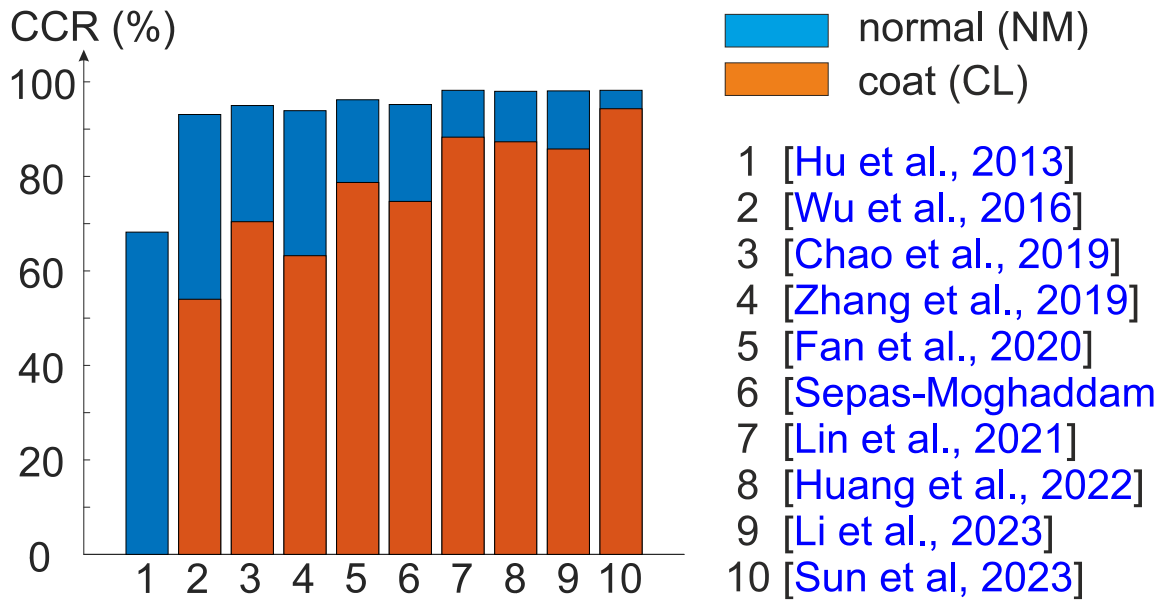
Applications: increasing use in crime scene analysis



Gait Recognition –state of the art

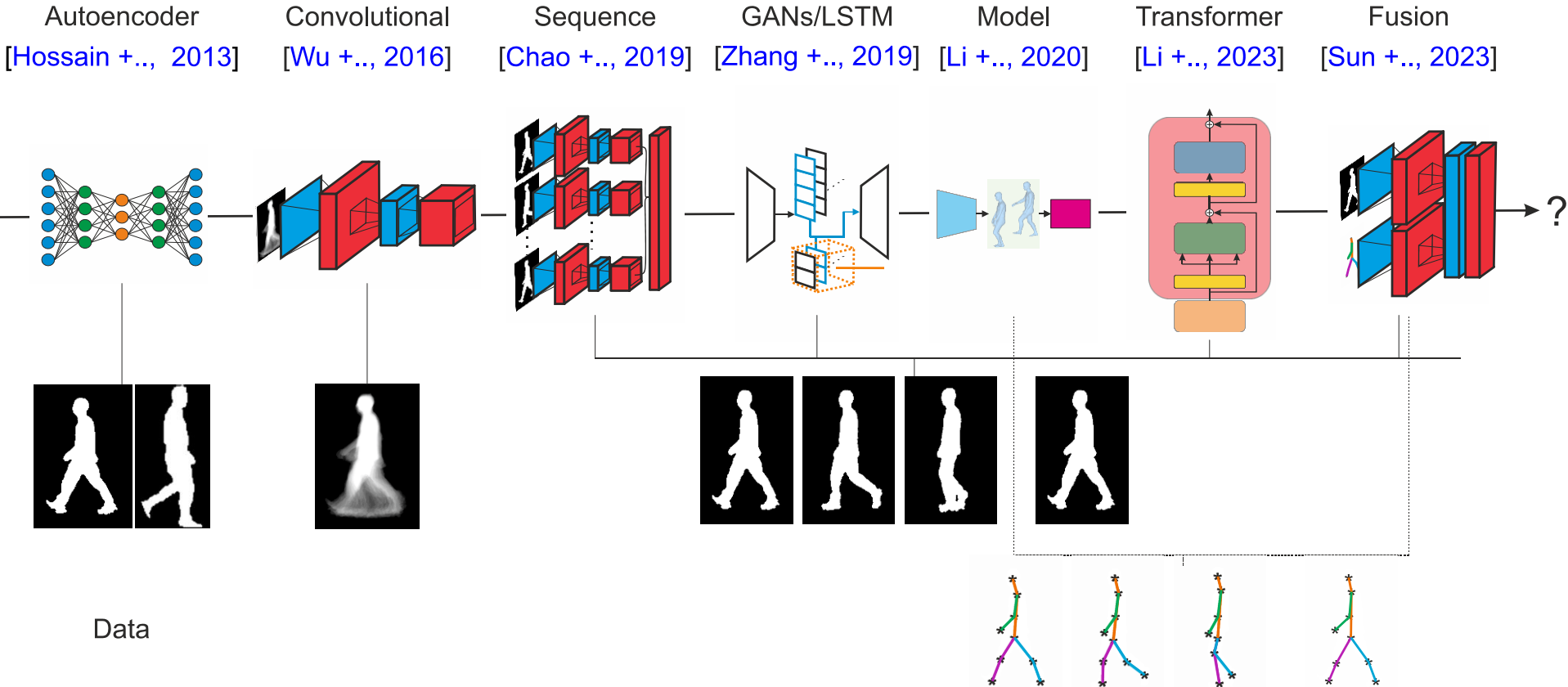


Papers on GS

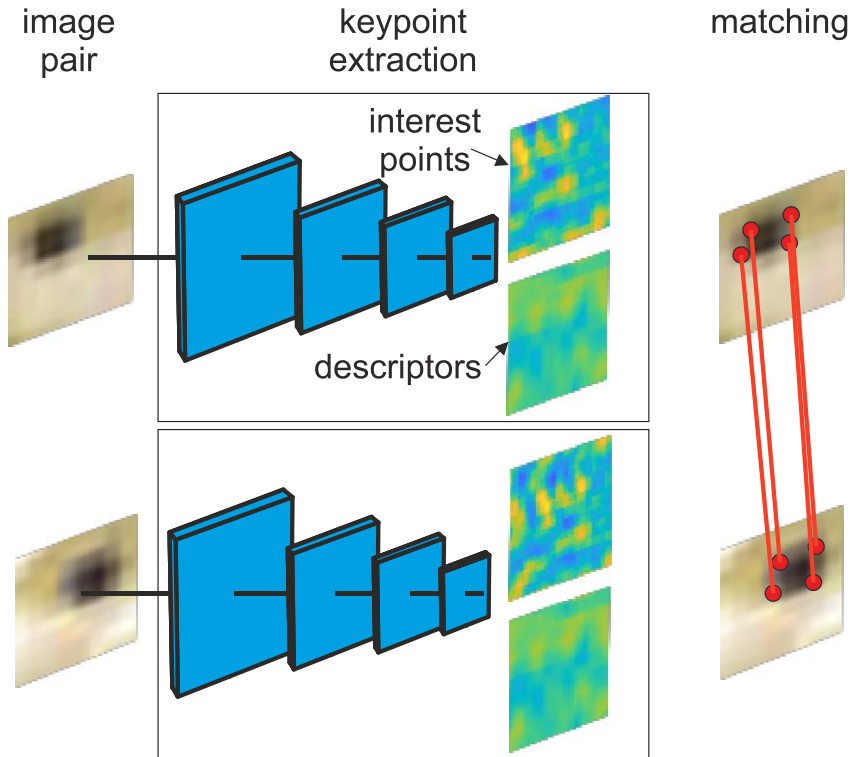


Performance on CASIA B

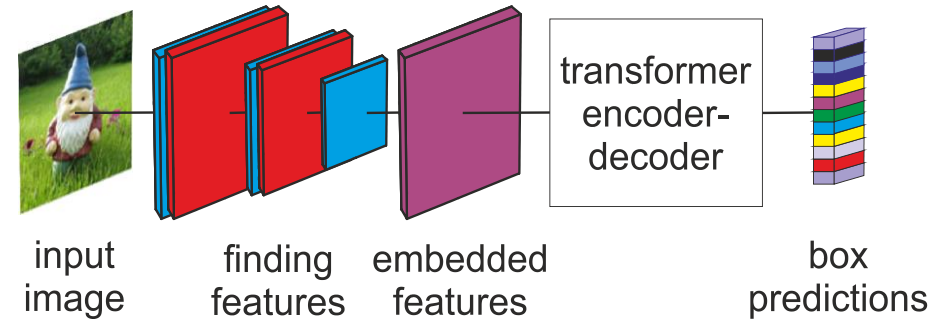
Gait Recognition – the deep revolution



By way of comparison



Patch matching



Object detection

The 4th International Competition on Human Identification at a Distance 2023 (HID2023)

Organized by JingzheMa - Current server time: May 9, 2023, 1:32 p.m. UTC

First phase

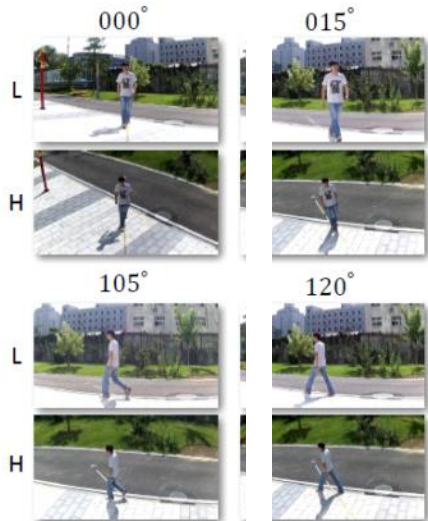
First phase

Feb. 15, 2023, midnight UTC

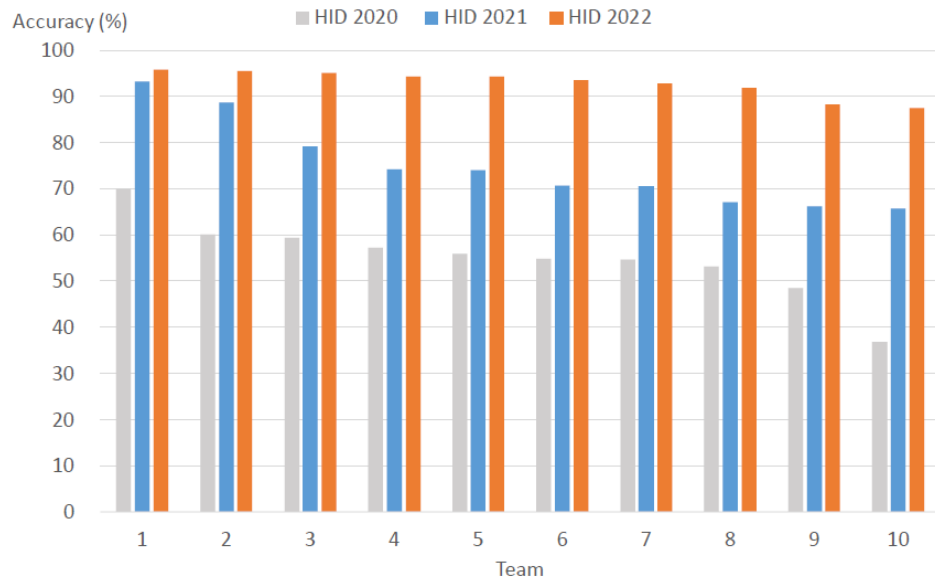
End

Competition Ends

April 15, 2023, 11:59 p.m. UTC

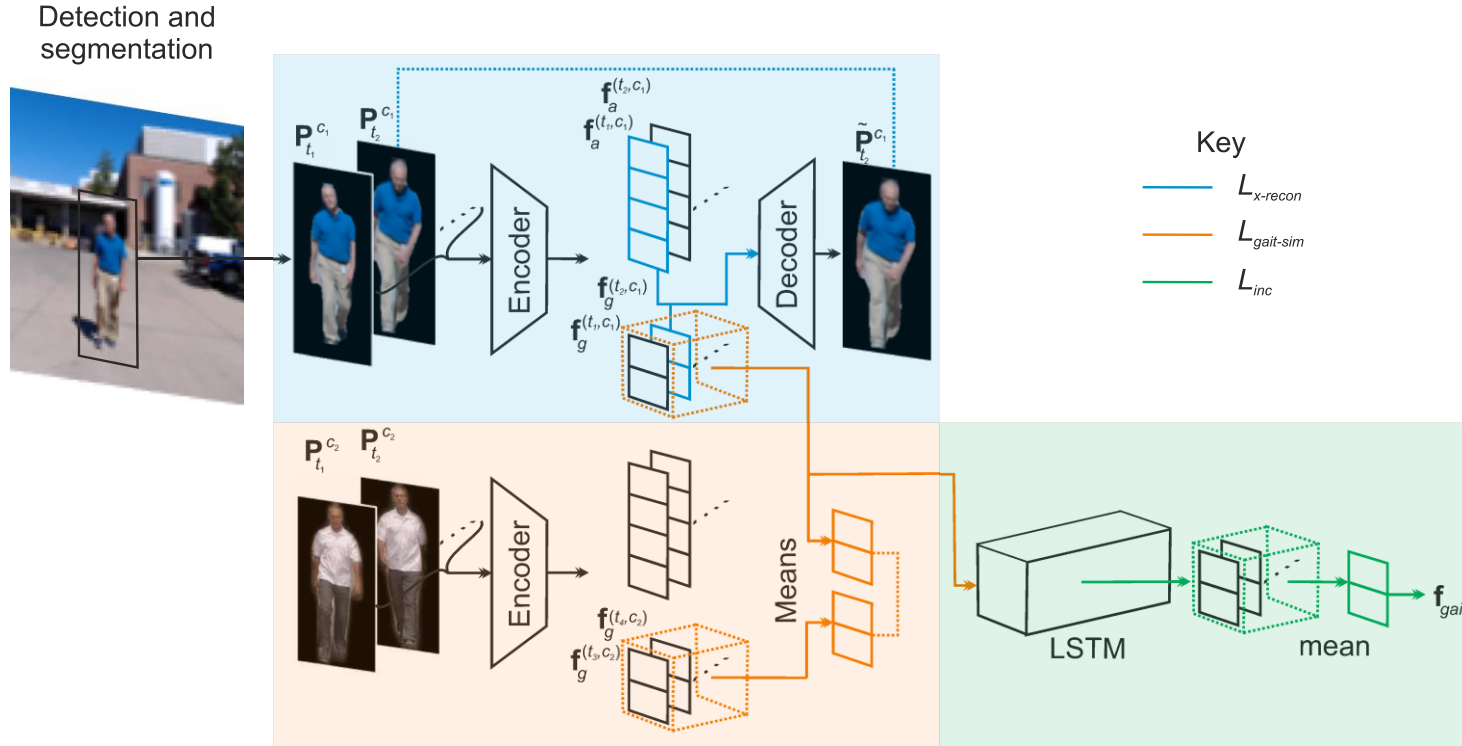


CASIA E



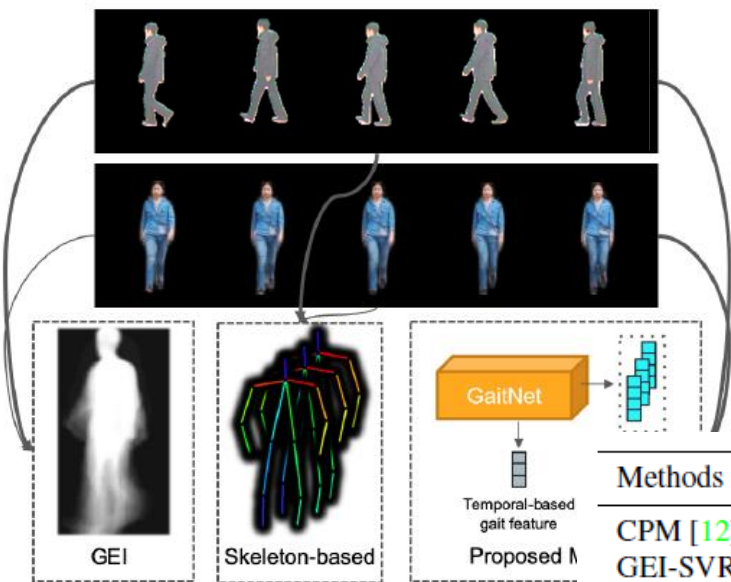
ACCV 2020/ IJCB 2021/ IJCB 2022/ IJCB 2023

Gait recognition via disentangled representation learning



See also: Li, Makihara, Xu, Yagi: Gait recognition via semi-supervised disentangled representation learning to identity and covariate features, CVPR 2020

Gait recognition via disentangled representation learning

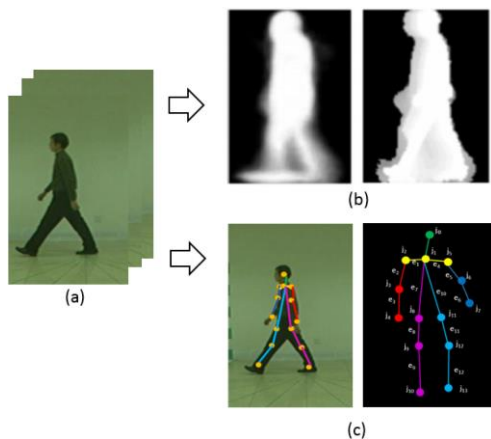


Methods	0°	18°	36°	54°	72°	108°	126°	144°	162°	180°	Average
CPM [12]	13	14	17	27	62	65	22	20	15	10	24.1
GEI-SVR [29]	16	22	35	63	95	95	65	38	20	13	42.0
CMCC [28]	18	24	41	66	96	95	68	41	21	13	43.9
ViDP [26]	8	12	45	80	100	100	81	50	15	8	45.4
STIP+NN [30]	—	—	—	—	84.0	86.4	—	—	—	—	—
LB [46]	18	36	67.5	93	99.5	99.5	92	66	36	18	56.9
L-CRF [12]	38	75	68	93	98	99	93	67	76	39	67.8
GaitNet (ours)	68	74	88	91	99	98	84	75	76	65	81.8

Zhang et al, CVPR 2019

Generally, big(ger) numbers!!

Gait Recognition based on Local Graphical Skeleton Descriptor with Pairwise Similarity Network



LGSD Local Graphical Skeleton Descriptor

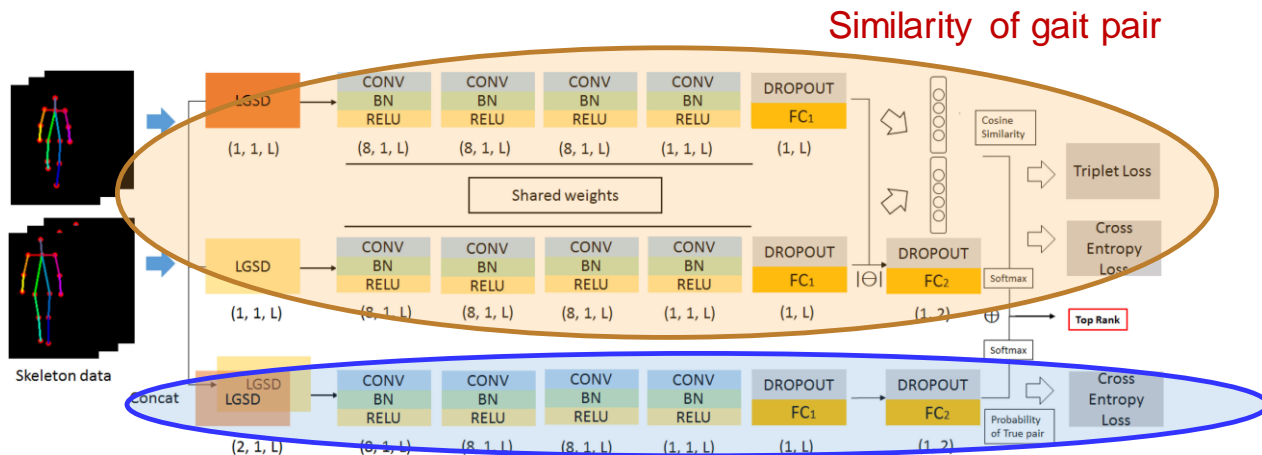
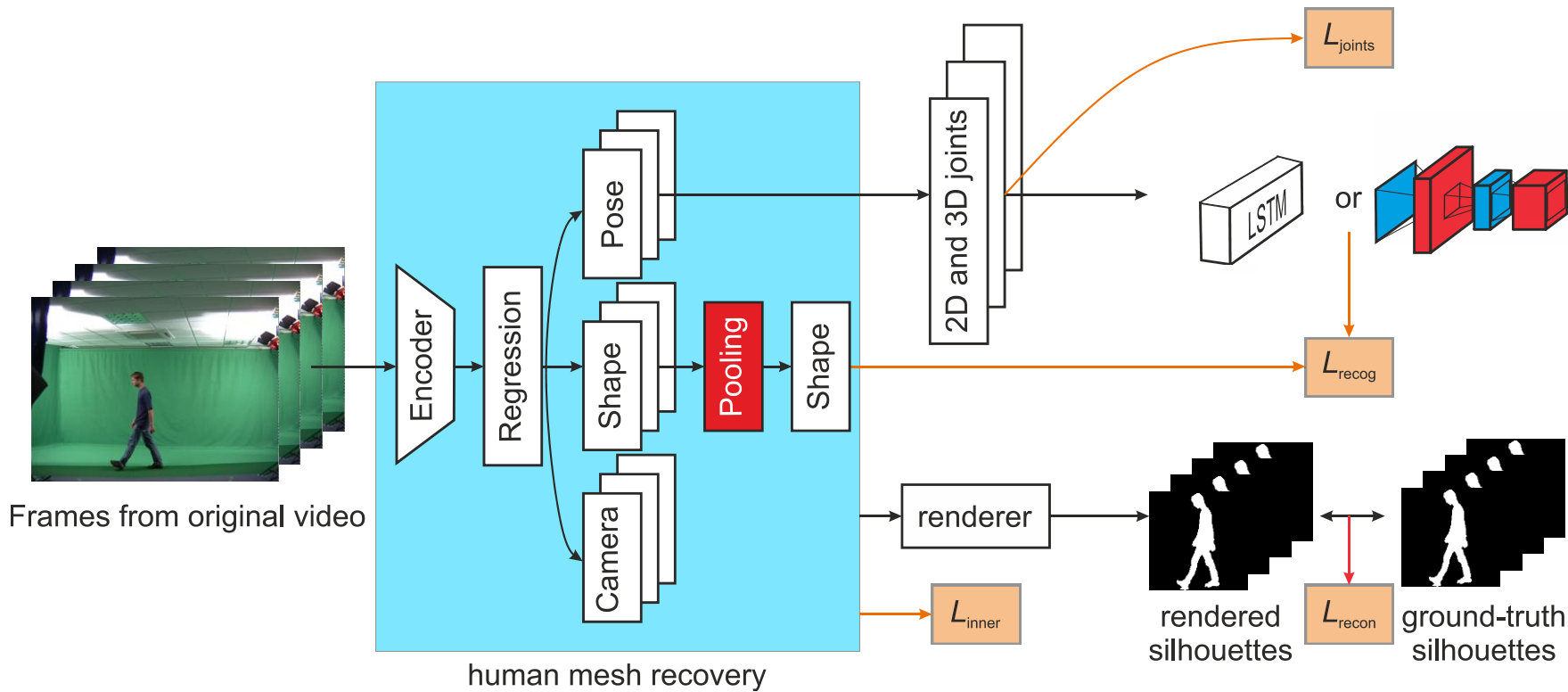


TABLE V
AVERAGED RANK-1 ACCURACIES IN PERCENT ON CASIA-B COMPARISON
WITH BOTH APPEARANCE-BASED AND MODEL-BASED METHODS

Type	Methods	NM	BG	CL
Appearance-based	GaitNet[28]	91.6	85.7	58.9
	GaitSet[29]	95.0	87.2	70.4
	GaitPart[30]	96.2	91.5	78.7
Model-based	PoseGait[7]	60.5	39.6	29.8
	GaitGraph[31]	87.7	74.8	66.3
	PSN	69.8	43.5	33.2

End-to-end model-based gait recognition



GaitSet: Cross-view Gait Recognition through Utilizing Gait as a Deep Set

Hanqing Chao; Kun Wang; Yiwei He; Junping Zhang; Jianfeng Feng (Shanghai/ Fudan)

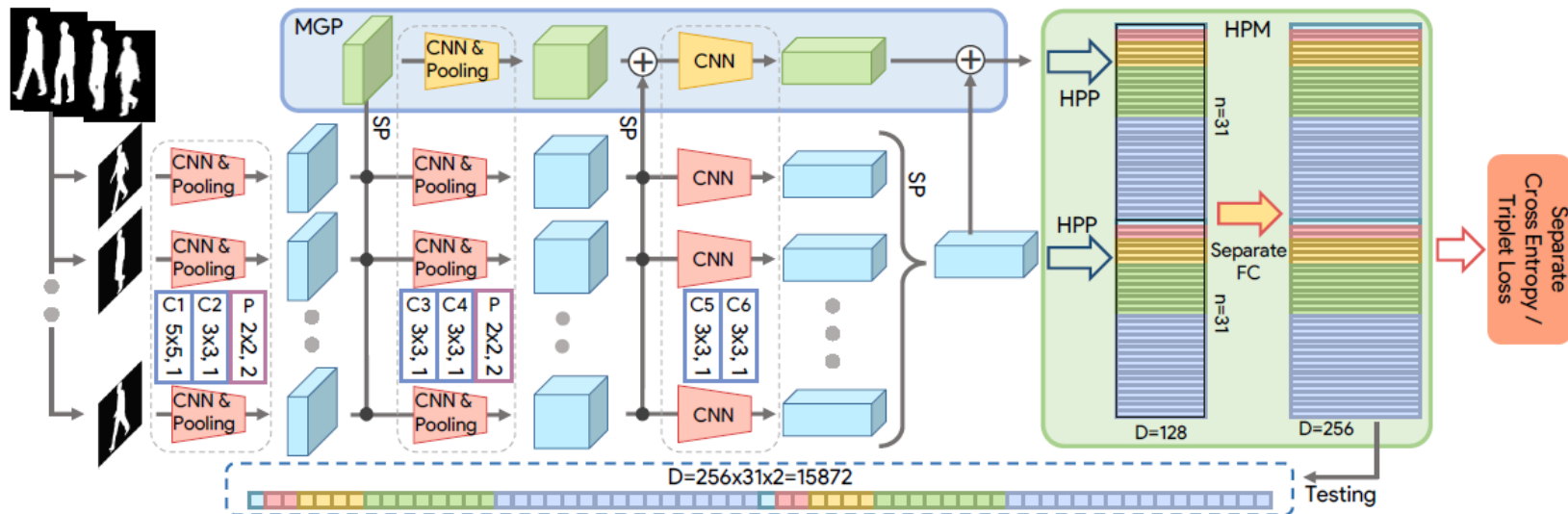


Fig. 2. The framework of GaitSet [26]. 'SP' represents set pooling. Trapezoids represent convolution and pooling blocks and those in the same column have the same configurations, as shown by the rectangles with capital letters. Note that although the blocks in MGP have the same configurations as those in the main pipeline, the parameters are shared only across blocks in the main pipeline – not with those in MGP. HPP represents horizontal pyramid pooling [27].

GaitSet: Cross-view Gait Recognition through Utilizing Gait as a Deep Set

TABLE 3
Averaged rank-1 accuracies on **OU-MVLP**, excluding identical-view cases. (GEINet: [18], Ours: +2diff. [4])

Probe	Gallery All 14 Views		Gallery 0°, 30°, 60°, 90°		
	GEINet	Ours	GEINet	3in+2diff	Ours
0°	11.4	81.3	8.2	25.5	79.6
15°	29.1	88.6	-	-	87.1
30°	41.5	90.2	32.3	50.0	87.4
45°	45.5	90.7	-	-	89.8
60°	39.5	88.6	33.6	45.3	86.2
75°	41.8	89.1	-	-	88.0
90°	38.9	88.3	28.5	40.6	84.3
180°	14.9	83.1	-	-	81.0
195°	33.1	87.7	-	-	87.1
210°	43.2	89.4	-	-	89.4
225°	45.6	89.7	-	-	89.7
240°	39.4	87.8	-	-	87.8
255°	40.5	88.3	-	-	88.3
270°	36.3	86.9	-	-	86.9
mean	35.8	87.9	-	-	87.9

GEINet: View-invariant gait recognition using a convolutional neural network

On input/output architectures for convolutional neural network based cross-view gait recognition

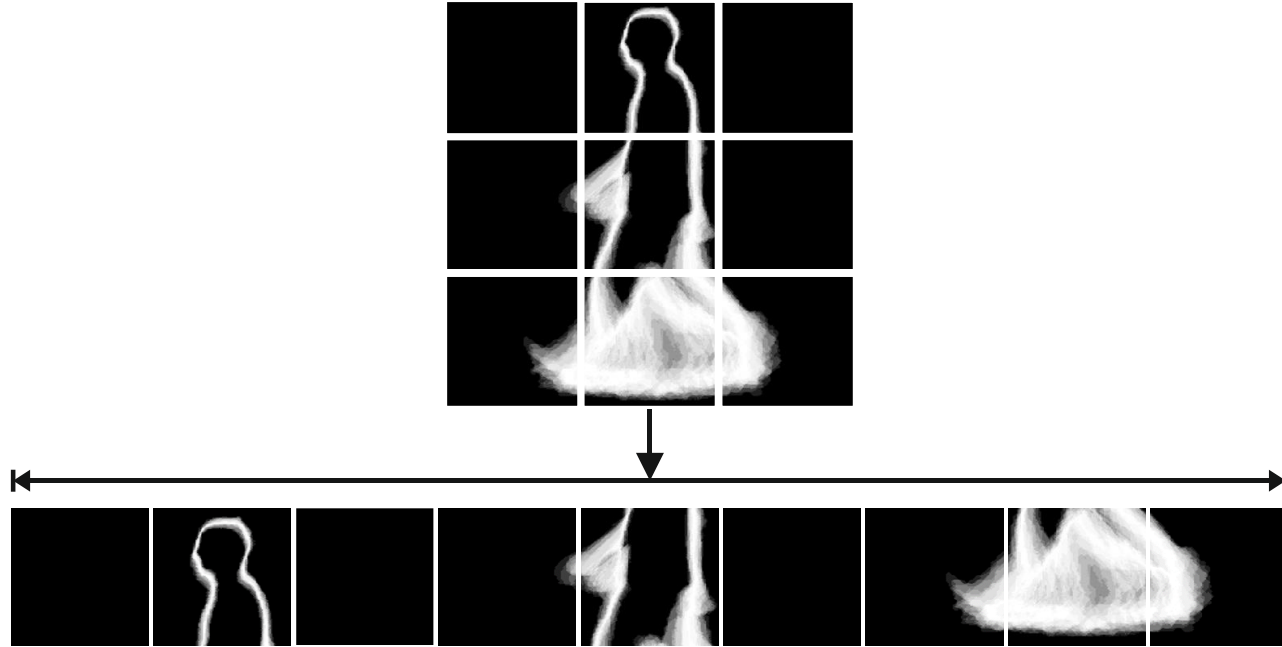
Large-Sample Training (LT)

normal (NM) walking with a bag (BG)
wearing a coat or jacket (CL)

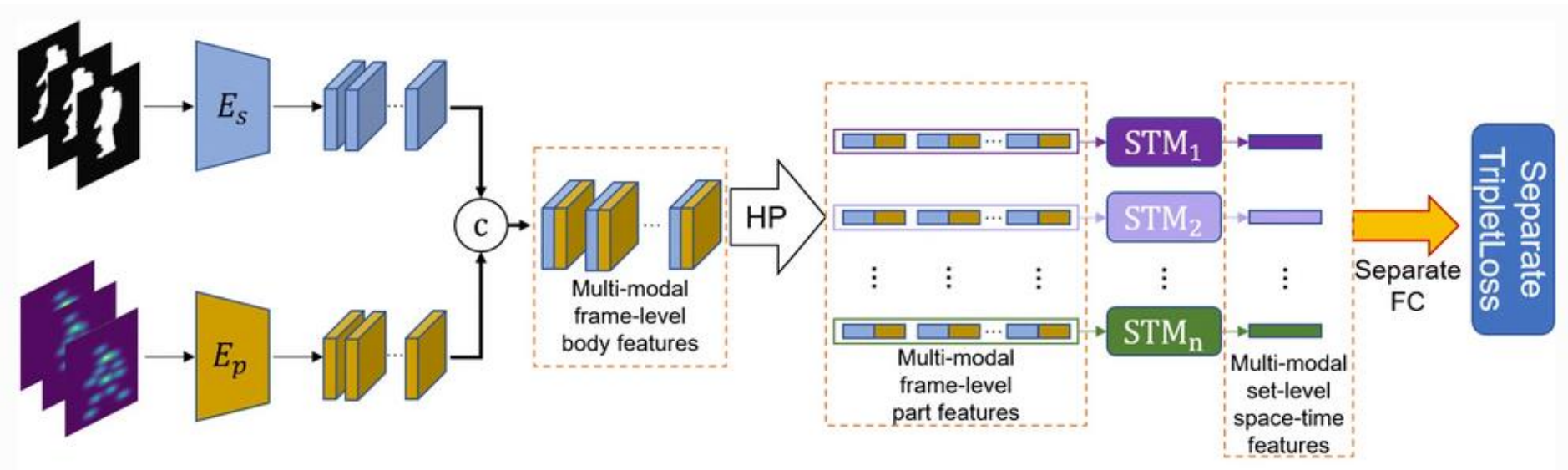
Ablation experiments conducted on **CASIA-B** using setting **LT**. The results are rank-1 accuracies averaged on all 11 views, excluding identical-view cases. The numbers in brackets indicate the second highest results in each column. Here 'att' is the abbreviation of attention.

GEI	Set	Set Pooling							MGP	NM	BG	CL
		Max	Mean	Median	Joint sum [3]	Joint 1_1C [4]	Pix-att	Frame att				
✓										89.0	76.3	50.7
	✓	✓								95.4	88.7	69.9
	✓		✓							95.0	86.3	66.3
	✓			✓						94.8	84.9	63.7
	✓				✓					94.1	84.1	64.3
	✓					✓				94.9	86.9	66.8
	✓						✓			95.6	88.9	69.6
	✓							✓		95.0	85.1	65.3
	✓	✓							✓	96.1	90.8	70.3

Transforming gait



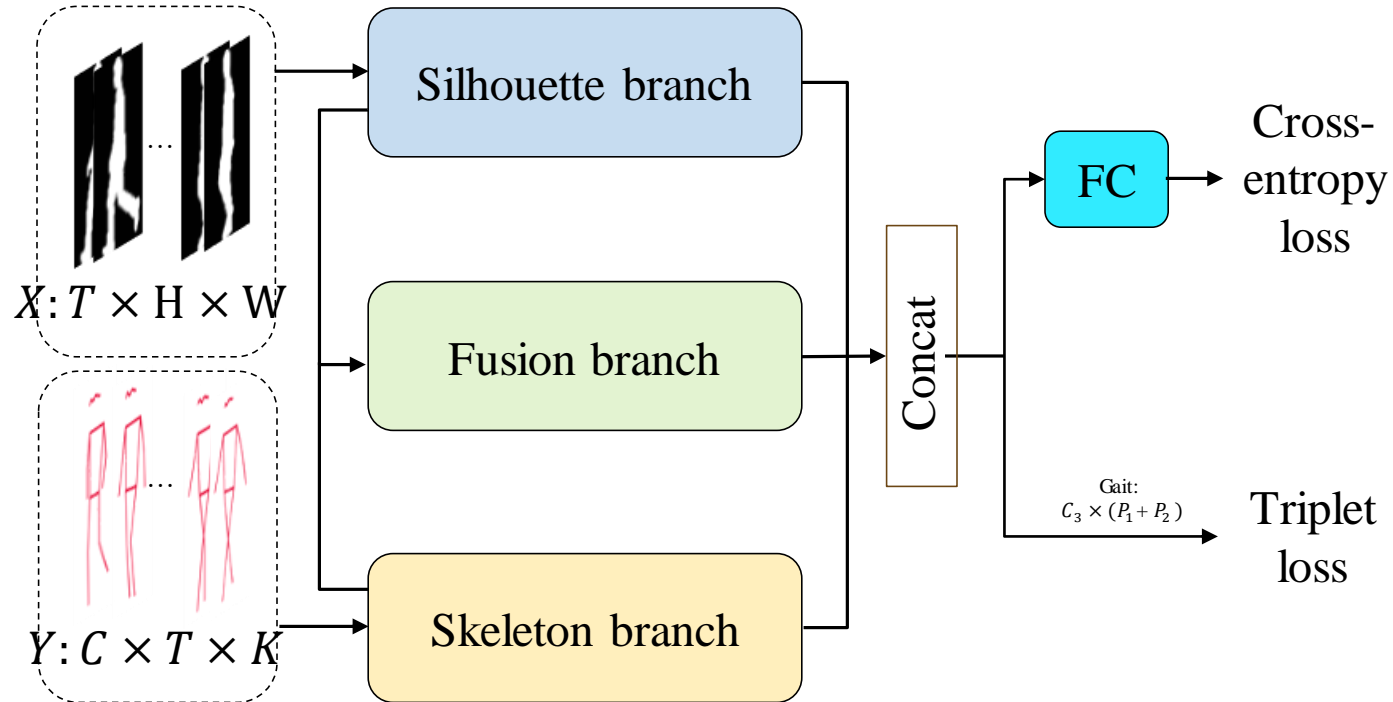
TransGait: Multimodal-based gait recognition with set transformer



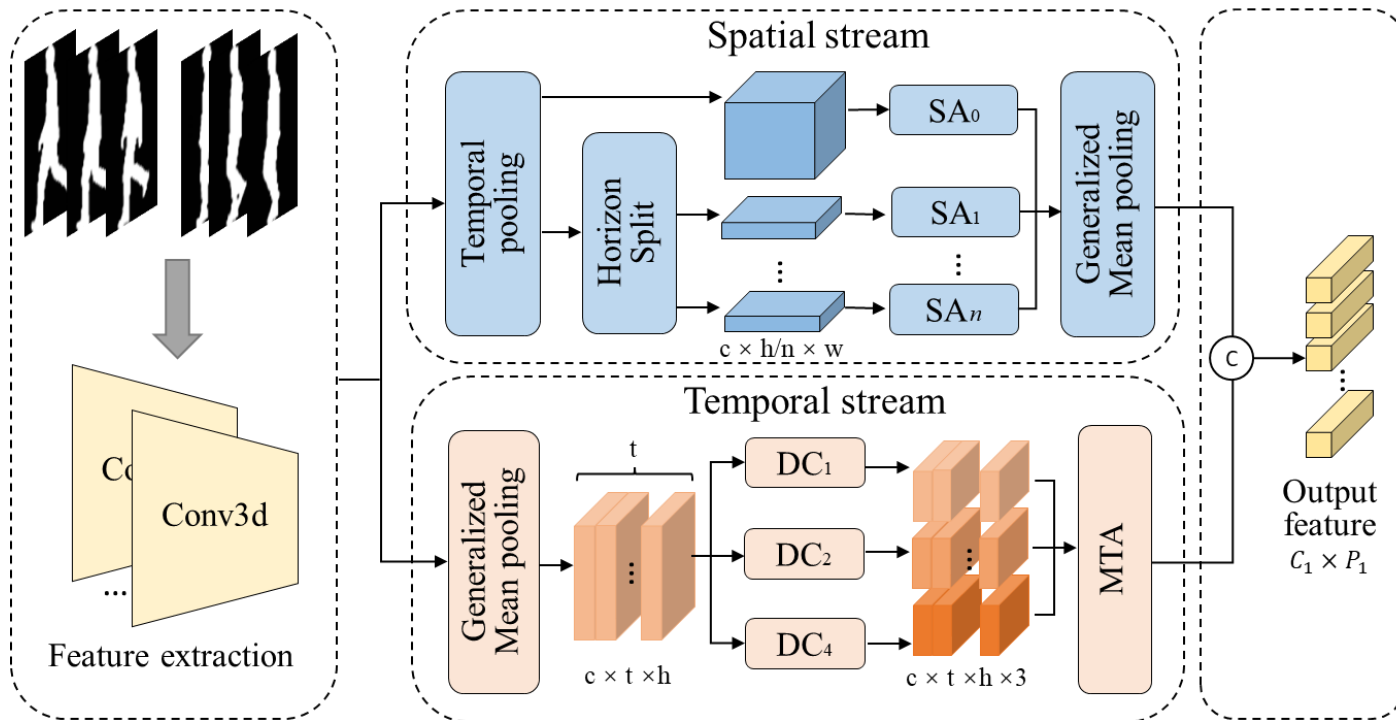
E_s : silhouette feature extractor E_p : pose feature extractor STM : set transformer module

TriGait: Aligning and Fusing Skeleton and Silhouette Gait Data via a Tri-Branch Network

TriGait Network Architecture



Trigait: silhouette branch



Trigait: **NM** comparison with SoTA

Table 1. The rank-1 accuracy (%) on CASIA-B across different views, excluding the identical-view cases. TriGait stands for the proposed fusion network.

Gallery	0° – 180°											Mean
	0°	18°	36°	54°	72°	90°	108°	126°	144°	162°	180°	
Method												
GaitGraph [7] (CVPR2022)	78.5	82.9	85.8	85.6	83.1	81.5	84.3	83.2	84.2	81.6	71.8	82.0
GaitMixer [6] (arXiv2022)	94.4	94.9	94.6	96.3	95.3	96.3	95.3	94.7	95.3	94.7	92.2	94.9
GaitSet [1] (AAAI2019)	90.8	97.9	99.4	96.9	93.6	91.7	95.0	97.8	98.9	96.8	85.8	95.0
GaitPart [2] (CVPR2020)	94.1	98.6	99.3	98.5	94.0	92.3	95.9	98.4	99.2	97.8	90.4	96.2
GaitGL [5] (arXiv2022)	96.6	98.8	99.1	98.1	97.0	96.8	97.9	99.2	99.3	98.3	95.6	98.0
GaitMSTP [3] (IJCB2022)	98.2	99.2	99.4	98.5	96.8	96.2	97.8	99.1	99.1	99.5	96.2	98.2
TransGait [4] (APPL INTELL2023)	97.3	99.6	99.7	99.0	97.1	95.4	97.4	99.1	99.6	98.9	95.8	98.1
Combine [8] (ICASSP2023)	97.0	97.9	98.4	98.3	97.2	97.3	98.2	98.4	98.3	98.1	96.0	97.7
TriGait (ours)	97.0	98.6	98.3	98.3	98.4	97.0	98.6	99.0	98.9	98.4	97.4	98.2

Trigait: CL comparison with SoTA

Table 1. The rank-1 accuracy (%) on CASIA-B across different views, excluding the identical-view cases. TriGait stands for the proposed fusion network.

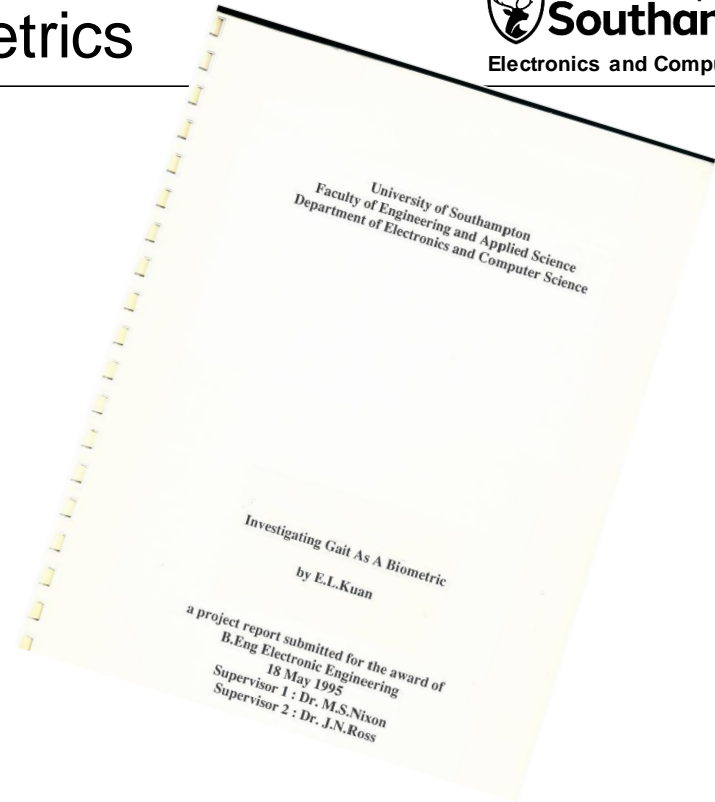
GaitGraph [7] (CVPR2022)	57.1	61.1	68.9	66.0	67.8	65.4	68.1	67.2	63.7	63.6	50.4	63.6
GaitMixer [6] (arXiv2022)	81.2	83.6	82.3	83.5	84.5	84.8	86.9	88.9	87.0	85.7	81.6	84.5
GaitSet [1] (AAAI2019)	61.4	75.4	80.7	77.3	72.1	70.1	71.5	73.5	73.5	68.4	50.0	70.4
GaitPart [2] (CVPR2020)	70.7	85.5	86.9	83.3	77.1	72.5	76.9	82.2	83.8	80.2	66.5	78.7
GaitGL [5] (arXiv2022)	82.6	92.6	94.2	91.8	86.1	81.3	87.2	90.2	90.9	88.5	75.4	87.3
GaitMSTP [3] (IJCB2022)	82.3	93.1	94.8	90.9	86.8	84.2	87.7	91.0	91.8	91.2	77.8	88.3
TransGait [4] (APPL INTELL2023)	80.1	89.3	91.0	89.1	84.7	83.3	85.6	87.5	88.2	88.8	76.6	85.8
Combine [8] (ICASSP2023)	87.4	96.0	97.0	94.6	94.0	90.1	91.5	94.1	93.8	92.6	88.5	92.7
TriGait (ours)	91.7	93.2	96.9	97.0	95.2	94.0	94.6	95.3	94.1	94.1	90.8	94.3

Trigait: comparison with SOTA

Rank 1 mean accuracy (%) on CASIA-B
 across different conditions and viewpoints.

Input	Methods	NM	BG	CL	Mean
Skeleton	GaitGraph [7](CVPR2022)	82.0	73.2	63.6	72.9
	GaitMixer [6] (arXiv2022)	94.9	85.6	84.5	88.3
Silhouette	GaitSet [1](AAAI2019)	95.0	87.2	70.4	84.2
	GaitPart [2] (CVPR2020)	96.2	91.5	78.7	88.8
	GaitGL [5] (arXiv2022)	98.0	95.4	87.3	93.6
	GaitMSTP [3] (IJCB2022)	98.2	95.3	88.3	93.9
Multimodal	TransGait [4] (APPL INTELL2023)	98.1	94.9	85.8	92.9
	Combine [8] (ICASSP2023)	97.7	93.8	92.7	94.7
	TriGait (ours)	98.2	95.4	94.3	96.0

Gait biometrics



As a biometric, **gait** is available at a **distance** when other biometrics are obscured or at too **low resolution**

https://www.youtube.com/watch?v=6KuMe5n_jdQ

Technology in 1994



Gait and literature

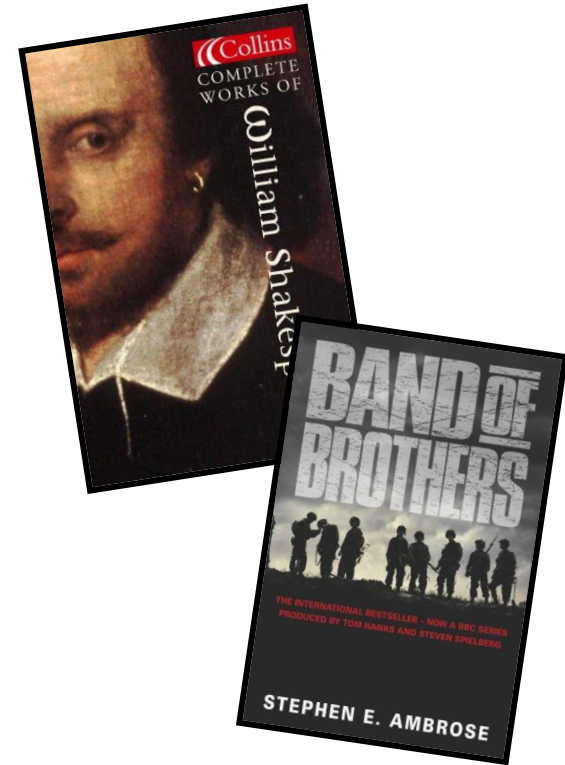
Dictionary: “manner of walking”

Shakespeare observed recognition:

“High’st Queen of state; Great Juno comes; I know her by her **gait**” [The Tempest]

“For that John Mortimer...in face, in **gait** in speech he doth resemble” [Henry IV/2]

Other **literature**: e.g. Band of Brothers: “I noticed this figure coming, and I realized it was John Eubanks from the way he walked”



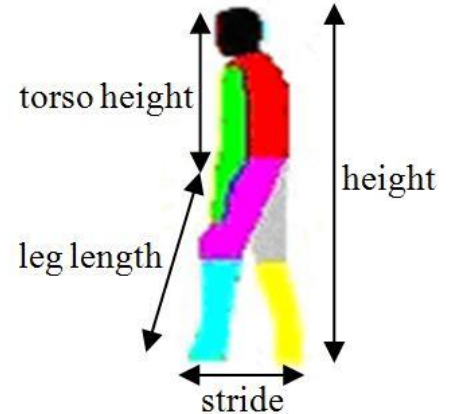
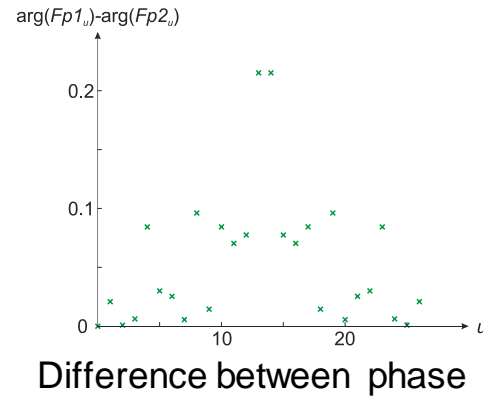
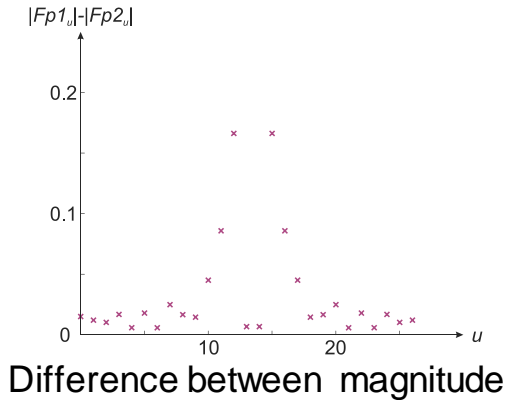
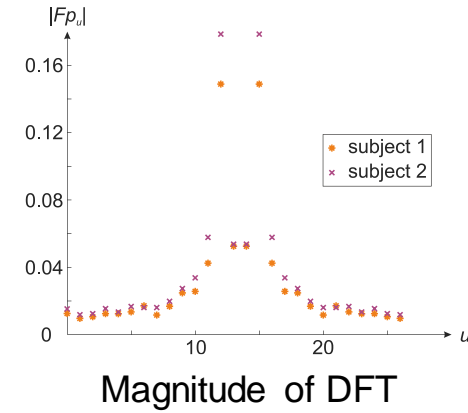
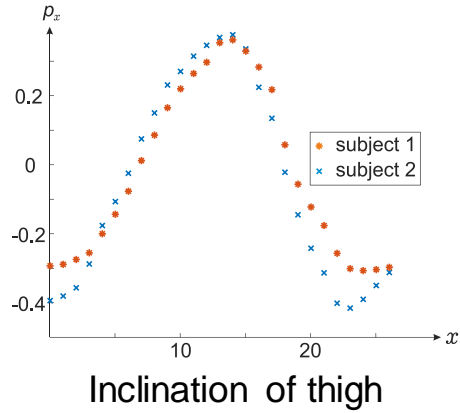
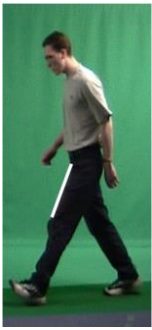
Early data



- 6 subjects; 7 sequences
- Sony Hi8 video camera
- Circular track ...exhausted subjects?
- We used a police digital video recorder



Model-based recognition



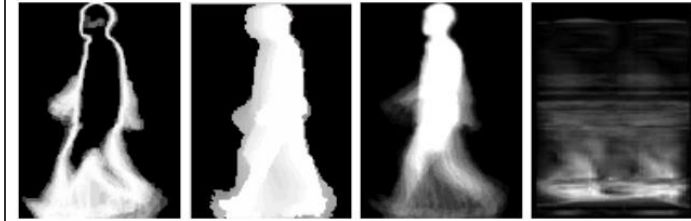
Other models are possible

Using silhouettes

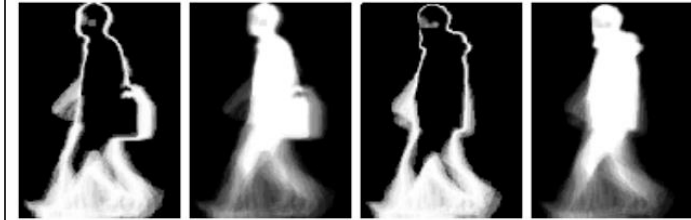
Some names: average silhouette, GEI



Gait **Energy** Image



(a) GEnI (b) MSI (c) GEI (d) SVB

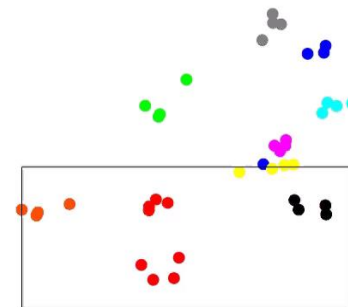
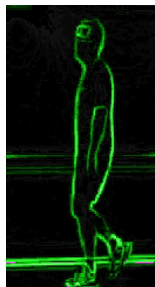
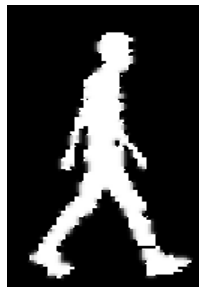


(e) Bag GEnI (f) Bag GEI (g) Coat GEnI (h) Coat GEI

Gait **Entropy** Image

Many gait representations possible

Recognising people from the motion of the **whole** body



silhouette

edges

flow

symmetry

acceleration

feature space

DARPA's Human ID at a Distance



Does gait biometrics really work?



```
g of sample 4961: Loading  
g of sample 4961: locating gait cycle  
g of sample 4961: Calculating average  
g of sample 4961 successfully  
Liz (dist=3.576)  
Lee M (dist=6.690)  
Daisy (dist=6.696)  
#Isabel (dist=7.000)  
Mark N (dist=7.719)
```

<https://www.youtube.com/watch?v=PUwINc0xAgQ>

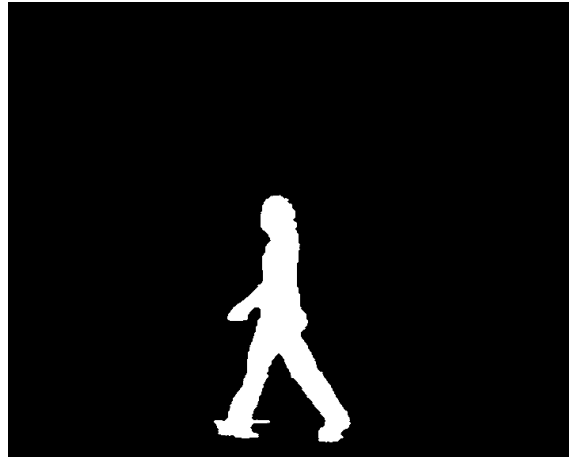
BBC1 Bang Goes the
Theory Episode 1, 2009

A banner for the BBC show 'Bang Goes the Theory'. It features the BBC logo, a search bar, and the text 'Text only Help'. Below the search bar is a large image of the show's cast members (Liz, Lee M, Daisy, #Isabel, Mark N) and the BBC One logo. The text 'bang goes the theory' is prominently displayed, with the tagline 'Get ready to put science to the test' below it.

Time for a quiz....

Given

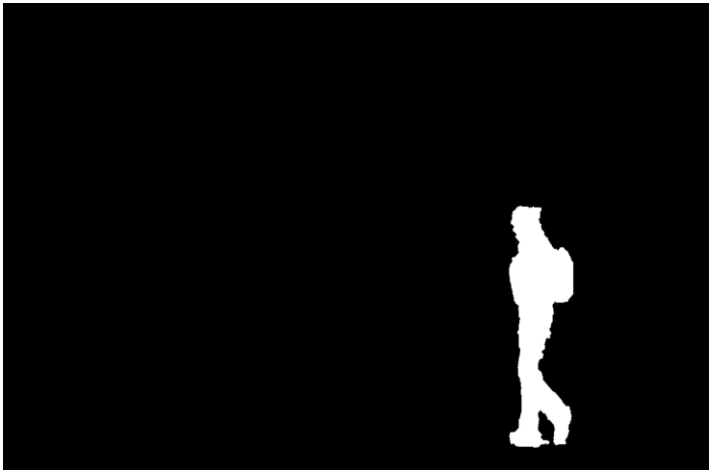
1. A laboratory environment; and
2. A silhouette



What is **unusual** about this person's appearance

From the silhouette:

1. She was wearing Wellington **boots**
2. She was carrying a **bag**
3. She was filming for the **hunchback of Notre Dame**



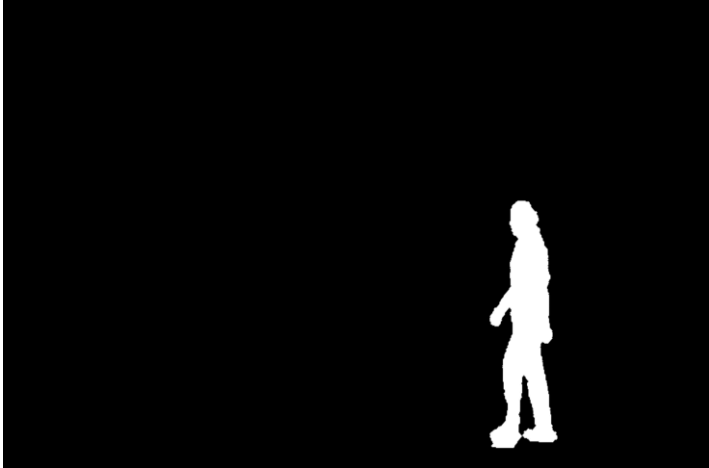
And ladies wear...

1. A rubbish bag
2. A dress
3. A coat



What is **unusual** this person's gait

1. Her **shirt**
2. Her **trousers**
3. Her **footwear**



Major difficulty 1 - viewpoint

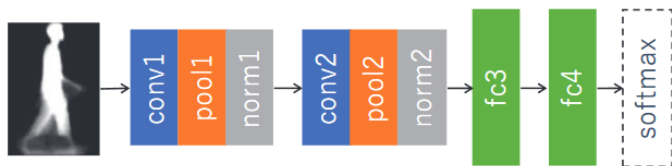


Figure 1: The structure of GEINet.

Table 1: Layer configurations for GEINet. Act. denotes the activation function.

Layer	#Kernels	Size/stride	Act.	Pooling
conv1	18	$7 \times 7 \times 1/1$	ReLU	Max pooling
pool1		$2 \times 2/2$		
conv2	45	$5 \times 5 \times 18/1$	ReLU	Max pooling
pool2		$3 \times 3/2$		

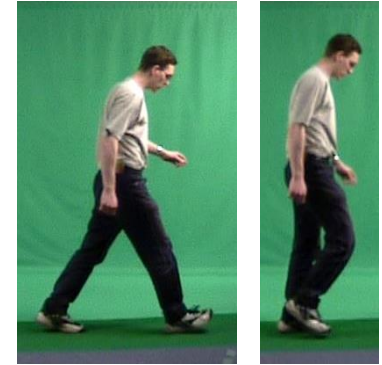
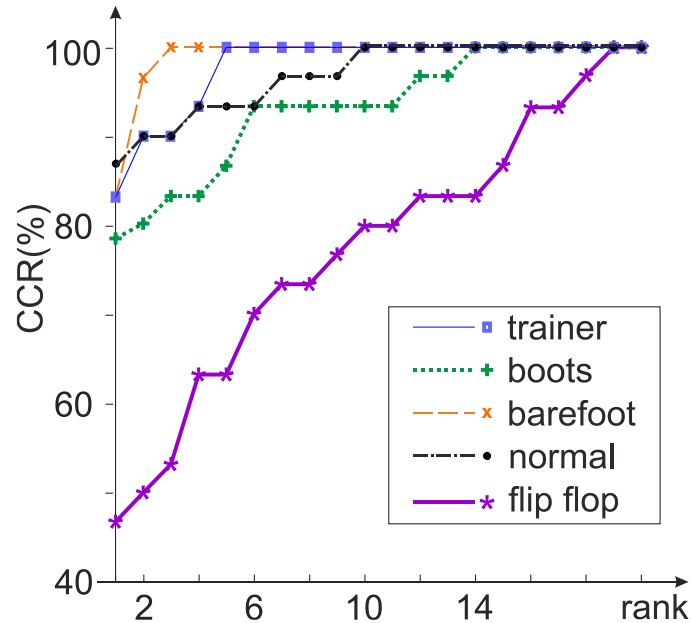
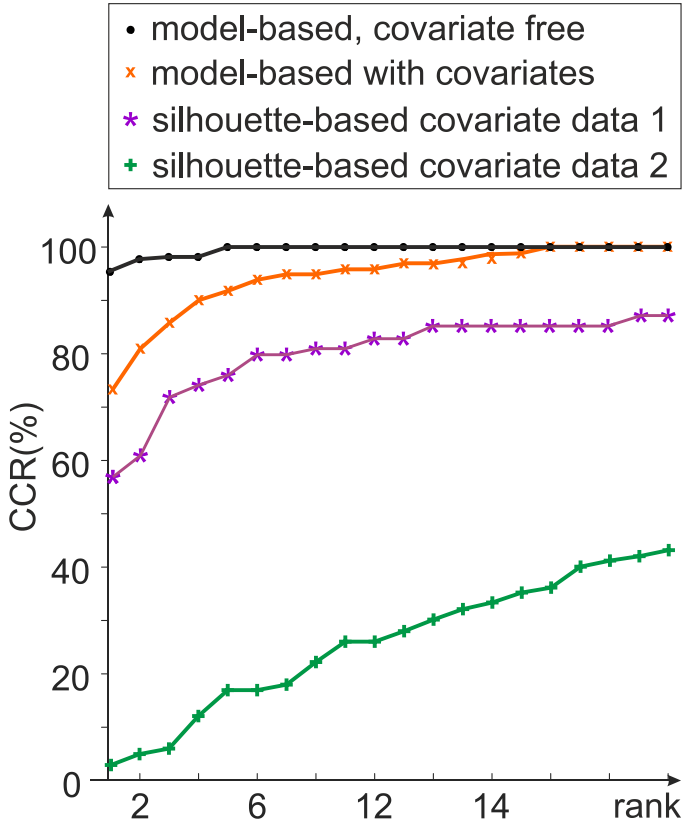


55 deg 65 deg 75 deg 85 deg

Figure 2: Examples of gait image sequences with four observation views in the OU-ISIR dataset

Gallery view	Method	Probe view			
		55	65	75	85
55	GEINet	(94.7)	93.2	89.1	79.9
	w/ FDF	(92.7)	91.4	87.2	80.0
	TCM+		79.9	70.8	54.5
	wQVTM		78.3	64.0	48.6

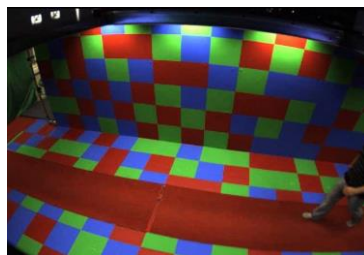
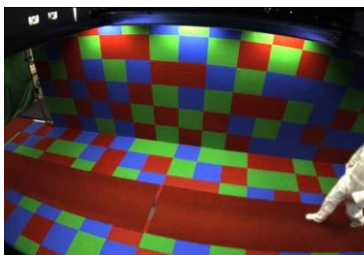
Major difficulty 2 - covariates



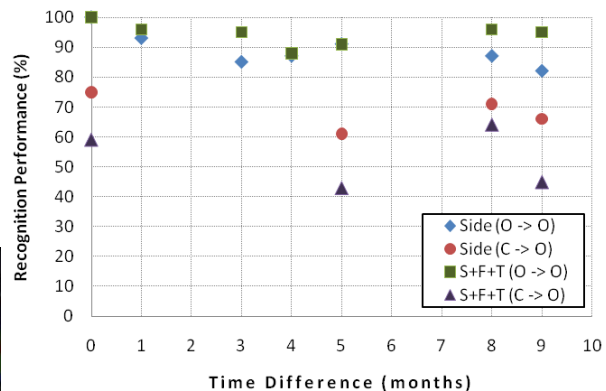
Major difficulty 3 - time



Nine months difference



Few minutes apart, different clothes



Identity science

Science/ technology

Covariates and exploratory variables

Soft biometrics

Spoofing

Deep architectures

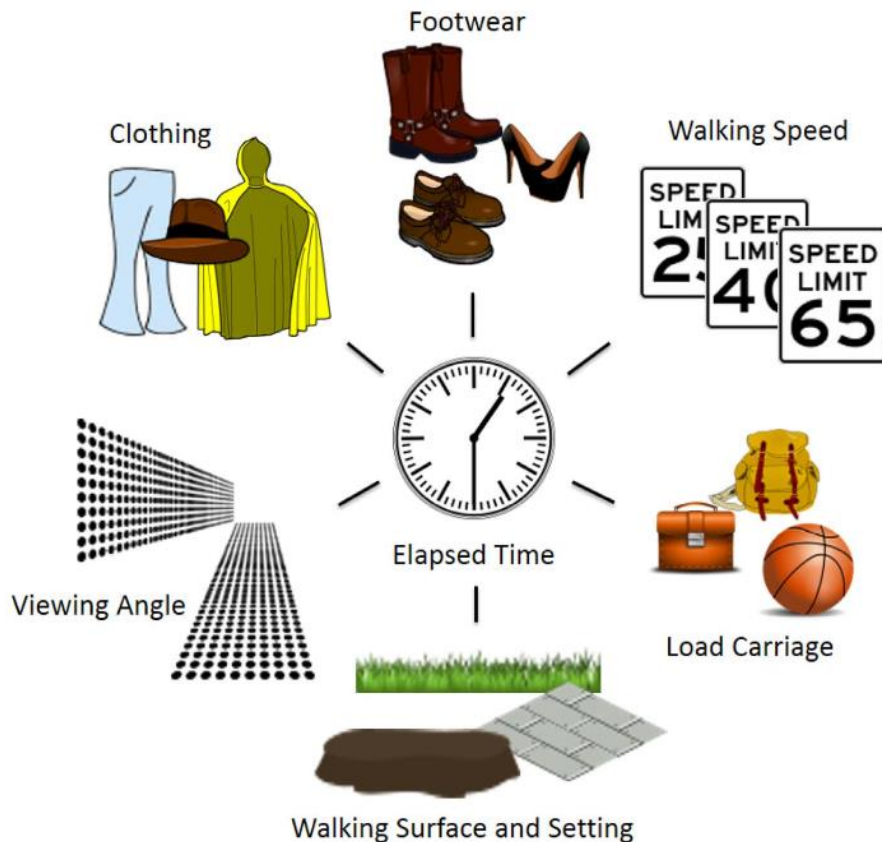
Applications

Medicine (dementia, balance, falls)

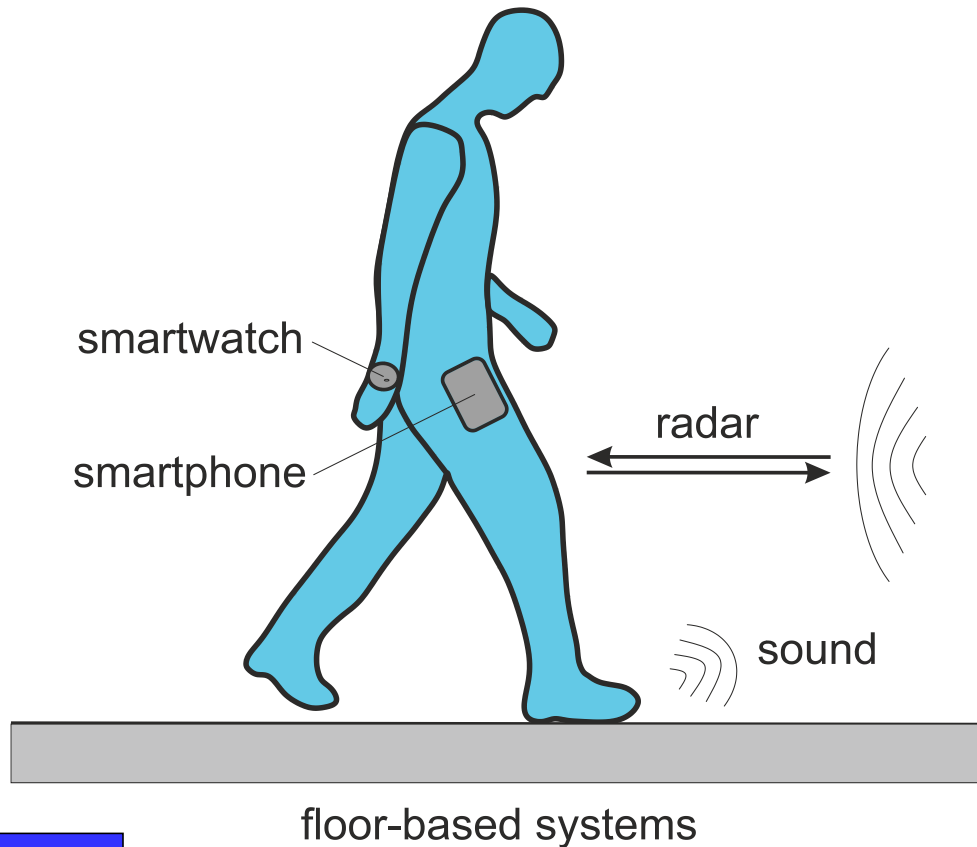
Sports

Security

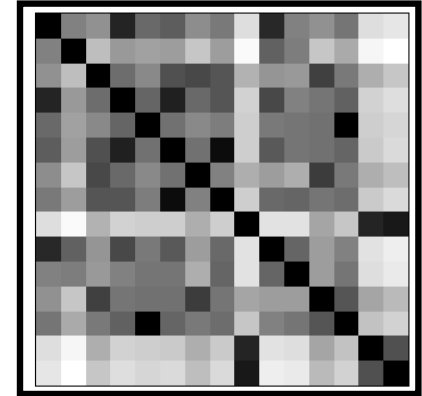
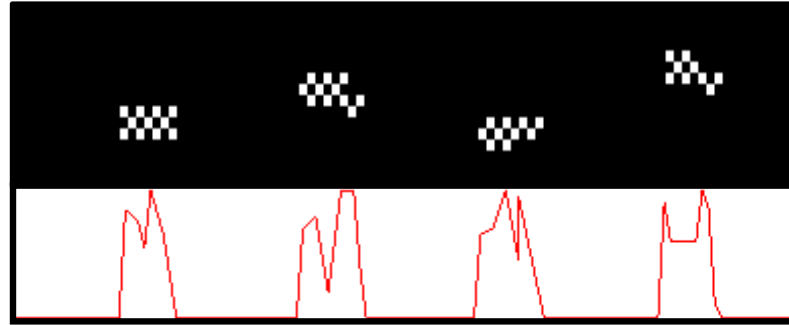
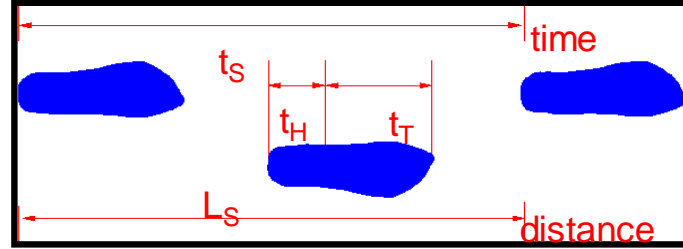
Marketing



Non video gait

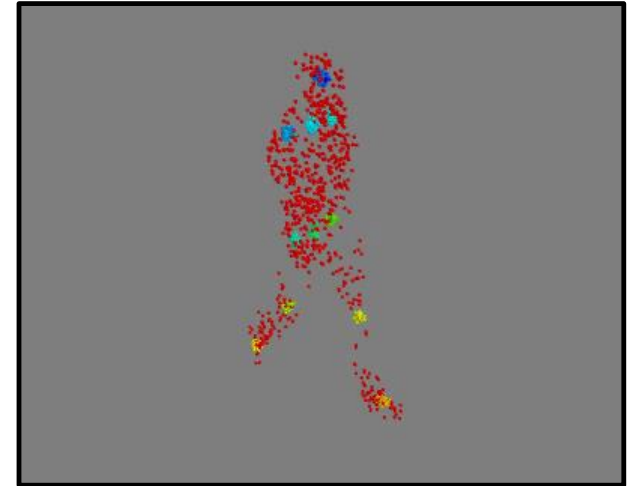
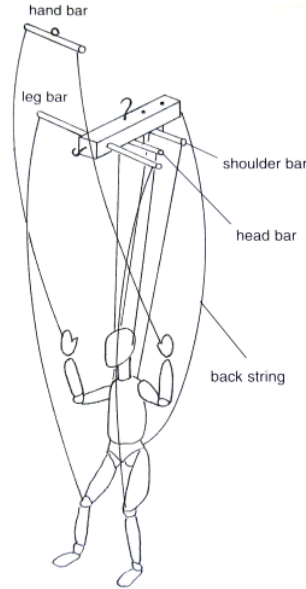
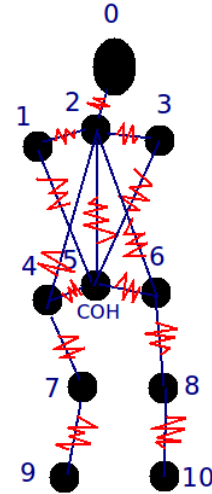


The first intelligent carpet



192x32 binary sensor array

3D recognition – marionette based



3D is completely viewpoint invariant

Forensics

1. What are forensics?
2. How do they work?
3. Where are we going?

The question

You are a **biometrics expert**

... and are contacted by the **police**

... who have a **suspect**.

What do you do?



What are forensics?

*“scientific tests or techniques used in connection
with the detection of **crime**”*

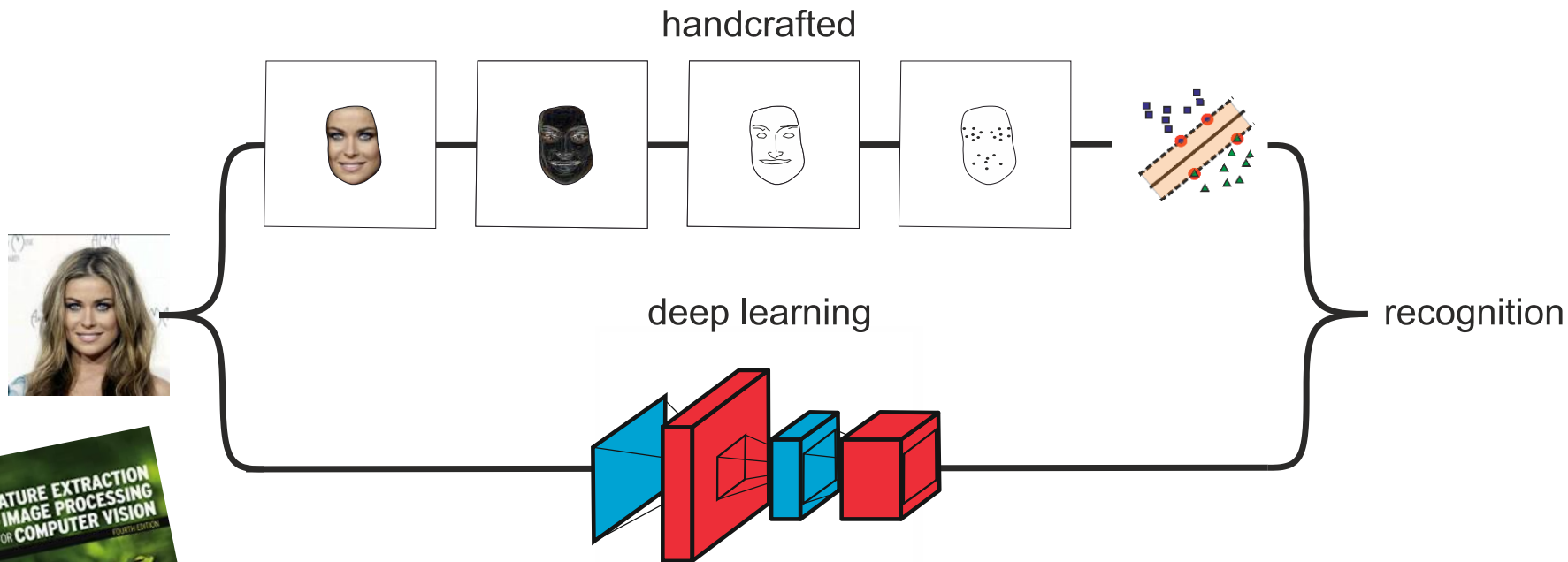
So what is a **crime**?

*“an action or omission which constitutes an offence and is
punishable by law”*

So **forensics** are

scientific tests used in connection with punishment by law

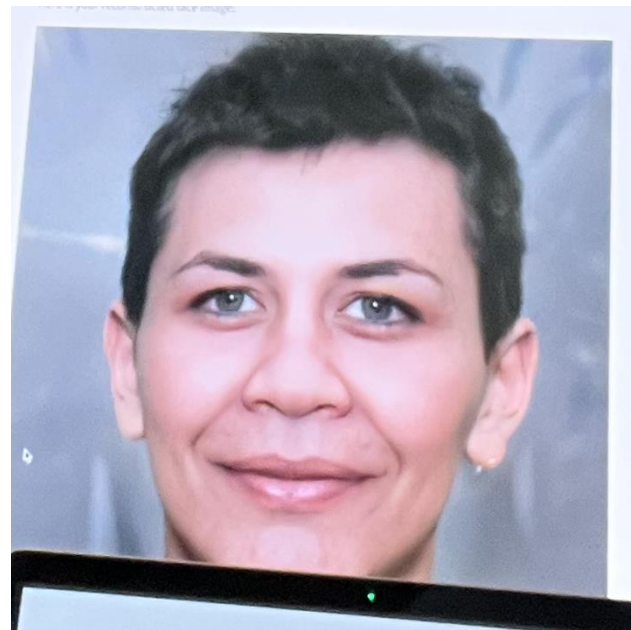
Approaches to recognition



Who is this?



Otroshi et al, *IEEE
TPAMI*, 2023



Evidence and admissibility

- Many things are **evidence**, but not all are **admissible**
- Rules and procedures **differ**
- **Daubert** is for expert witnesses

(not much biometrics in forensics, so for a new technique)

1. *Whether the theory and methodology have been tested, **peer-reviewed**, or published:*

write a paper, apply it to something else

2. *The potential and known **error rates** for a particular technique:*

include error bars

3. *Any **standards** and controls applicable to the science.*

4. *The degree of **acceptance** in the scientific community:*

organise special session/ special edition/ competition,
edit book, get on television, podcast, tutorial

Mr. Bean's evidence



No, it's not admissible
It fails Daubert, but it's great!

Judicial systems – presenting the evidence

Differing types of system

1. **Adversarial** – convince a jury
 - A. Civic duty
 - B. 'Random' composition
2. **Inquisitorial** – convince magistrates/
committees
 1. **Autocratic** – hmm, better less said!!



You have to convince people who are **not** experts in biometrics

Gait as evidence – first use

Bag snatcher, London 2008



Note controlled trajectory

Using gait as evidence -database

Use multiview gait data

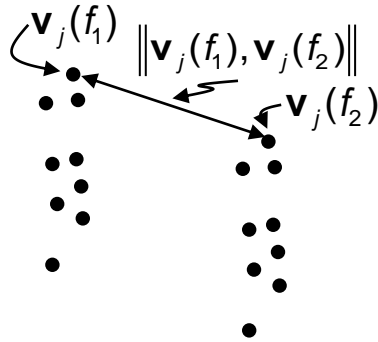
CASIA B at the time



*

with automated labelling

Gait as evidence -approach



Match measure for **subject**, N vertices in W frames

$$d = \sum_{f_1, f_2 \in W | f_1 \neq f_2} \sum_{j, k \in N} \| \mathbf{v}_j(f_1), \mathbf{v}_j(f_2) \| / (N \times W)$$

Analysis from **database**, S subjects

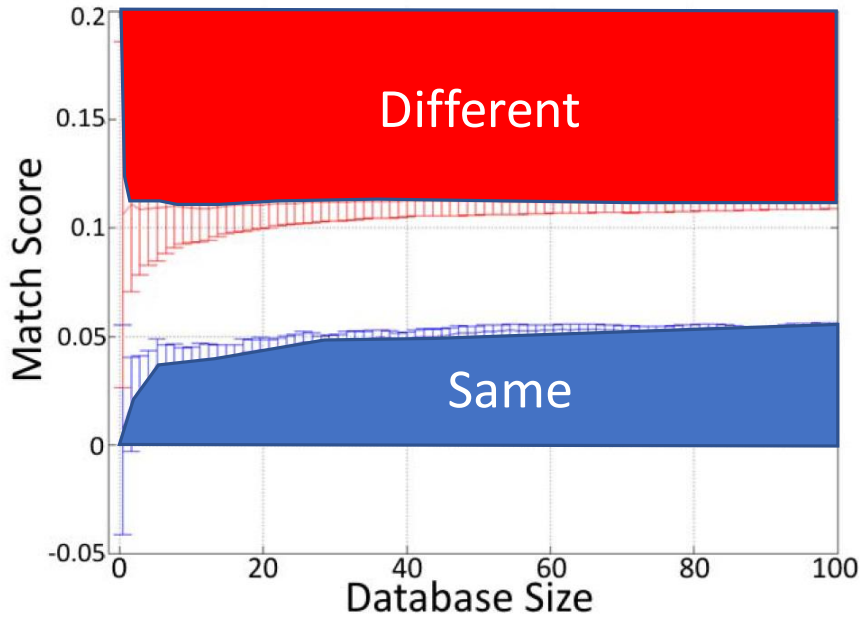
Within class $d_w = \left(\sum_{s \in \text{database}} \sum_{f_1, f_2 \in W | f_1 \neq f_2} \sum_{j, k \in N} \| \mathbf{v}_j(f_1, s), \mathbf{v}_j(f_2, s) \| / (N \times W) \right) / S$

Between class $d_b = \left(\sum_{s_1, s_2 \in \text{database} | s_1 \neq s_2} \sum_{f_1, f_2 \in W | f_1 \neq f_2} \sum_{j, k \in N} \| \mathbf{v}_j(f_1, s_1), \mathbf{v}_j(f_2, s_2) \| / (N \times W) \right) / S - 1$

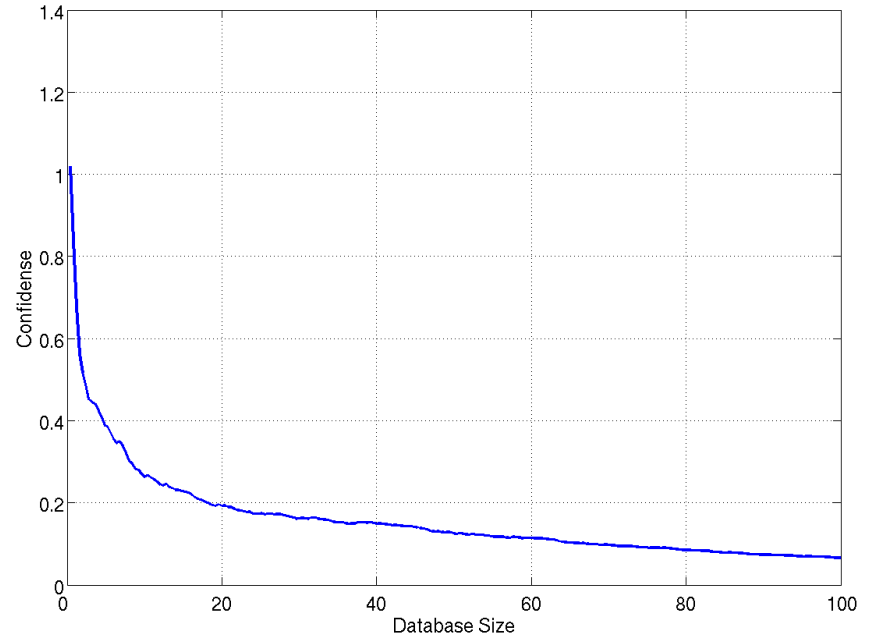
Confidence = $\frac{(\text{mean}(d_b) + \text{mean}(d_w))}{(\text{range}(d_b) + \text{range}(d_w))}$

Match success = $d \subset \text{range}(d_i)$

Gait as evidence –analysis on database



Distances



Confidence

Evidence

By computing the match based on the anthropometric distances, the aggregated difference in joints' position is lower than 3%. Currently, we consider that a match lower than 15% suggests a possible and that 3% indicates a very close match.

Accordingly, **I am very confident in my statement that there is a match between the male subject walking in Video A and the subject walking in Video B.**

I can provide the data used in our analysis should it be required. I can also provide images of the two subjects during ingress where the subject's posture and appearance appear to confirm this conclusion.

(Professor M. NIXON)
BSc PhD CEng FIET FIAPR

Plus:
Statement on self
Statement on gait
Description of data
Witness to signature

Gait as evidence: murder case in Australia 2014



Herald Sun
MELBOURNE BC-55C

WE FLY FROM 35 LOCAL AIRPORTS ACROSS THE UK

NEWS SPORT ENTERTAINMENT BUSINESS LIFESTYLE VIDEO CLASSIFIEDS

NEWS LAW & ORDER LATEST TRUE CRIME SCENE CASE FILES THE INVESTIGATOR

Murdered jeweller Dermot O'Toole's widow Bridget says her husband would be alive if his killer Gavin Perry wasn't out on parole

FRANK MURPHY HERALD SUN JUNE 24, 2014 2:07PM

SHARE f t in g

SAVE THIS STORY

60 Minutes Australia: Eye Catching



Bouchrika, Nixon, Carter, *J. Forensic Science* 2011, and *Eusipco* 2010

https://www.youtube.com/watch?v=F1b_apXjjV0&feature=youtu.be

Likelihood ratio

- Introduces probabilistic reasoning to evidence
- Describes the degree of support of one proposition vs its alternative
- **Prosecution** proposition H_p : accused is **same** as perpetrator (intra-class)
- **Defence** proposition H_d : accused **differs** from perpetrator (inter-class)
- **Likelihood ratio** $LR = \frac{p(E|H_p)}{p(E|H_d)}$ $LR > 1$ supports **prosecution**
 $LR < 1$ supports **defence**
- H_p is intra-class probability density; H_d is inter-class
- Needs **score to LR calculation**, (logistic regression, kernel density, GMM)
- Needs **calibration**
- Needs **standards**
- **Difficult for H_p** **Posterior odds = likelihood * prior odds**



Any time

Since 2023

Since 2022

Since 2019

Custom range...

Sort by relevance

Sort by date

Any type

Review articles

 include patents include citations Create alert

[PDF] [The role of speech technology in biometrics, forensics and man-machine interface](#)

[S Singh](#) - *International Journal of Electrical and Computer ...*, 2019 - academia.edu

... Fingerprint success in **forensic** science and law enforcement applications with growing ... of **biometric** systems is playing an important role in all areas of our society. **Biometric** applications ...

☆ Save Cite Cited by 24 Related articles All 3 versions

[PDF] [Biometrics in forensic identification: applications and challenges](#)

[M Saini](#), [AK Kapoor](#) - *J Forensic Med*, 2016 - academia.edu

... of **forensic biometrics** covers a wide range of applications for physical and cybercrime detection. **Forensic Biometrics** ... limitations of **biometric** science in the field of **forensic** identification. ...

☆ Save Cite Cited by 55 Related articles

[On using gait in forensic biometrics](#)

[I Bouchrika](#), [M Goffredo](#), [J Carter](#)... - *Journal of forensic ...*, 2011 - Wiley Online Library

Given the continuing advances in gait **biometrics**, it appears prudent to investigate the translation of these techniques for **forensic** use. We address the question as to the confidence that ...

☆ Save Cite Cited by 262 Related articles All 15 versions

[Linkages between biometrics and forensic science](#)

[D Dessimoz](#), [C Champod](#) - *Handbook of biometrics*, 2008 - Springer

... In the following sections we will cover the main **forensic biometric** modalities and then show how an automatic approach has and will change the conduct of **forensic** examinations. ...

☆ Save Cite Cited by 66 Related articles All 5 versions

Prosecutor's fallacy

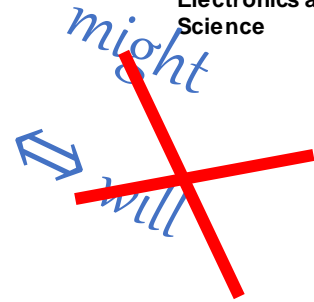
- **Prosecutor's fallacy** is a one of statistical reasoning
- It's misapplied statistics
- E.g. a **defence** argument

Gait achieves 99% correct recognition, so in a population of 30 million 300000 people are not identified correctly, so the perpetrator could be one of those 300000 (...and is therefore innocent)

- Riposte:

did everyone in Australia walk through that shop the same day?

OJ Simpson: the **prosecution** assertion that, because the story before the court is highly improbable, the defendant's innocence is equally improbable.

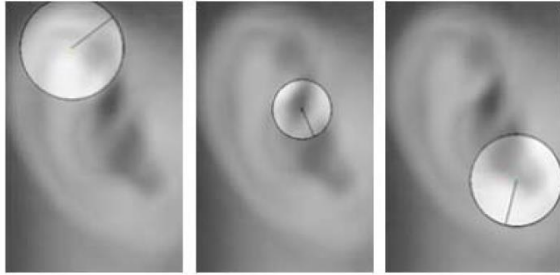


Ears by same procedure

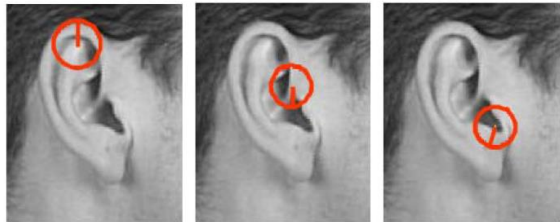
Ears are unique and permanent, and rarely hidden (for ID)



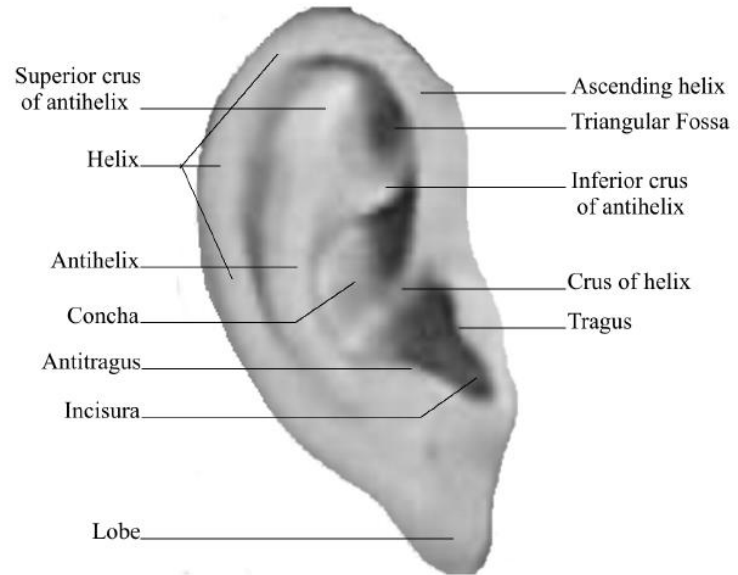
Ears have many interesting features



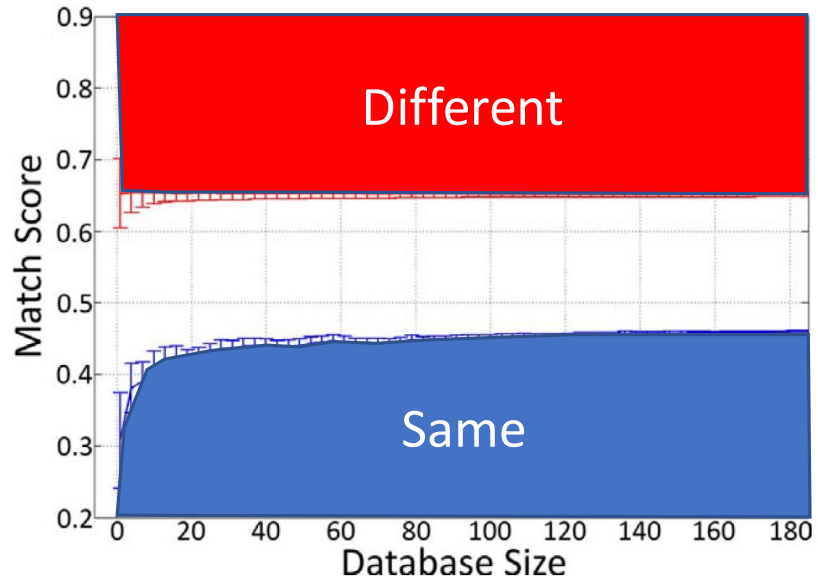
(a) Model parts



(b) Detected parts



On an ear database

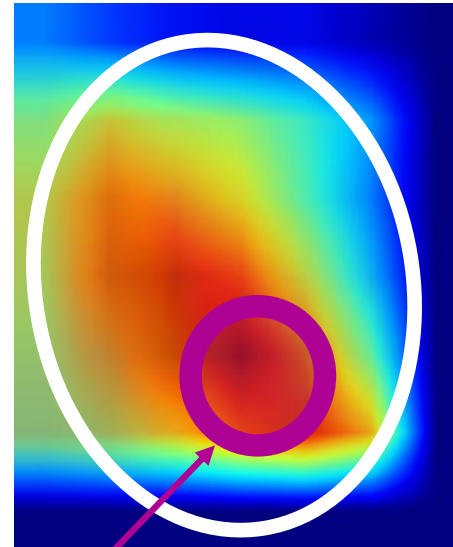
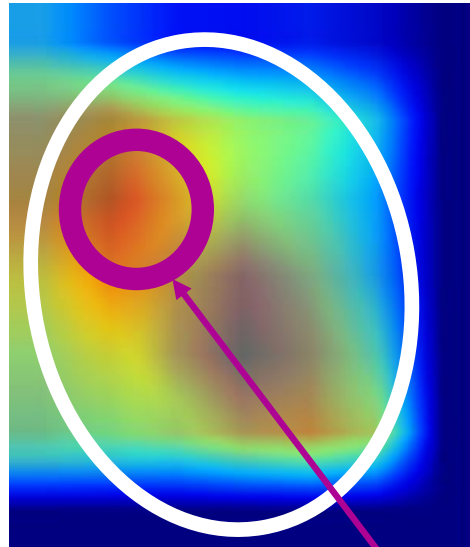
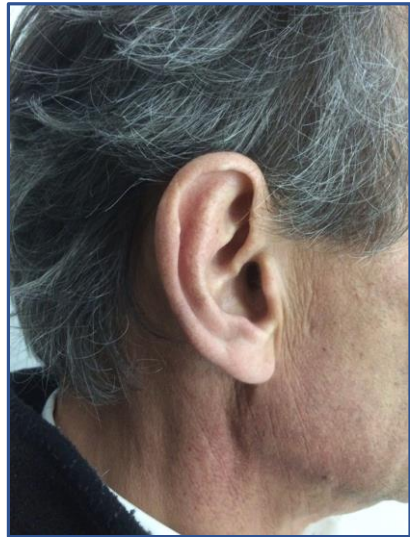


Variance is
much smaller

Identity science: where is gender in ears?

male

female



Meng, Nixon and Mahmoodi,
IEEE TBIOM, 2021

ROI

... and age, kinship, ...

What (and how old) is whom?

Woman



70 **40** 10

Man



70 40 10

Man



70 **40** 10

Biometrics in the forensics literature 1

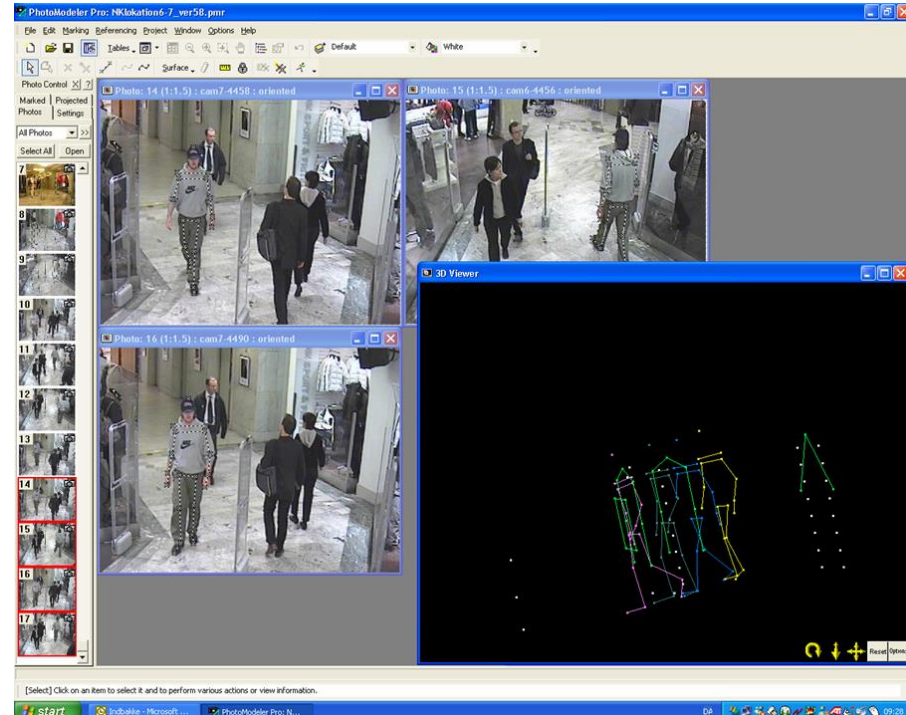


“...biometric systems in forensic science today aim at filtering potential candidates and putting forward candidates for further 1-to-1 verification by a forensic specialist..”

Biometrics in the forensics literature 2

Forensic anthropology

Murder of Swedish
foreign secretary,
Anna Lindh



Biometrics in the forensics literature 3 Forensic podiatry

“Forensic gait analysis, **the direct visual comparison of two or more video recordings** to establish whether they are of the same individual ... based on the gait pattern alone”

- “There is **no published standardised approach** for forensic gait analysis comparison”
- “There appears to be **little consistency** in the formal recording ... for forensic gait analysis”
- “the strength of the conclusion ... is often only a **subjective estimate**”
- “no credible database”, “no published and verified error rates”, “no published black-box studies”

And (!!)

- **automated methods ... differ from forensic gait analysis ...make use of a much richer dataset**



End of forensic podiatry?

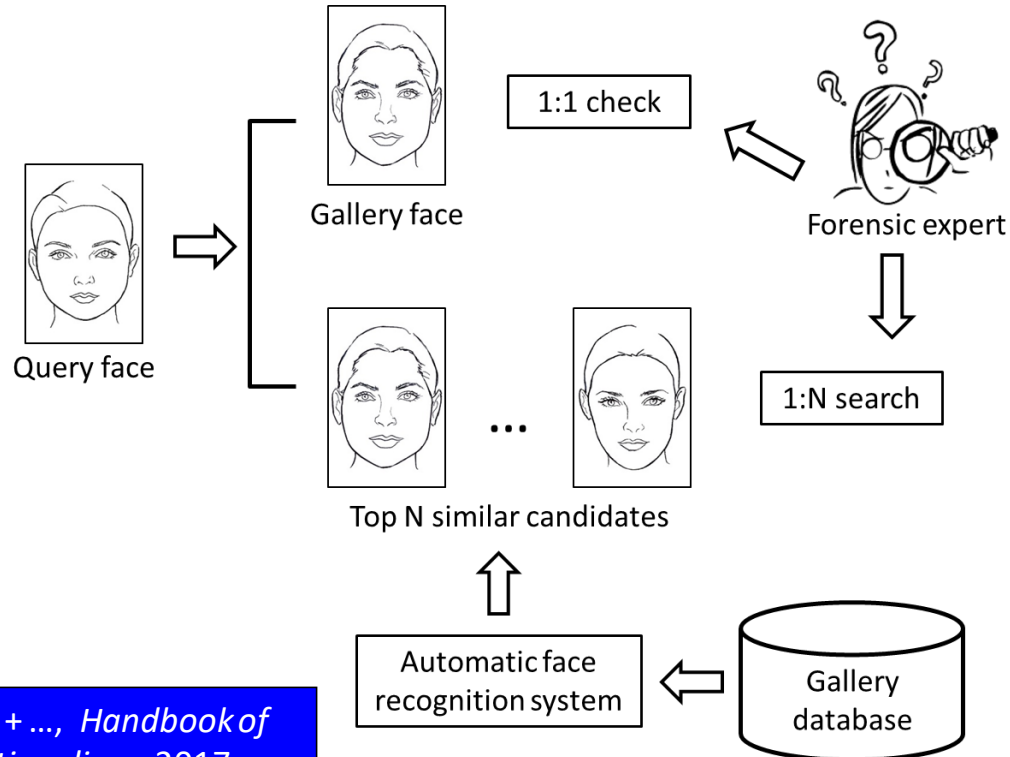


“The methods remain **insufficiently robust**, considering the recent paradigm shift witnessed in the forensic science community regarding quality of evidence.”

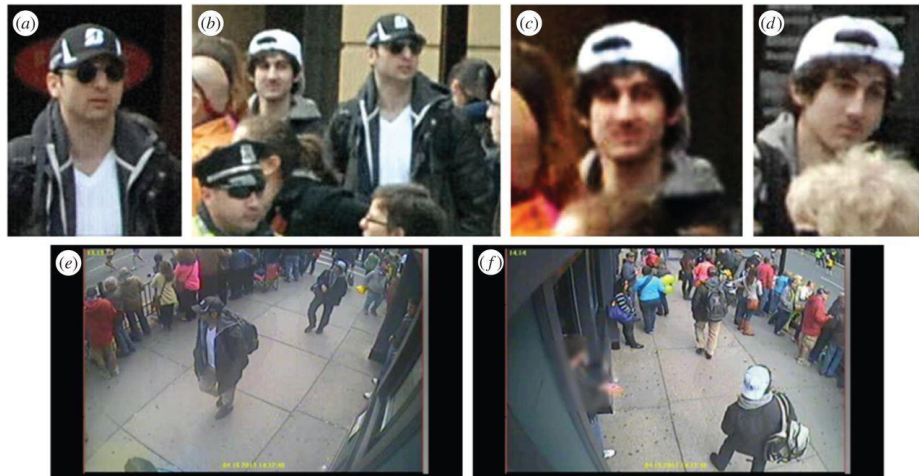
“However, there is persistence in attempting to prove that as it stands, **forensic gait analysis should not fall into disrepute** in the forensic science community”

“**Automated gait recognition** has greatly surpassed forensic gait analysis”

Biometrics in the forensics literature 4 - face



Biometrics in the forensics literature 3 Face recognition



(a) Race: White
Gender: Male
Age: 20 to 30

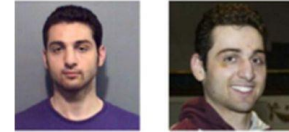


1a

1b



1c



1x

1y



1z

(b) with demographic filtering (white male, 20–30)

	1a	1b	1c	mean
1x	5432	27 617	112	353
1y	518	25 780	1409	686
1z	3958	14 670	1142	1416
mean	424	5790	71	82

Biometrics:

composite-to-photo matching

Composite of Tamerlan Tsarnaev (1c) resulted in a better match with the gallery image (1x) than any of the probe images (1a and 1b) released by the police

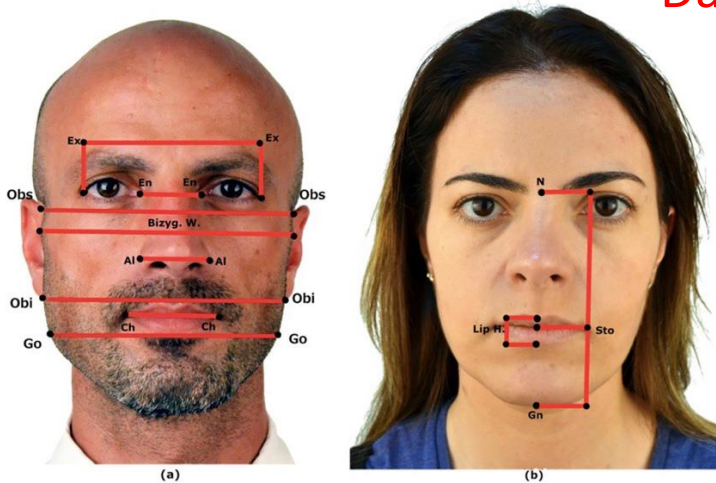
Boston police video:

The public was asked to help identify these two individuals

Sex estimation from biometric face photos for forensic purposes

sex estimation could be made with an accuracy of 80.5%

Daubert



(left), (right) Distances taken between landmarks
(Obs: otobasion superior, Obi: otobasion inferior, Go: gonion, bizyg. W.: Bizygomatic width, En: endocanthion, Ex: exocanthion, Al: alare, Ch: chellion, N: nasion, Gn: gnathion, Sto: stomion).

Measurements	Age groups					
	20–39 (n = 143)			40–59 (n = 130)		
	N	Mean	SD	N	Mean	SD
Obs-Obs	143	73.177	4.221	130	74.199	4.238
Obi-Obi	143	67.638	4.855	130	69.390	4.624
Go-Go	143	63.507	4.822	130	65.131	5.103
Bizyg W.	143	51.292	5.307	130	51.605	5.206
En-En	143	16.773	1.588	130	17.006	1.747
Ex-Ex	143	48.035	3.121	130	47.330	3.620
Al-Al	143	18.579	2.329	130	19.653	2.152
Ch-Ch	143	27.813	2.435	130	28.465	2.621
Lip H.	143	7.908	1.576	130	6.653	1.797
N-Gn	143	60.952	4.058	130	62.029	4.368
N-Sto	143	39.590	3.010	130	40.249	3.073

Obs: otobasion superior; Obi: otobasion inferior; Go: gonion; Bizyg. W.: Bizygomatic width; N: nasion; Gn: gnathion; Sto: stomion; LipH: Lip High; Bizyg W: Bizygomatic width.

* P < 0.05.

Advantages of biometrics in forensics

1. Large databases – **representative** of large modern populations
(vs databases of criminals; lineups/ identity parades)
2. Large databases – reduce **cognitive bias**
(vs. subjective reality of criminal data)
3. Automated processing
fast and **reproducible** results
chain of **reasoning**
error bars

Problems

There are many **advantages** to using biometrics in forensics...

But the coverage/ usage is **lower**

We need:

1. To **engage** the constituents
2. Prepare **appropriate modes** of evidence
3. **Justify** our technology in means other than usual in our science

Suggestions for generating biometric evidence

1. Write a [paper](#), apply it to something else
2. Include [error bars](#)
3. Generate [likelihood ratio](#)
4. Use biometric [standards](#)
5. Organise workshop/ [special session](#)/ tutorial/ special edition/
competition
6. Edit book, write [news article](#)/ get on television
7. Get advice on writing [statement](#)

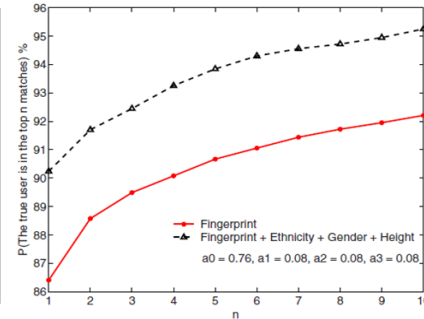
Soft Biometrics

1. What are they?
2. How do they work?
3. Where are we going?

Soft Biometrics

Bertillonage 1890
(body, face, iris, ear, nose...)

Nandakumar and Jain 2004
(augmenting traditional biometrics)



Adapted from
Ross and Nixon
Soft Biometrics
Tutorial
BTAS 2016



Face Soft
Attribute

Kumar, Klare, Zhang,
Gonzalez-Sosa
Relative Attribute
[Graumann], Reid,
Almudhahka,

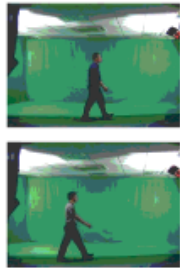
Body Soft
Categorical

Samangoeei
Comparative
Reid, Martinho-
Corbishley

Other Soft
Tattoos

Lee
Clothing Jaha
Makeup Dantcheva
Eyes & glasses
Mohammed
Hair Proenca

Applications: Performance, identification, marketing, fashion



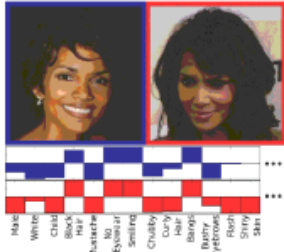
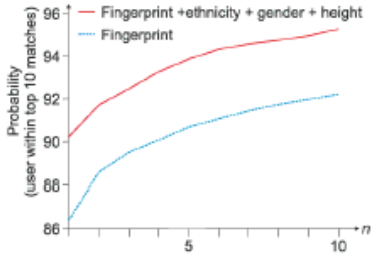
Person-A



Person-B

The eyebrow of Person-A relative to that of Person-B is:

- Much Thinner
- More Thin
- Same
- More Thick
- Much Thicker



[Bartillon 1889]

[Jain et al., 2004]

[Samangooei et al., 2008]

[Kumar et al., 2009]

[Reid et al., 2011]

[Jaha et al., 2014]

[Almudhanka et al., 2016]

[Martinho-Corbisley, 2019]

Anthropometrics

Augmenting biometrics

Human descriptions

Face attributes

Comparative descriptions

Clothing descriptions

Face descriptions

Super-fine descriptions

Advantages of Soft Biometrics

1. **Human understandable** description

rich in semantics, e.g., a face image described as a “young Asian male”
bridges gap between human and machine descriptions

1. **Robustness** to image quality

soft biometric attributes and low quality data
subject at a distance from the camera

1. **Privacy**

lack of distinctiveness implies privacy friendly
... but we can recognise you anywhere

1. **Performance** improvement

use in conjunction with biometric cues such as face, fingerprint and iris
fusion to improve accuracy. ID invariance to **viewpoint**, **illumination**.

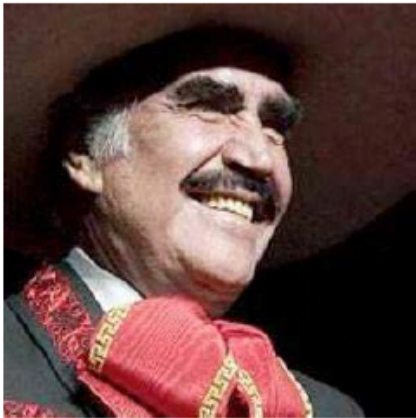


Soft biometrics – the state of art

Technique: predominantly deep

Data: Maad-face, Annotated pedestrians

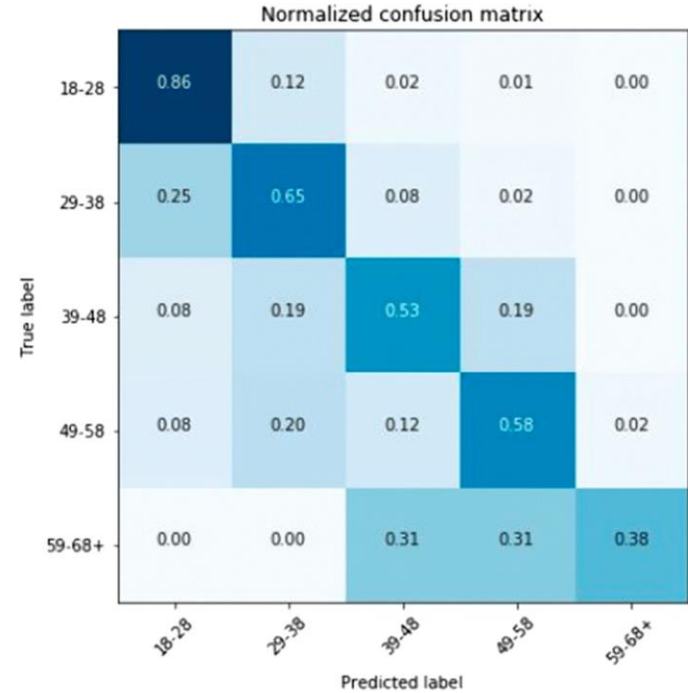
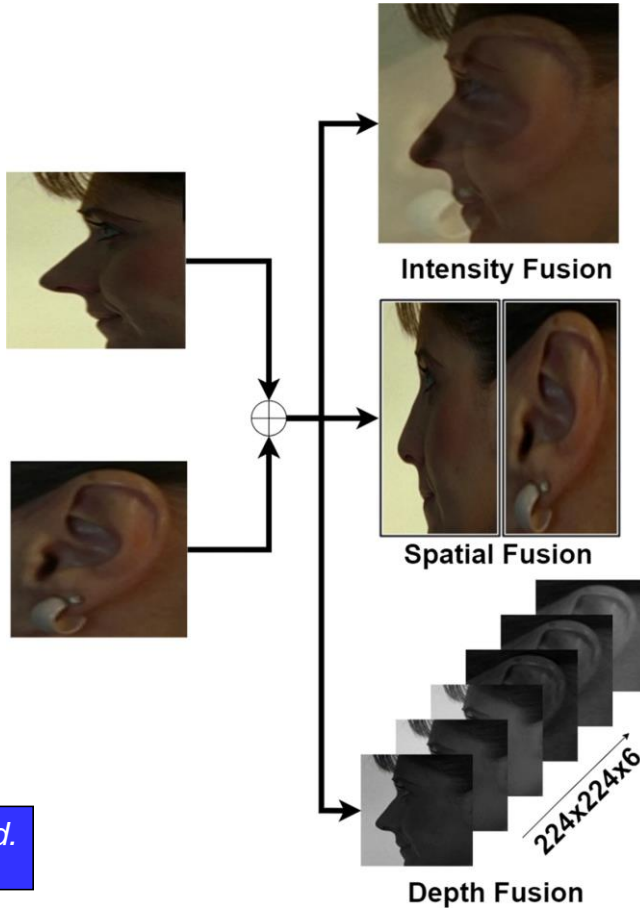
Applications: face (esp with masks), privacy, forensics?



Male	1	Bangs	-1	Round Face	0	Big Lips	0
Young	-1	Sideburns	1	Double Chin	1	Big Nose	1
Middle Aged	-1	Black Hair	0	High Cheekbones	0	Pointy Nose	-1
Senior	1	Blond Hair	-1	Chubby	1	Heavy Makeup	-1
Asian	-1	Brown Hair	-1	Obstructed Forehead	1	Wearing Hat	1
White	0	Gray Hair	1	Fully Visible Forehead	-1	Wearing Earrings	-1
Black	-1	No Beard	-1	Brown Eyes	0	Wearing Necktie	-1
Rosy Cheeks	0	Mustache	1	Bags Under Eyes	0	Wearing Lipstick	-1
Shiny Skin	1	5 o Clock Shadow	-1	Bushy Eyebrows	1	No Eyewear	1
Bald	-1	Goatee	-1	Arched Eyebrows	-1	Eyeglasses	-1
Wavy Hair	-1	Oval Face	-1	Mouth Closed	0	Attractive	-1
Receding Hairline	0	Square Face	1	Smiling	0		

Multimodal soft biometrics: combining ear and face biometrics for age and gender classification

Convnet based
Age 67%
Gender 98%

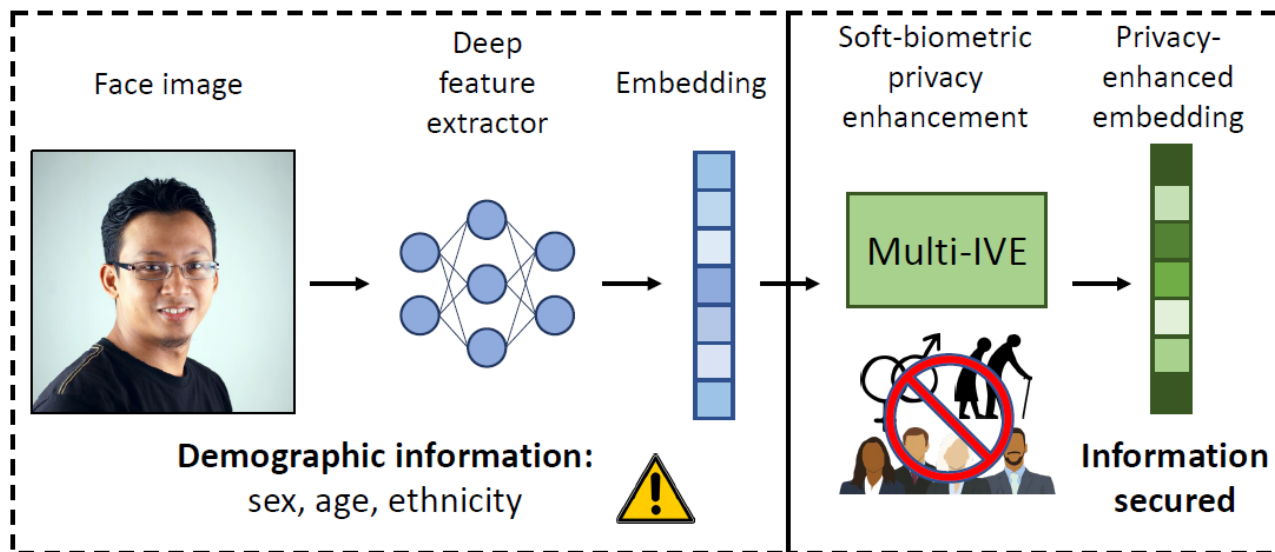


Age confusion

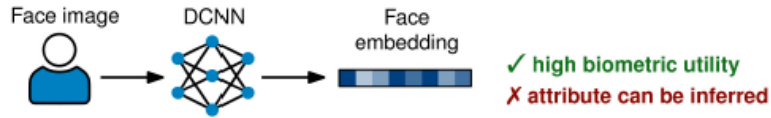
Multi-IVE: Privacy Enhancement of Multiple Soft-Biometrics in Face Embeddings

Incremental Variable Elimination to secure multiple soft biometric attributes simultaneously

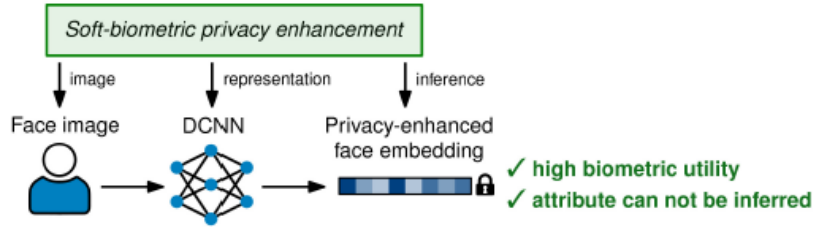
Identify and discard multiple soft-biometric attributes contained in face embeddings



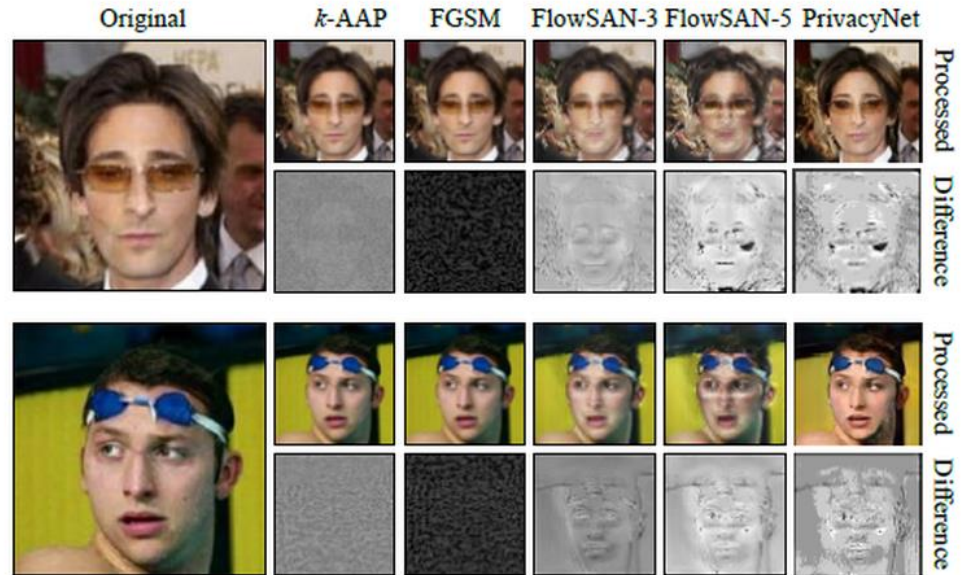
PrivacyProber: Assessment and Detection of Soft-Biometric Privacy-Enhancing Techniques



(a) Original (unprotected)

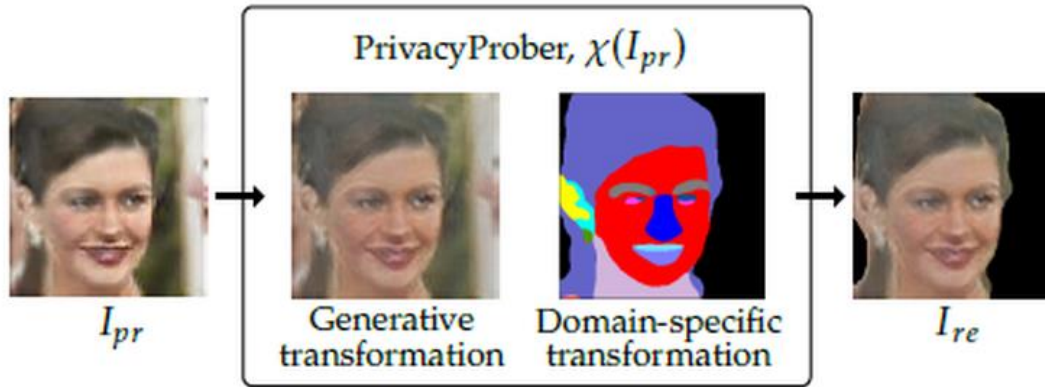


(b) Privacy-enhanced (protected)



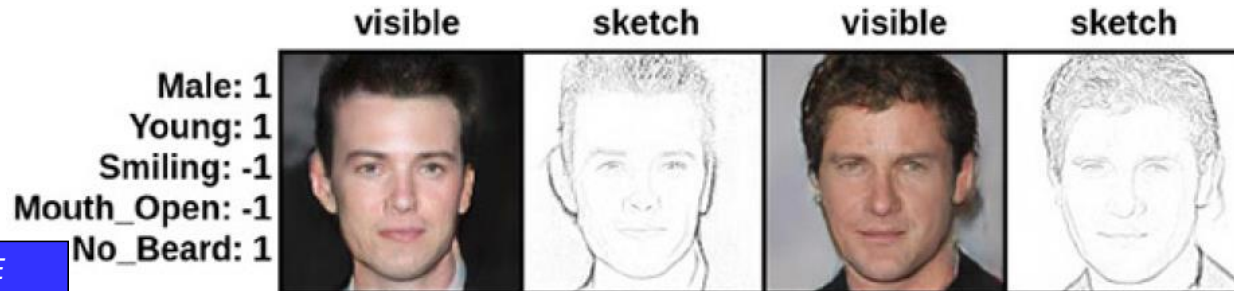
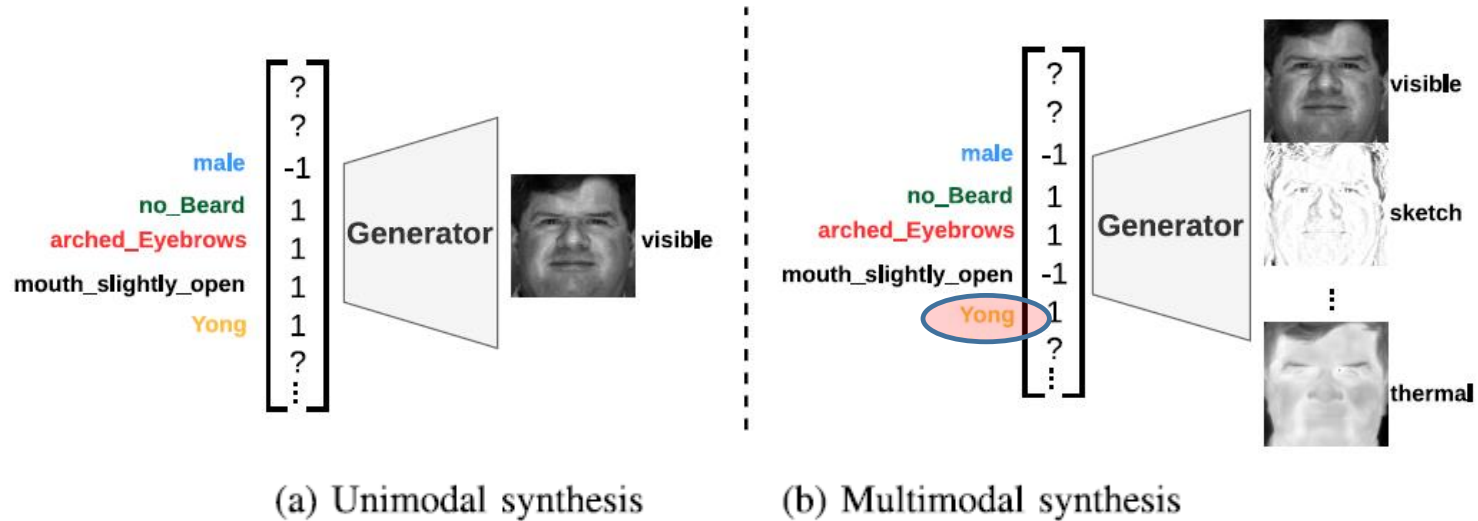
Adding privacy enhancement

PrivacyProber: Assessment and Detection of Soft-Biometric Privacy-Enhancing Techniques



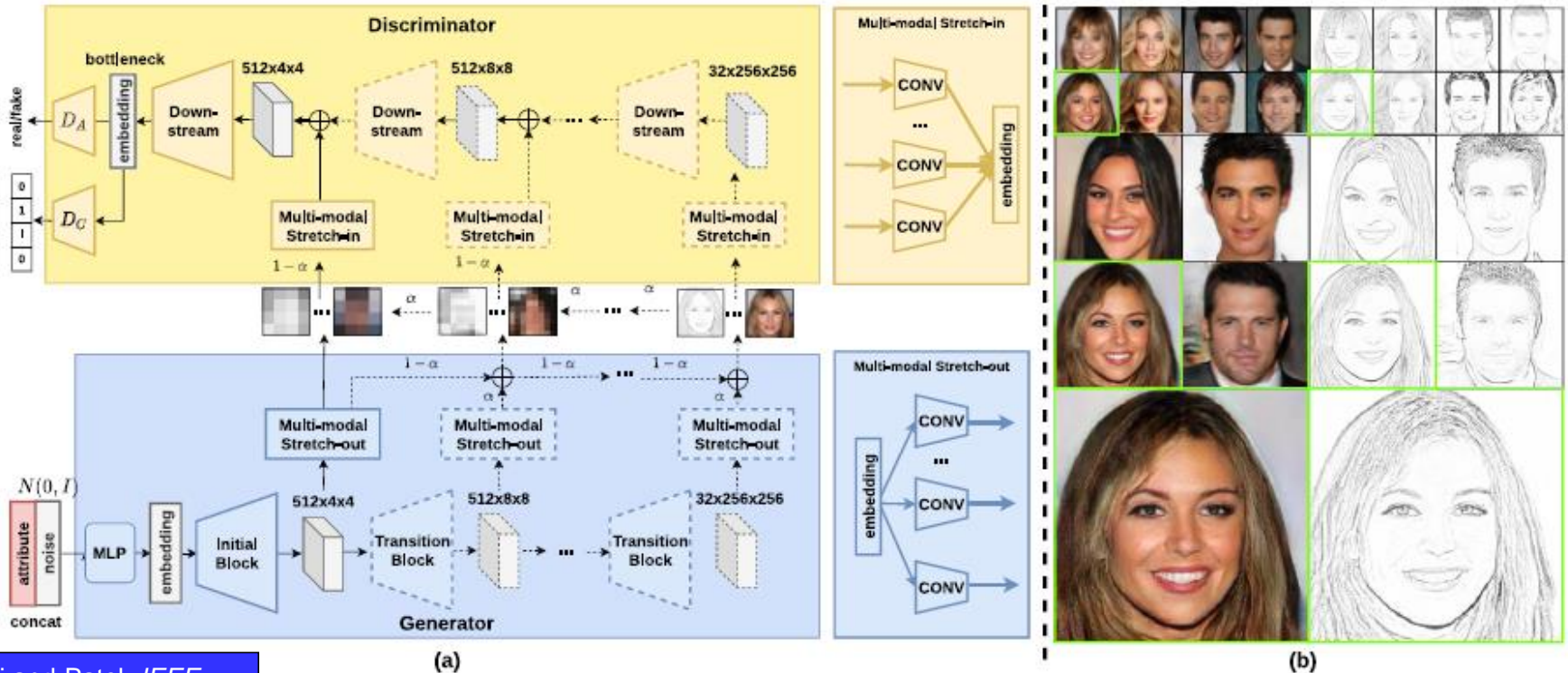
Detecting privacy enhancement

Multimodal Face Synthesis From Visual Attributes



Multimodal Face Synthesis From Visual Attributes

Xing Di and Vishal M. Patel (JHU)



What can you recognise?



64×97



128×194



256×386



Traits and terms

Global Features

- Features mentioned most often in witness statements
- Sex and age quite simple
- Ethnicity
 - Notoriously unstable
 - There could be anywhere between 3 and 100 ethnic groups
 - 3 “main” subgroups plus 2 extra to match UK Police force groupings

Samangoei, Guo and Nixon, *IEEE BTAS* 2008

So we thought!!

- Global
 - Sex
 - Ethnicity
 - Skin Colour
 - Age
- Body Shape
 - Figure
 - Weight
 - Muscle Build
 - Height
 - Proportions
 - Shoulder Shape
 - Chest Size
 - Hip size
 - Leg/Arm Length
 - Leg/Arm Thickness
- Head
 - Hair Colour
 - Hair Length
 - Facial Hair Colour/Length
 - Neck Length/Thickness



Elect

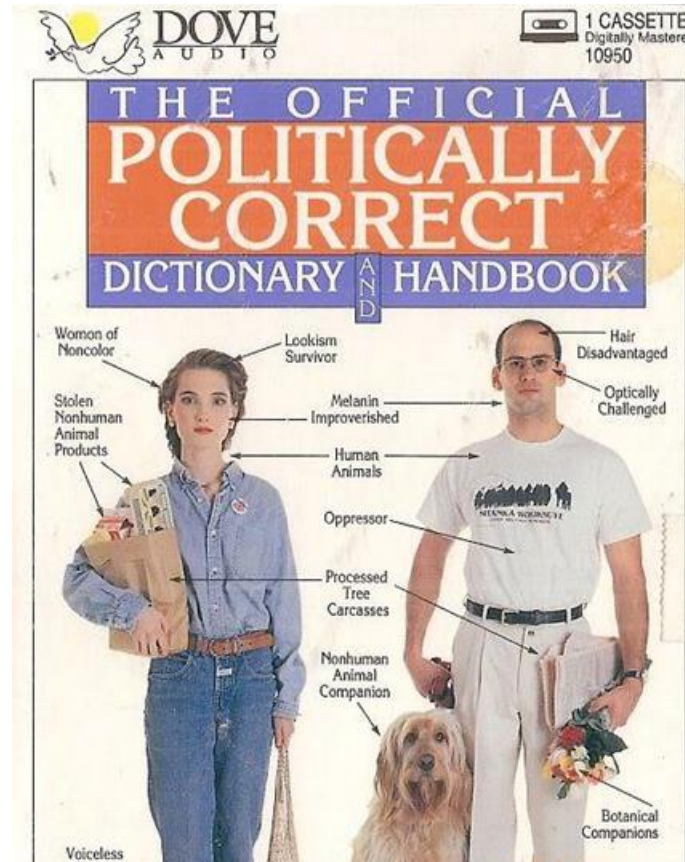
on
cience

Phrasing questions

- No 'political correctness'
- Note, or avoid, homonyms and polysemes
- Eschew completely argot and colloquialism

E.g. nose: hooter, snitch, conk (UK), schnozzle (US?)

..... and avoid words like eschew



Recognition by fine-grained attributes

Database of images

Set of labels

Crowd sourced comparative labels

Ranking labels

Learning label structure

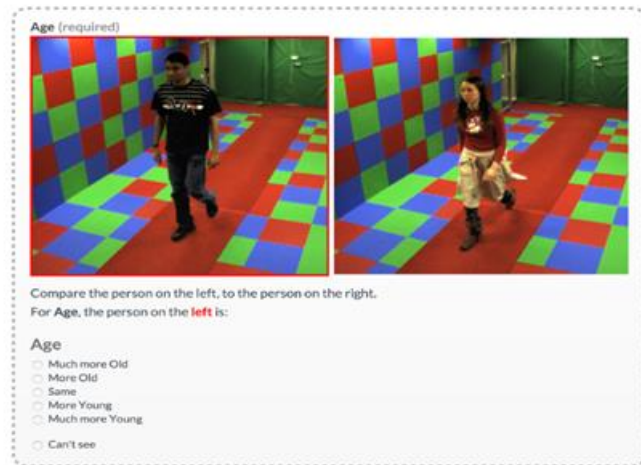
Recognise

1. Label the data

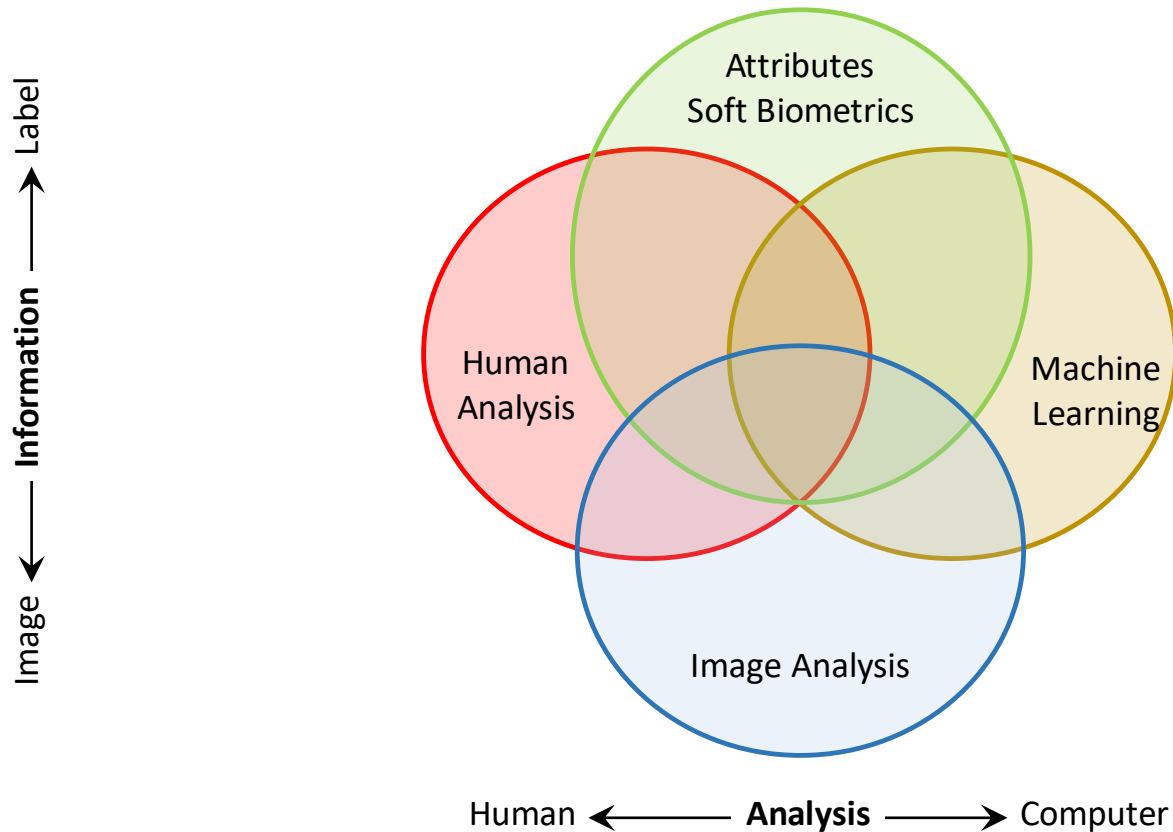
2. Turn the data into features

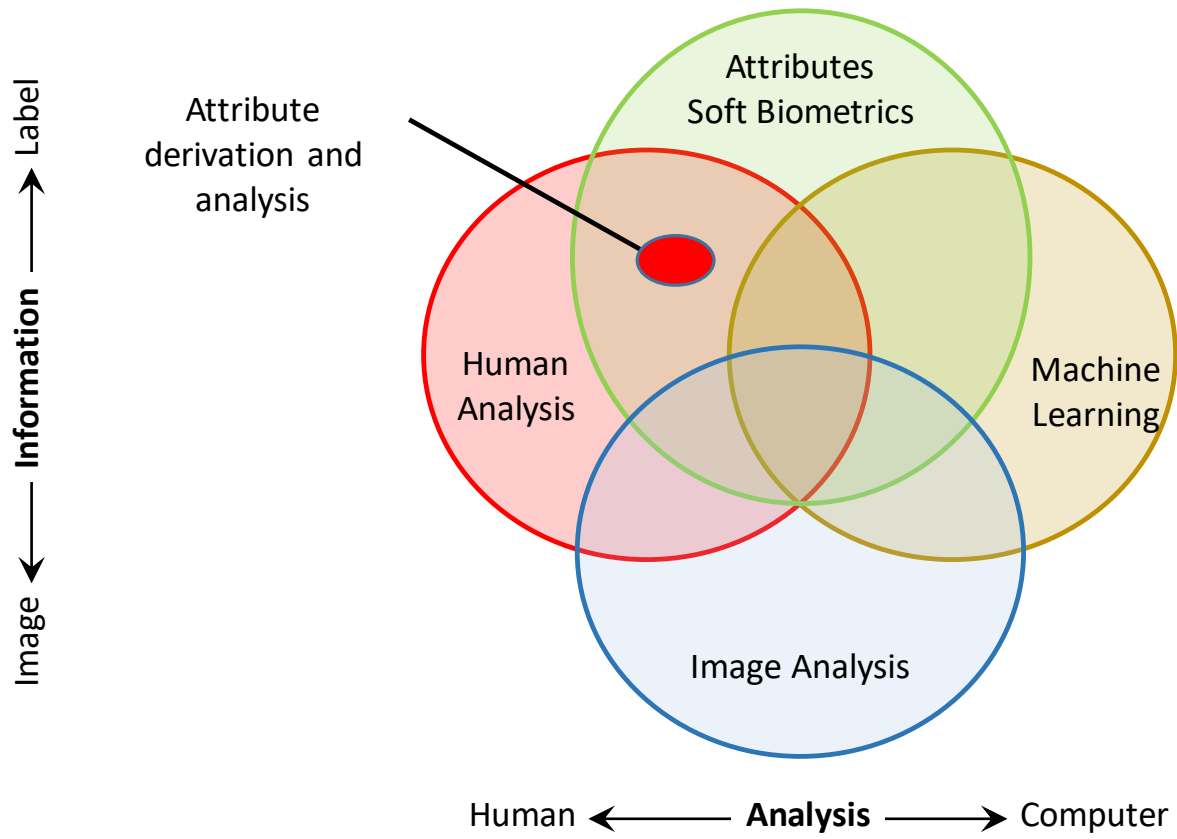
3. Learn how recognition can be achieved

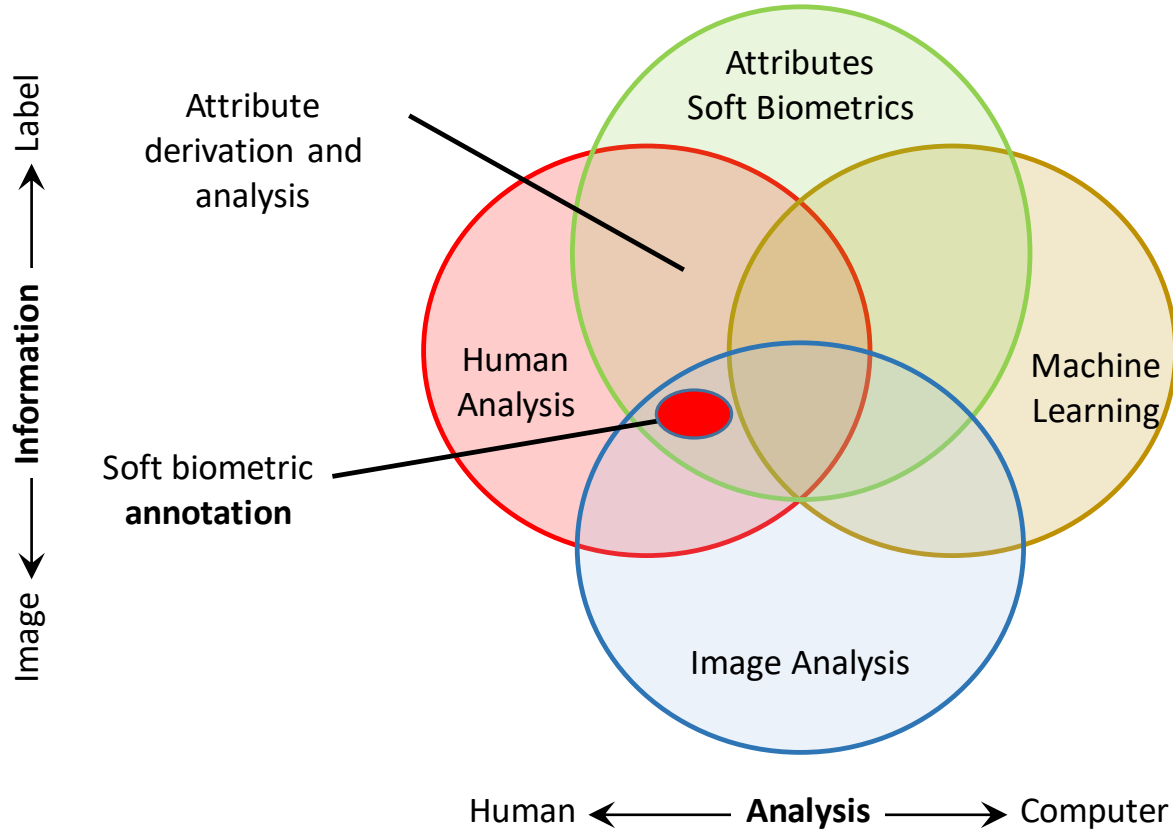
4. Generate new labels

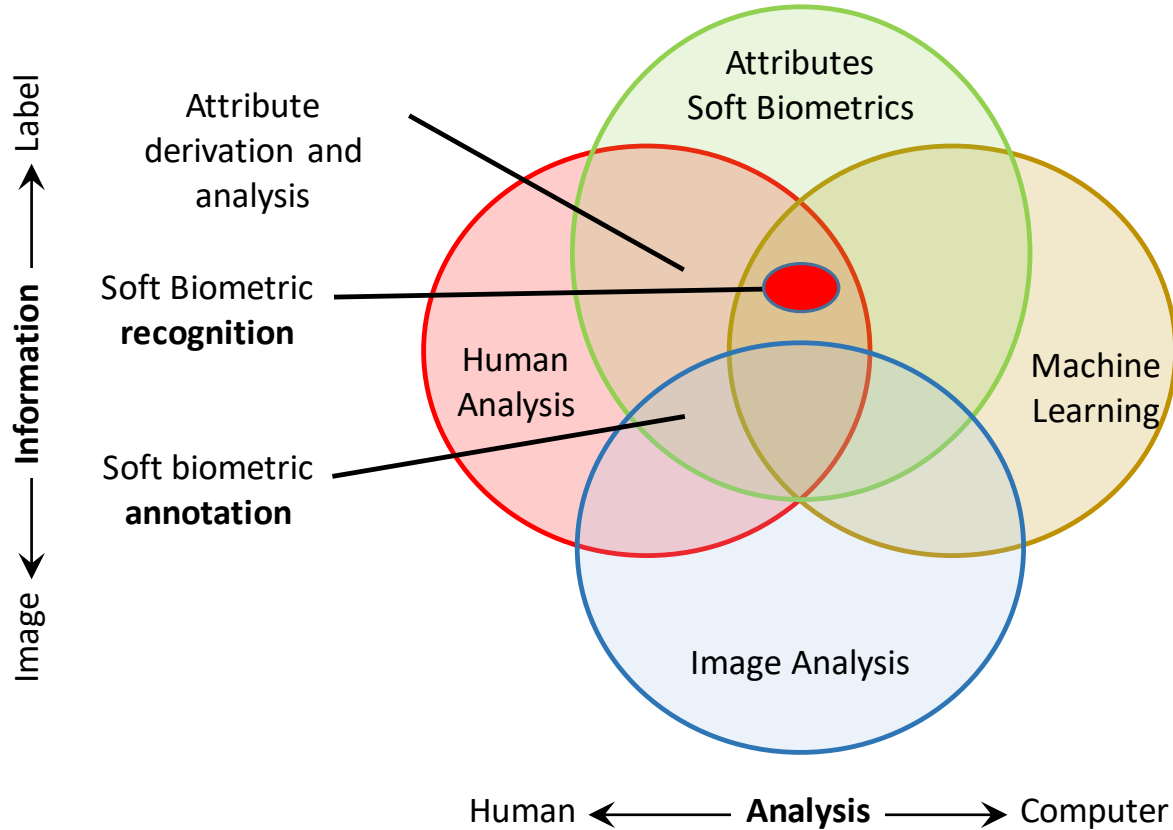


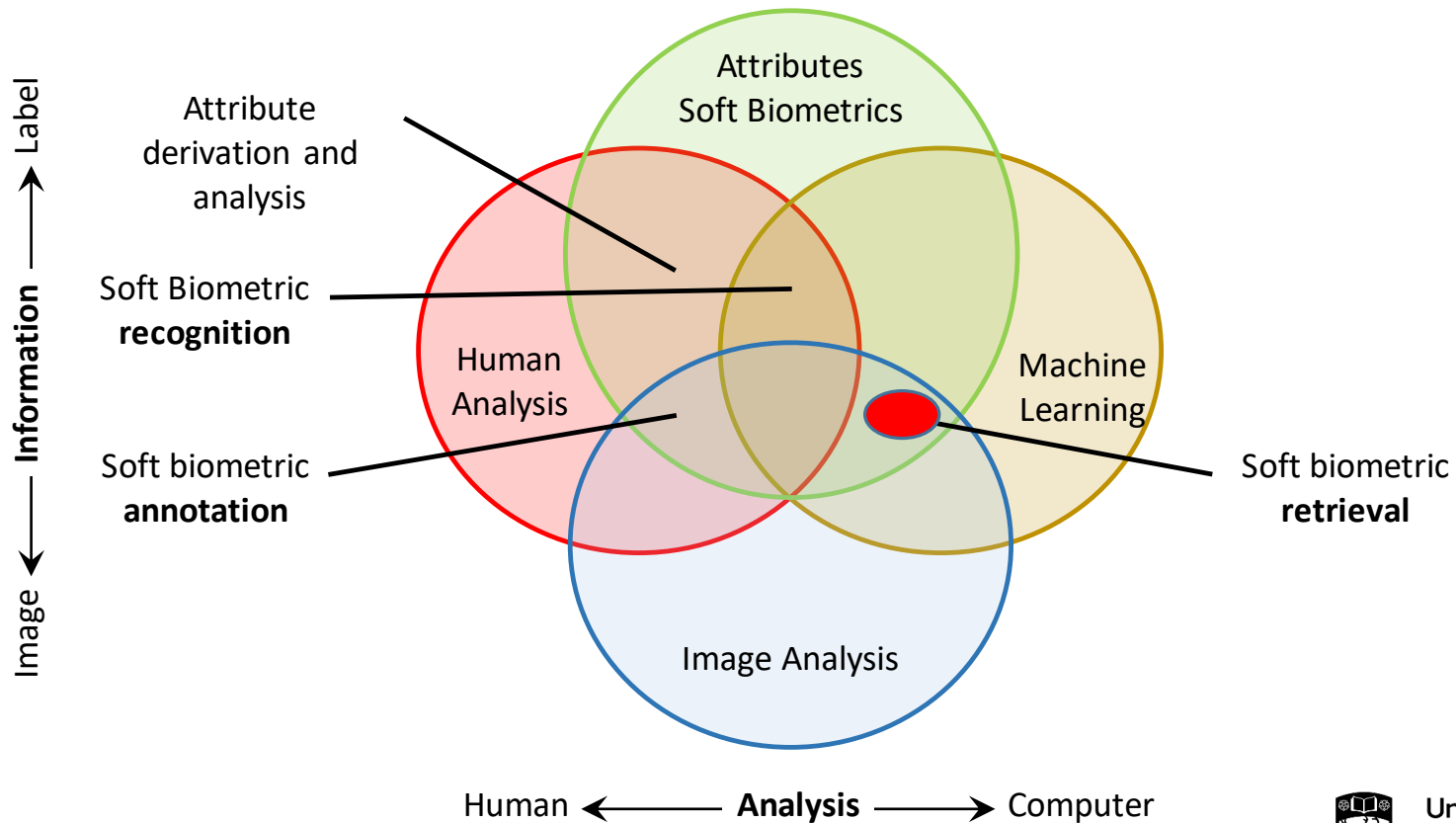
How does this fit with computer vision?

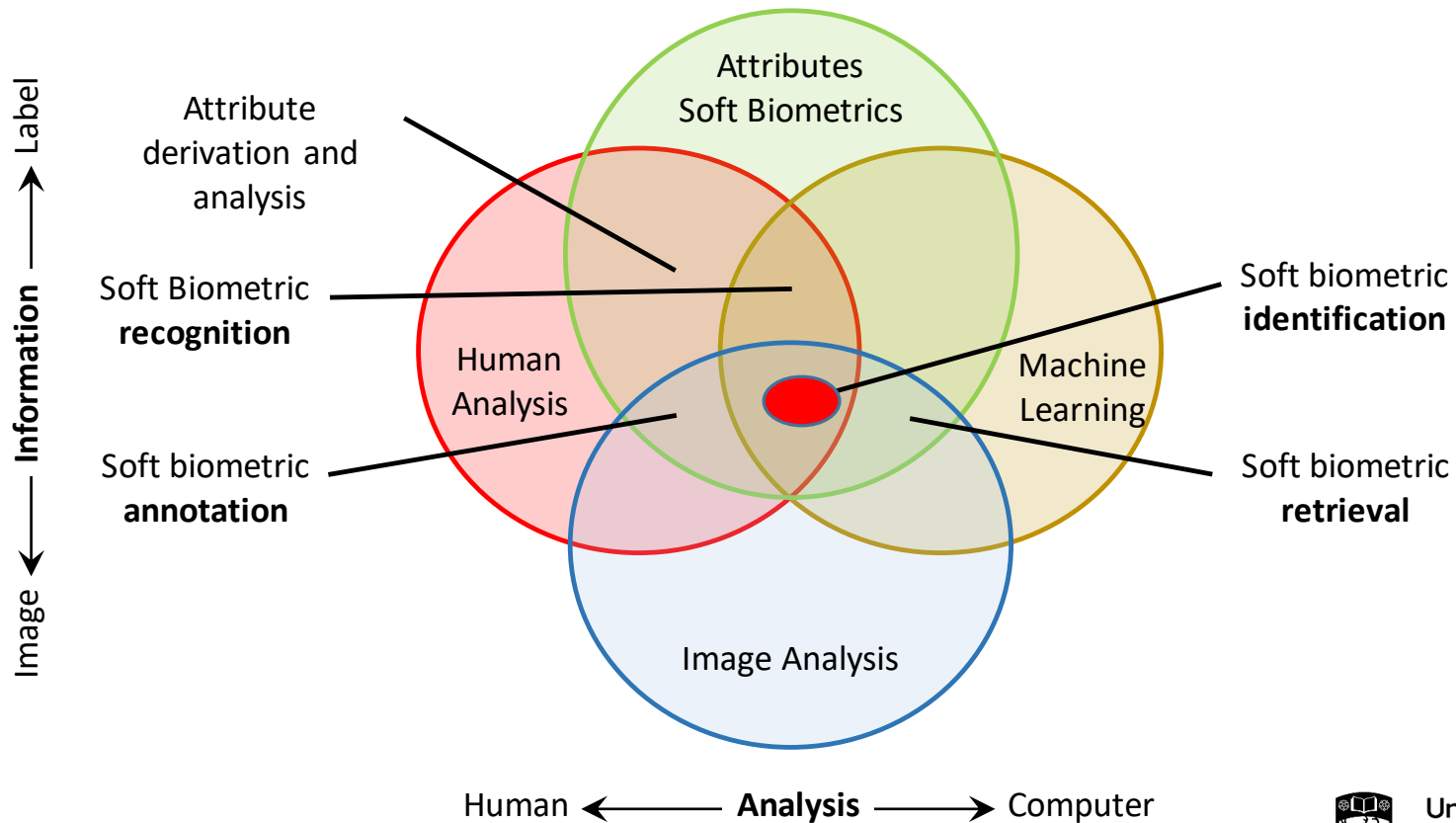


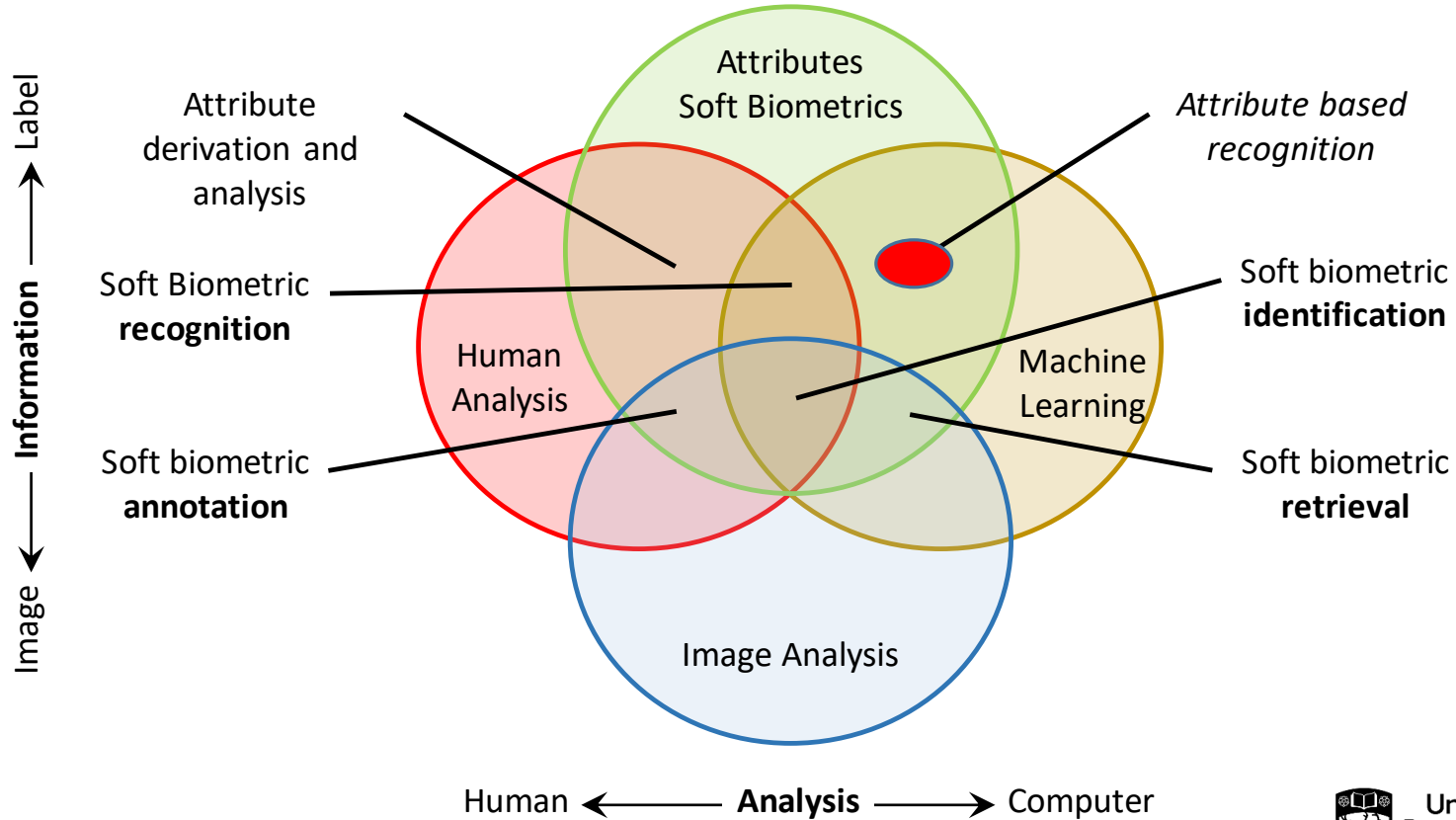


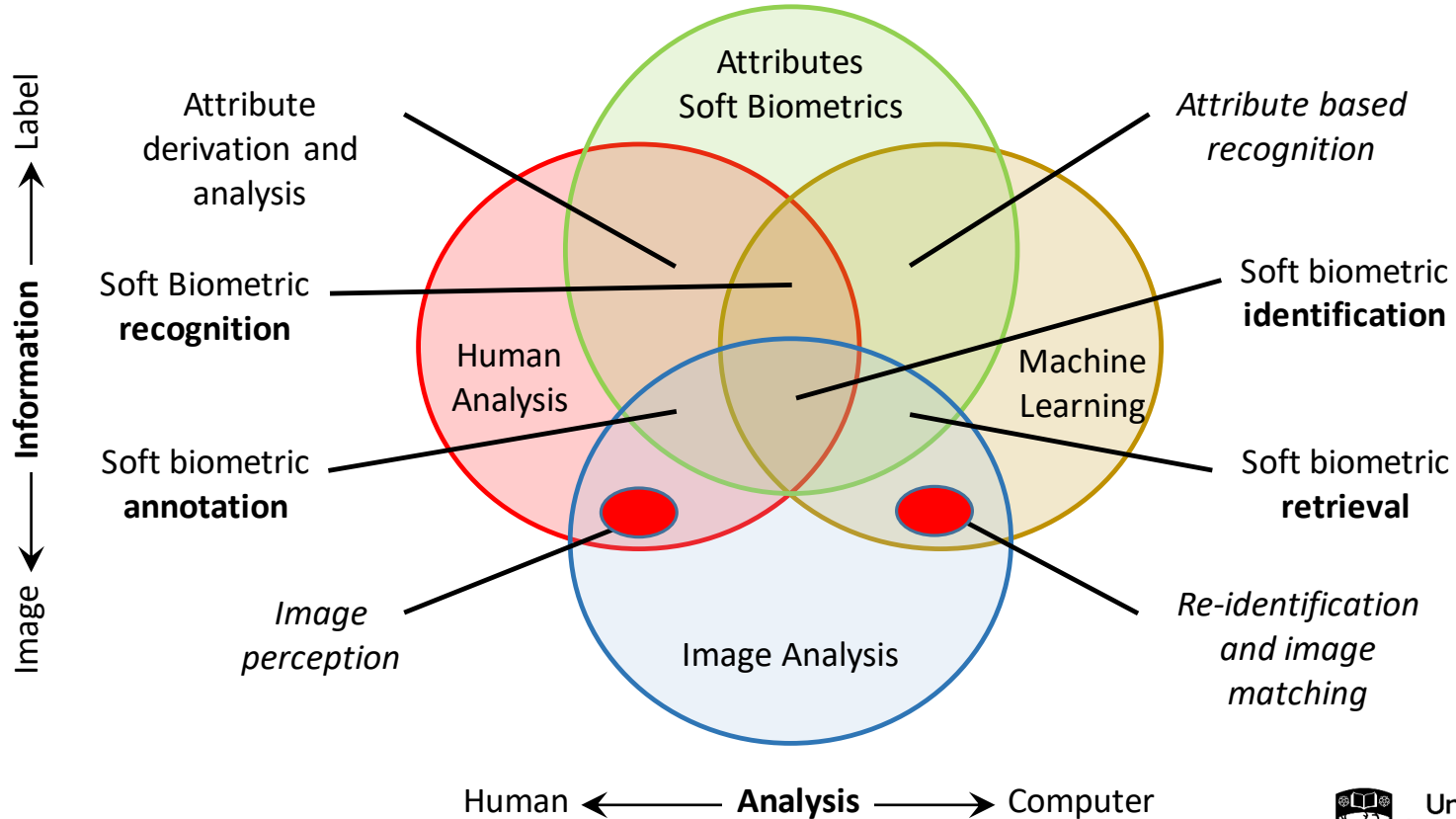


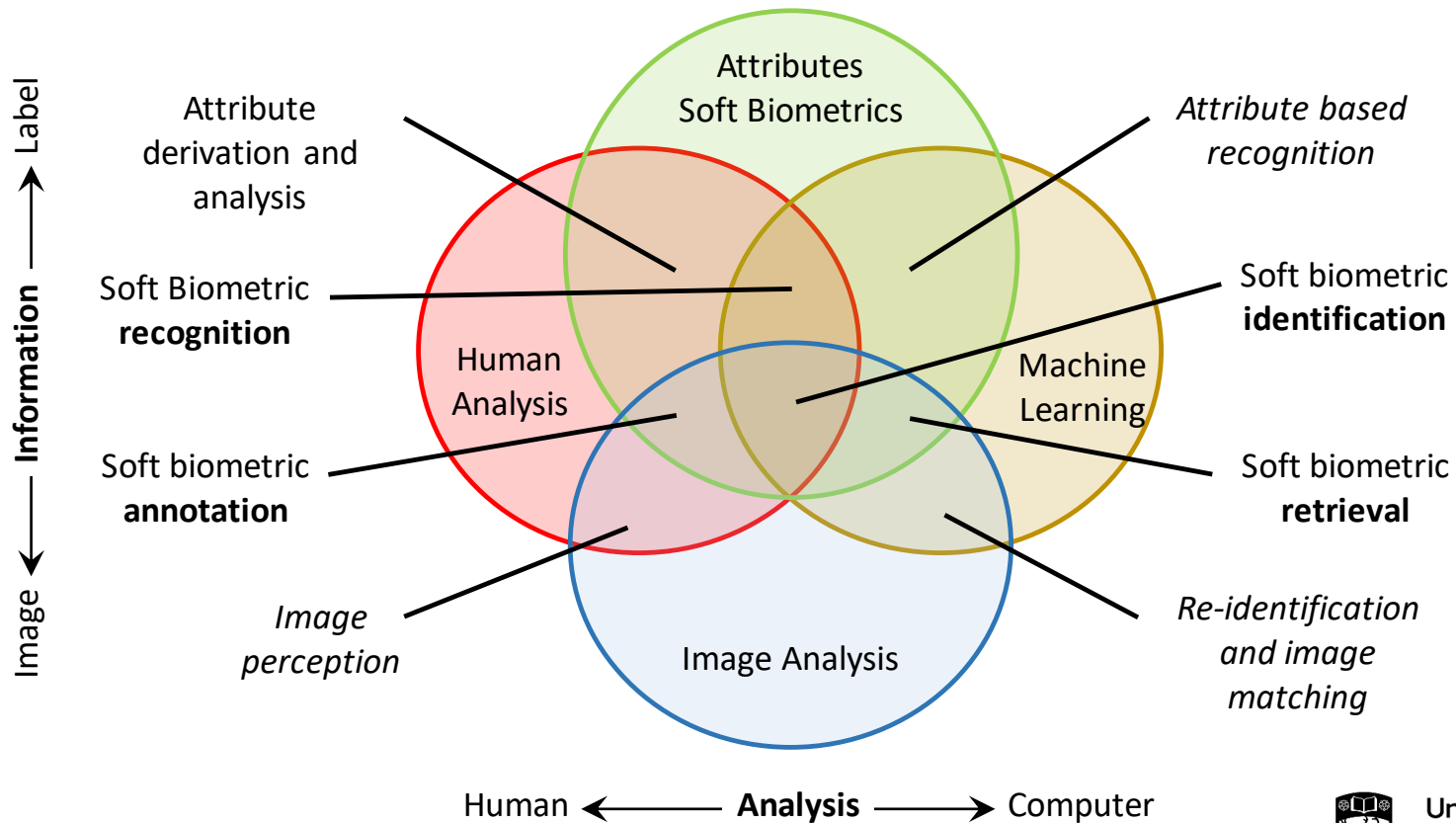




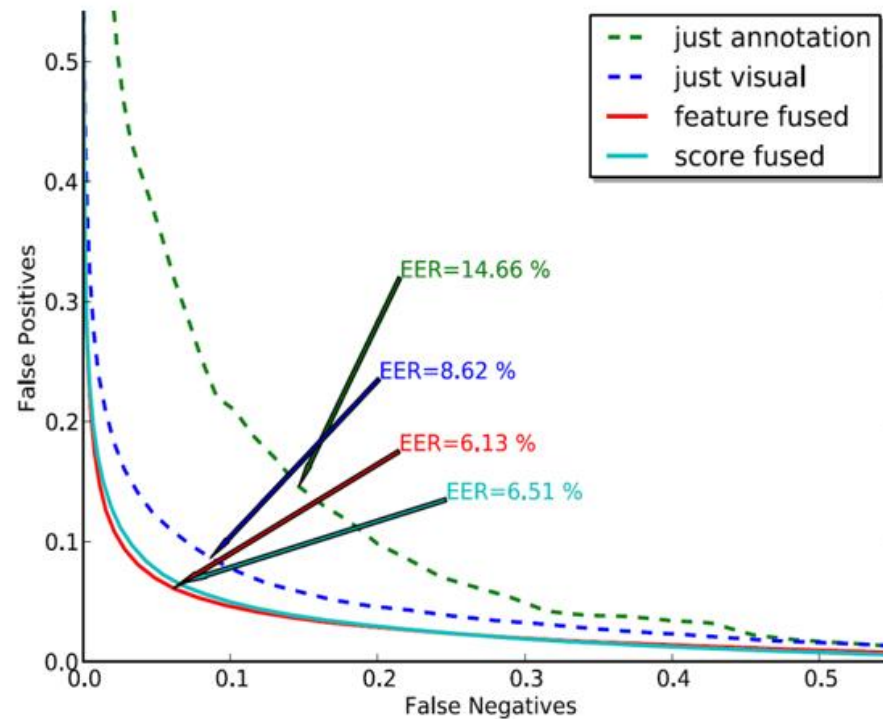
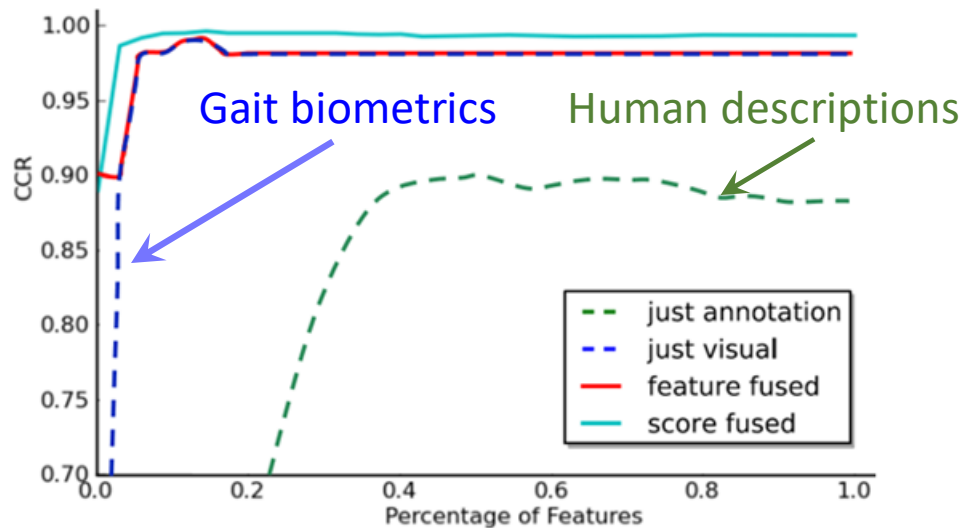








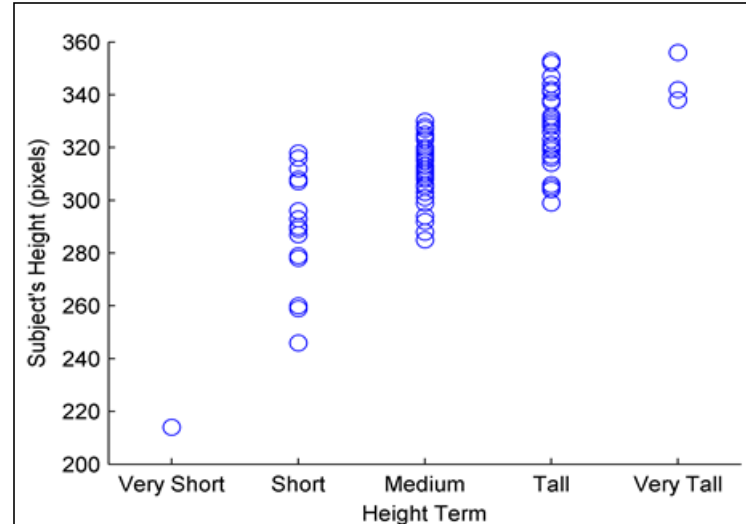
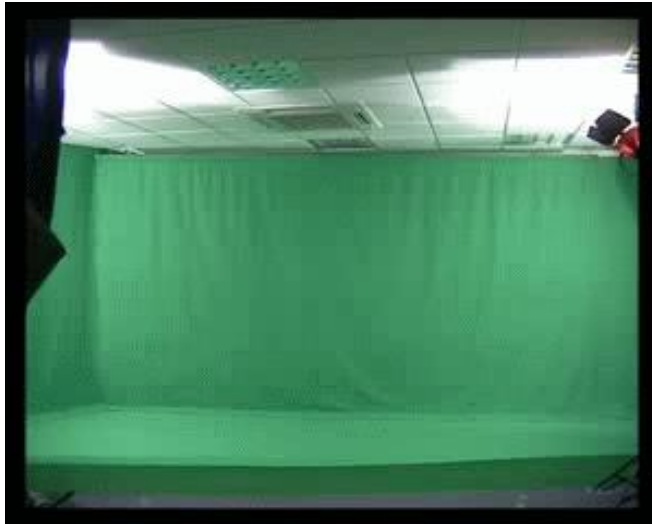
Human descriptions: recognition capability



First result


Problems with absolute descriptors

Subjective = **unreliable**; Categorical = lacks **detail**



Comparative human descriptions

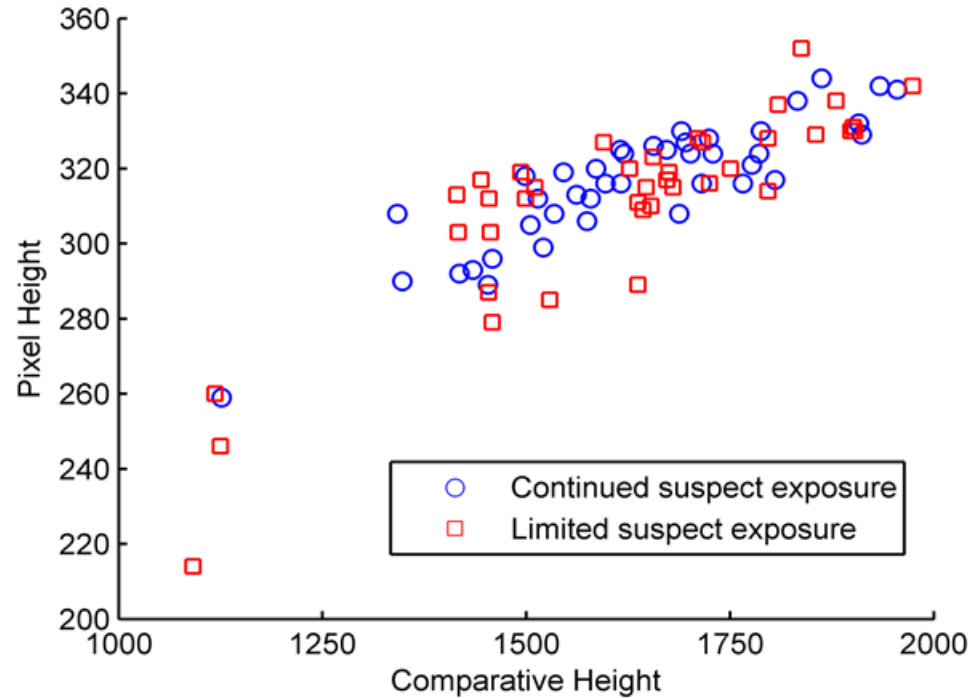
- **Compare** one subject's attribute with another's
- **Infer** continuous **relative** measurements



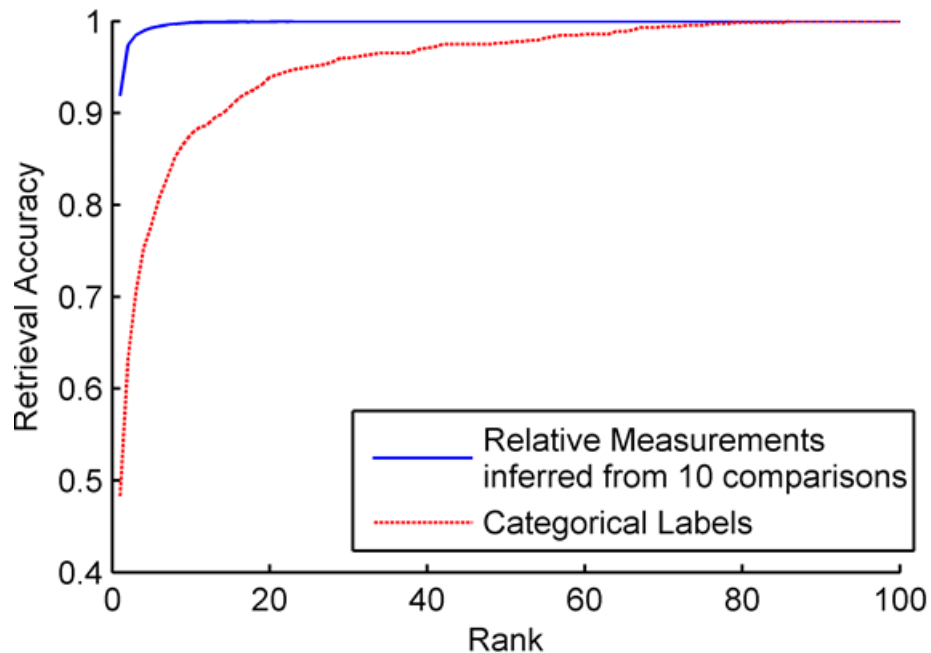
Please compare the subject in the lower video to the subject in the top video.
For example if the subject in the bottom video is taller than the subject

Attribute	Annotation
Age	<input type="text" value="Older"/>
	Bottom subject is OLDER than the top
Hair Colour	<input type="text" value="Same"/>
	Subjects have roughly the SAME hair colour
Hair Length	<input type="text" value="Longer"/>
	Bottom subject has LONGER hair than the top
Height	<input type="text" value="Taller"/>
	Bottom subject is TALLER than the top
Figure	<input type="text" value="Same"/>
	Subjects both have roughly the SAME figure
Neck Length	<input type="text" value="Same"/>
	Subjects have roughly the SAME length neck
Neck Thickness	<input type="text" value="Thinner"/>
	Bottom subject has a THINNER neck than the top
Shoulder Shape	<input type="text" value="Same"/>
	Subjects have roughly the SAME shoulder shape
Chest	<input type="text" value="Same"/>
	Subjects have roughly the SAME size chest
Arm Length	<input type="text" value="Longer"/>
	Bottom subject has a LONGER arms than the top

Height correlation (with time)

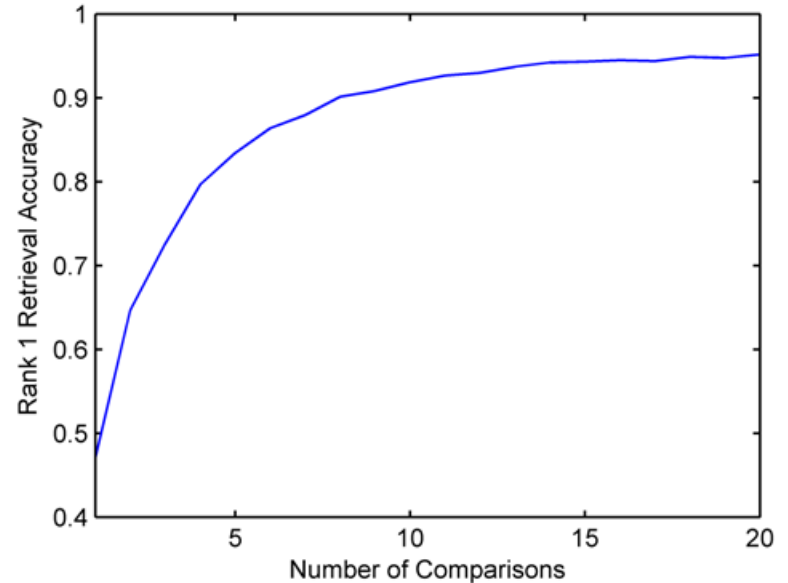


Recognition

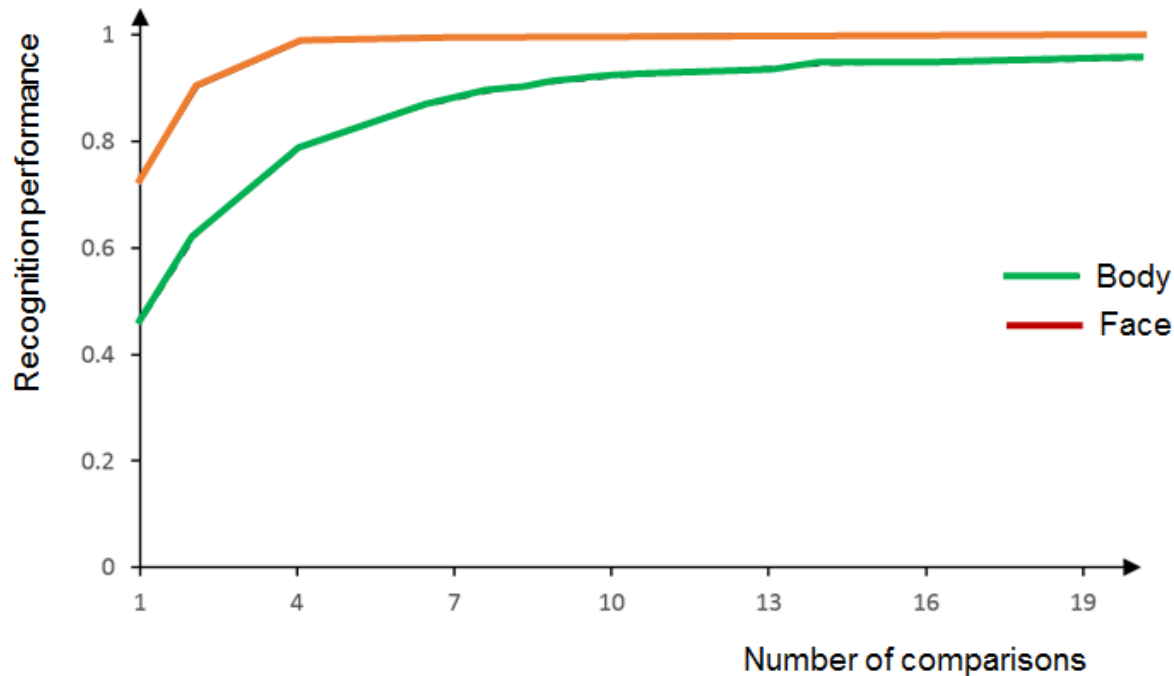


Ranking comparative descriptions

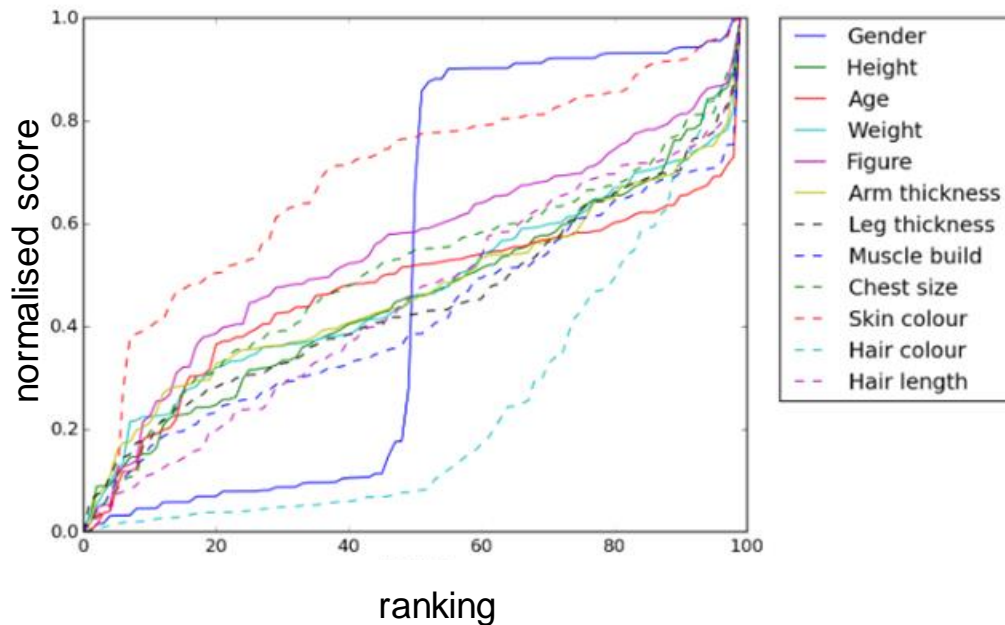
- Use **ELO rating system** from chess to infer relative descriptions
- Turn comparative labels into a **ranked list**
- Comparative \succ categorical
- **Alternatives?**
- **Parameters?**



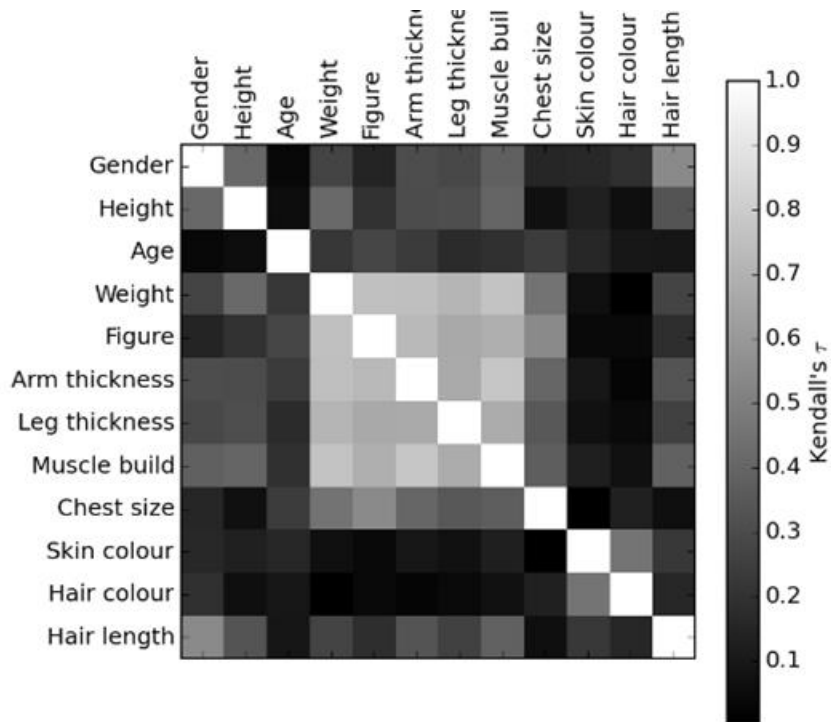
Evaluation: effect of number of comparisons on recognition



Body trait performance

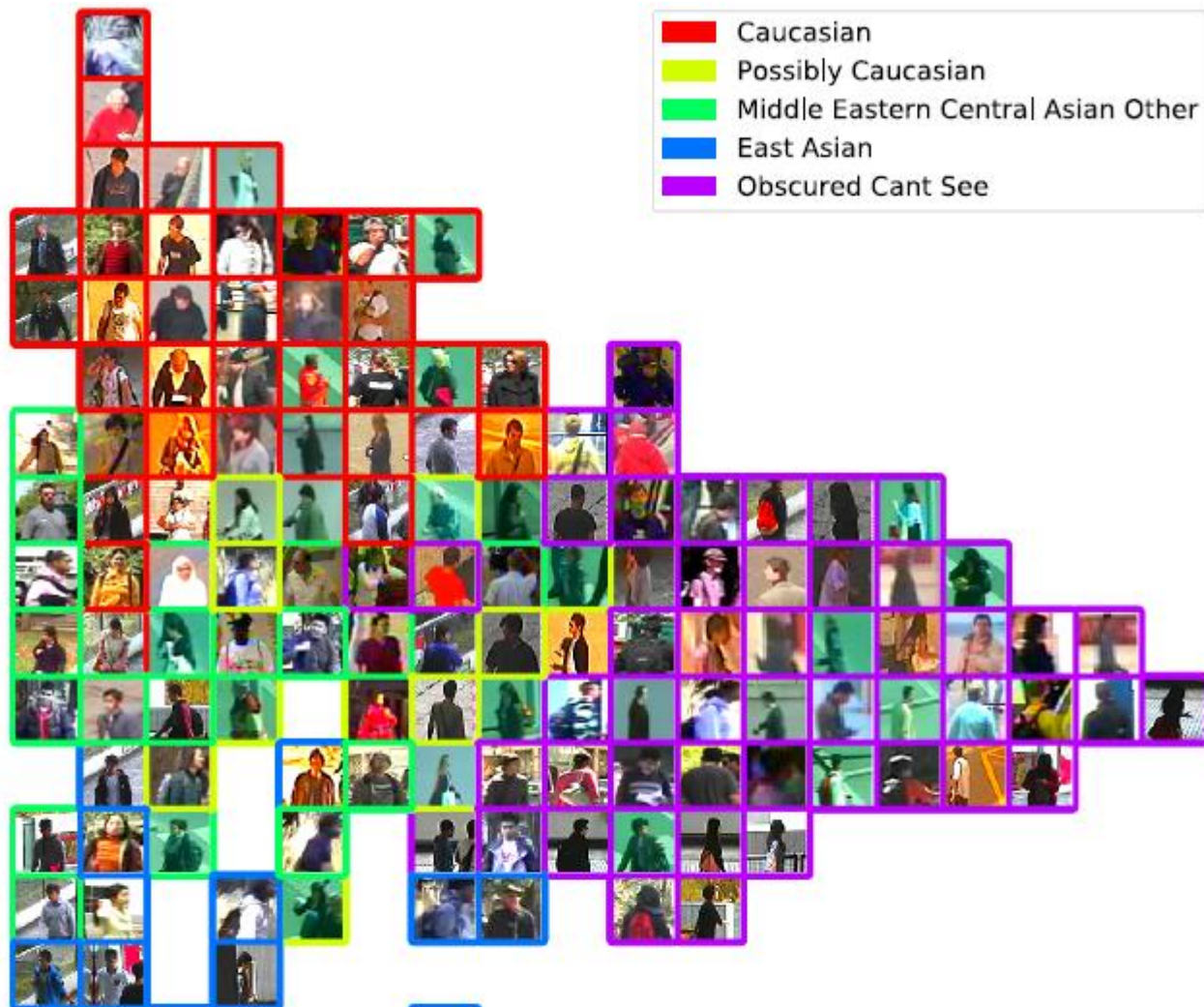


Normalised relative scores vs ranks




Kentall's τ correlation

Ethnicity



Gender Estimation on PETA

- Gender?

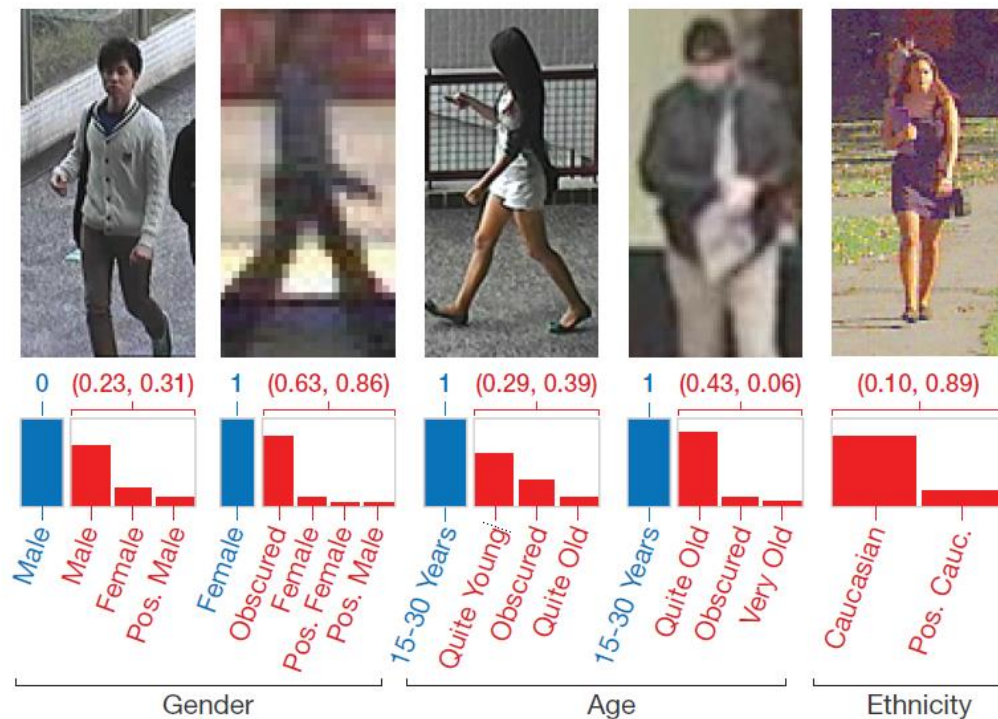
Subject	1	2	3
PETA image			
PETA label			A. Male B. Female

Superfine labels

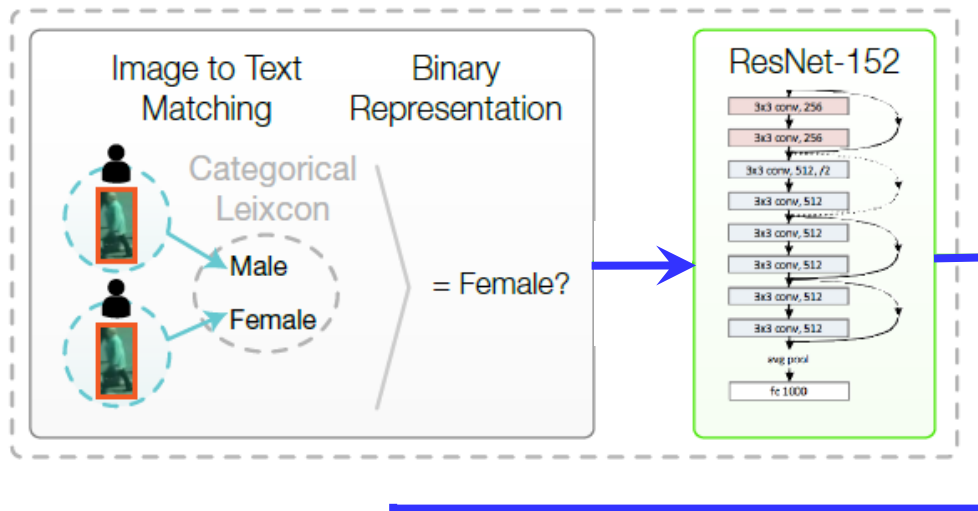
Most 'fine' are actually
coarse

Our comparative attributes
are superfine

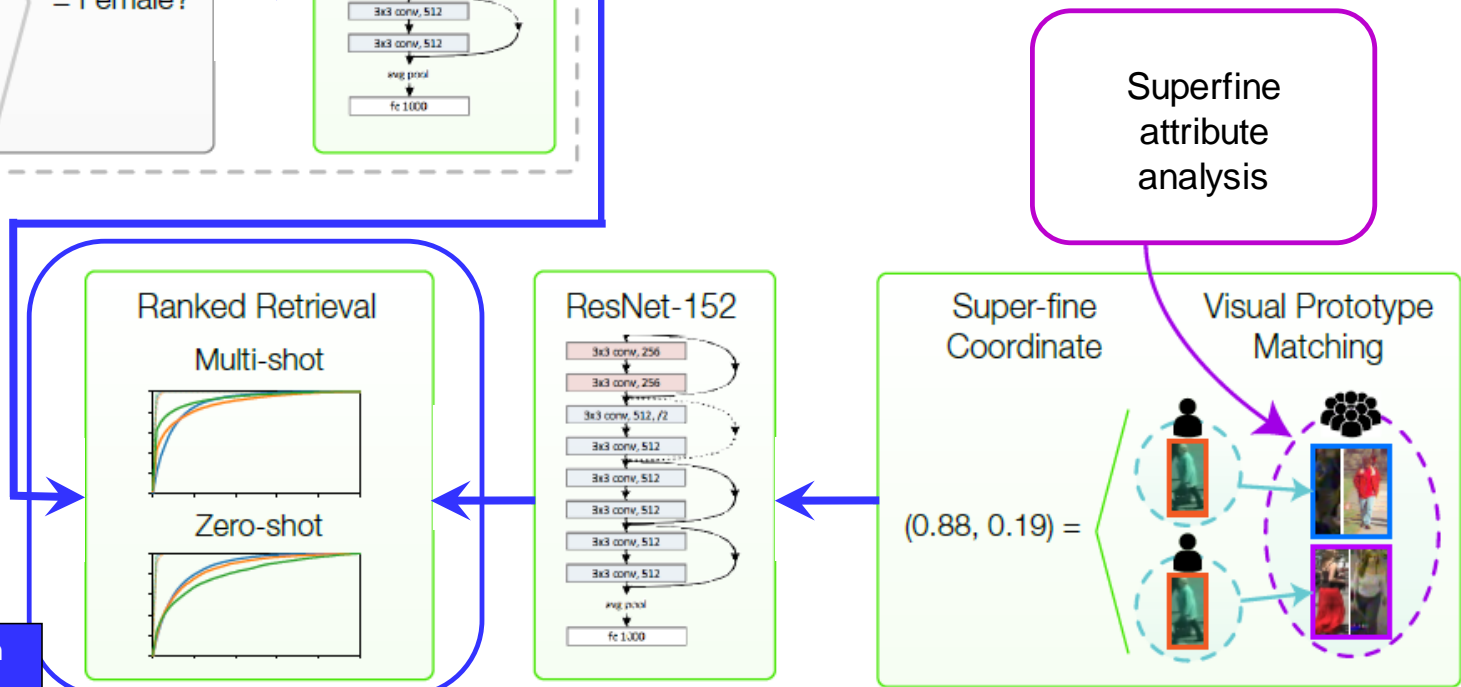
Comparison/ ranking gives
many advantages



Conventional attribute-based analysis

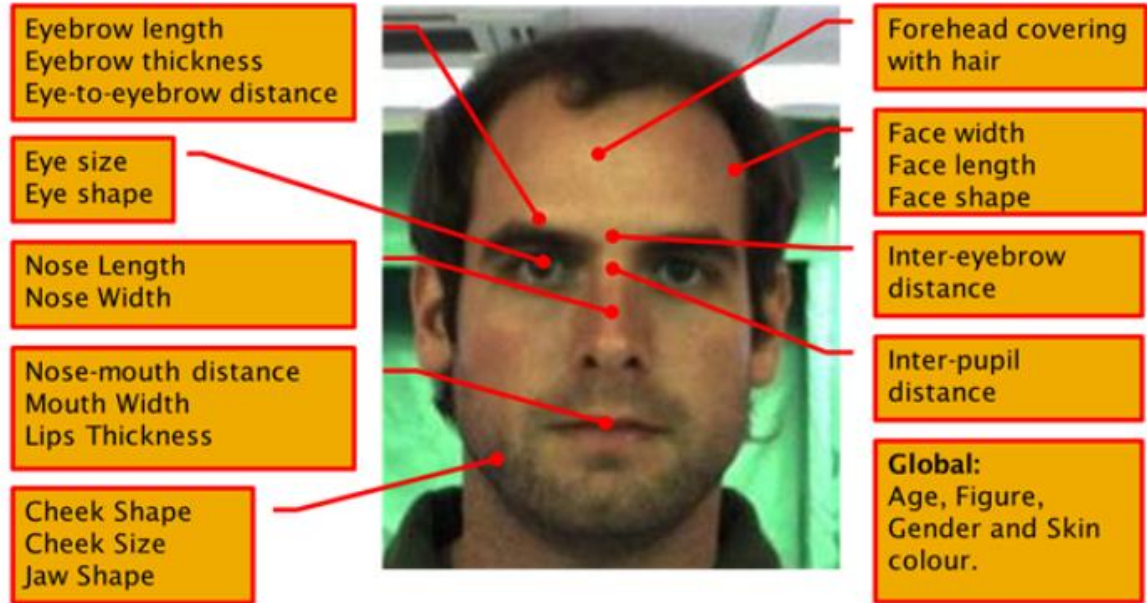


Labelling architecture



Recognition by face attributes

Categorical labels
(gender, age +...)
Comparative labels



Recognition by face via comparative attributes on LFW

	Collected	Inferred	Total
Traits comparisons	241560	132879504	133121064
Subjects' comparisons	10065	5536646	5546711
Average number of comparisons per subject	4.98	1371.1	N/A
Number of annotators	9901		



Person-A



Person-B

The eyebrow horizontal length of **person-A** relative to that of **person-B** is:

- More Short
- Same
- More Long
- Don't know

Compression of 5 point scale: for comparative face labels

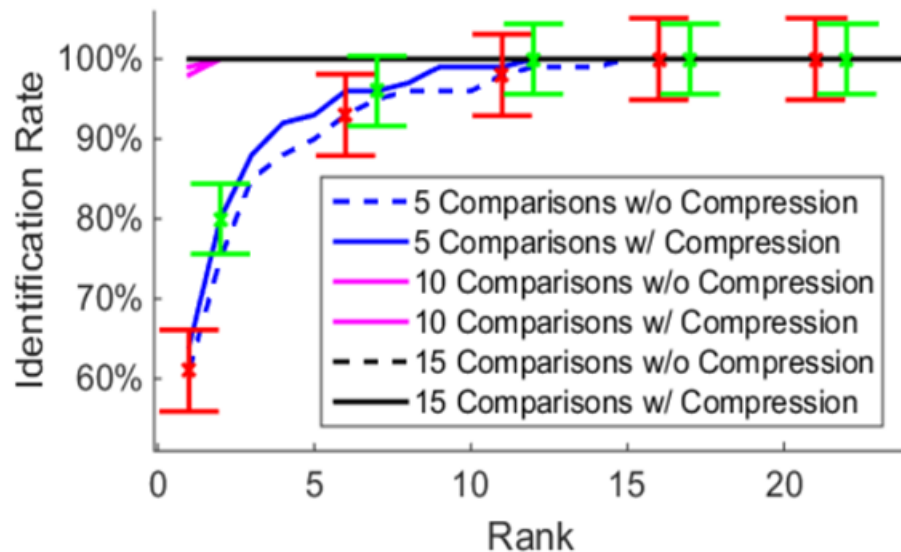
Label compression improves recognition

Data is Southampton tunnel

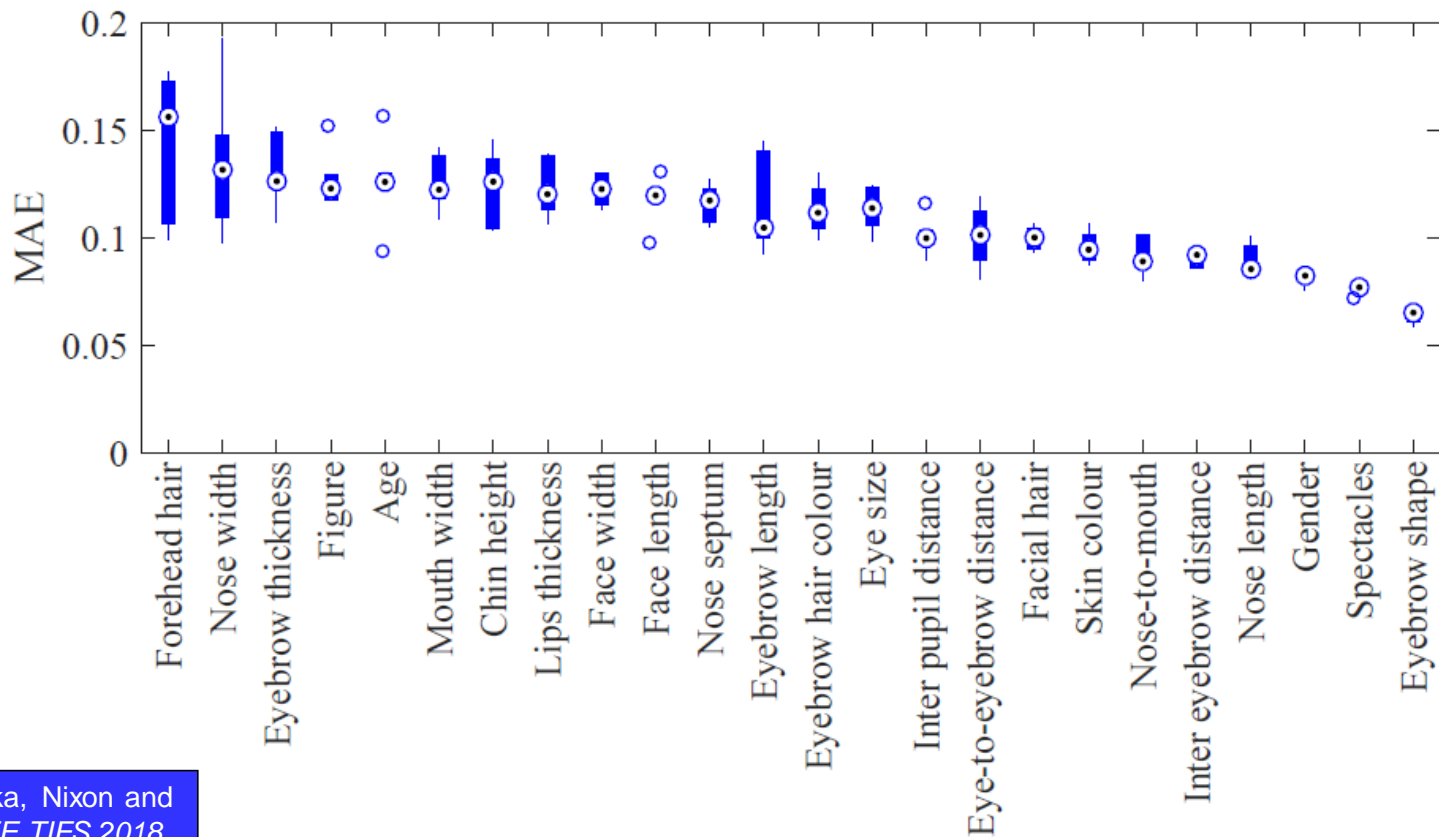
New system just 3:

bigger, same, smaller

Had we previously **added**
categorical to comparative?



Estimating face attributes



Ranking subjects (images) by estimated face attributes

MIURank semantic

ECL

REL

MIURank semantic

ECL

REL

Youngest



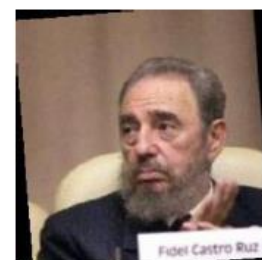
Most feminine



Oldest



Most masculine



(a) Age

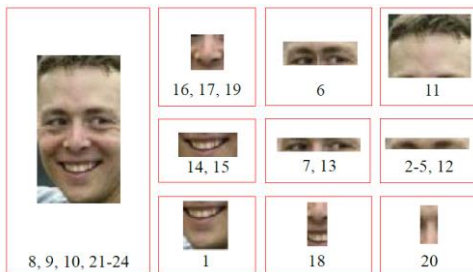
(b) Gender

Crossing the semantic gap: estimating relative face attributes

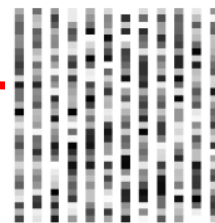


Face alignment

Constrained Local Models/ AAMs

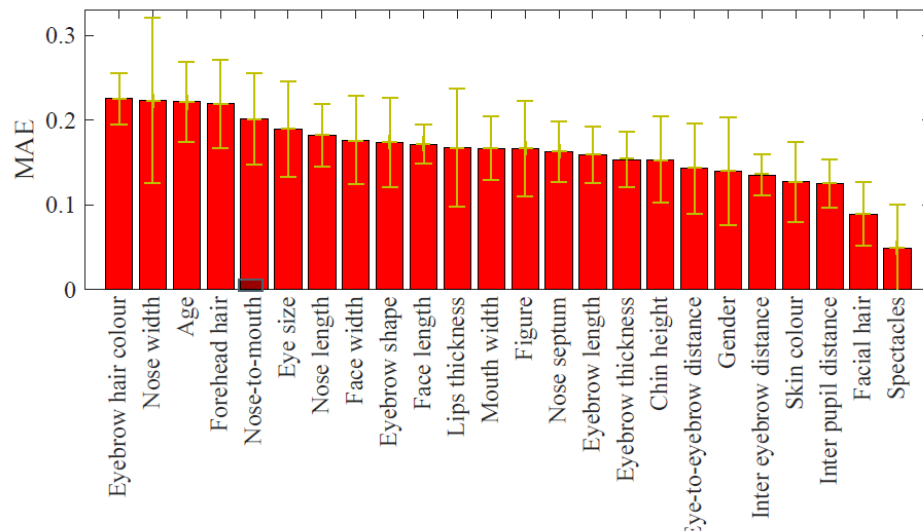


Segmented face parts



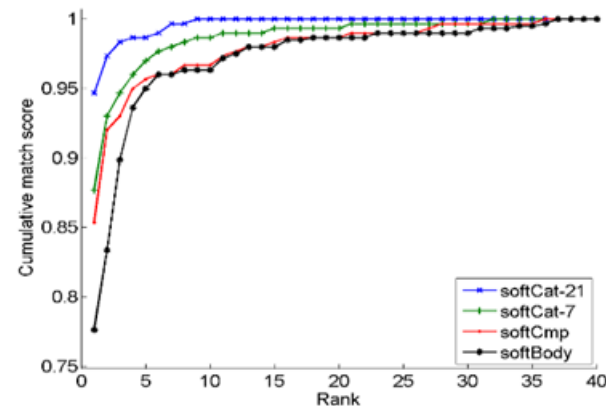
Features HOG/GIST/ULBP

Estimation of comparative labels



Subject recognition, by clothing

- Clothing generally **unique**
- **Shakespeare**
“Know'st me not by my clothes?”
(Cymbeline Act 4 Scene 2)
- **Short term** biometric
- Has strong **invariance**
- Links with computer vision and **automatic clothing analysis/ re-identification**



Viewpoint invariant recognition, by clothing

Query Description

Head coverage: None
Neckline shape: Round
Sleeve length: Long
+...



Example 1:



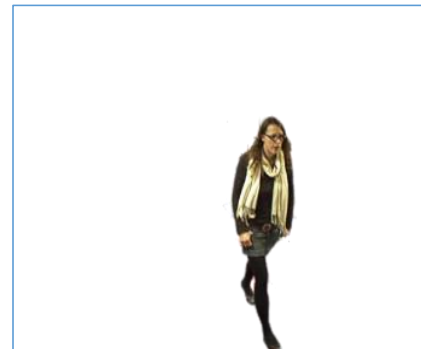
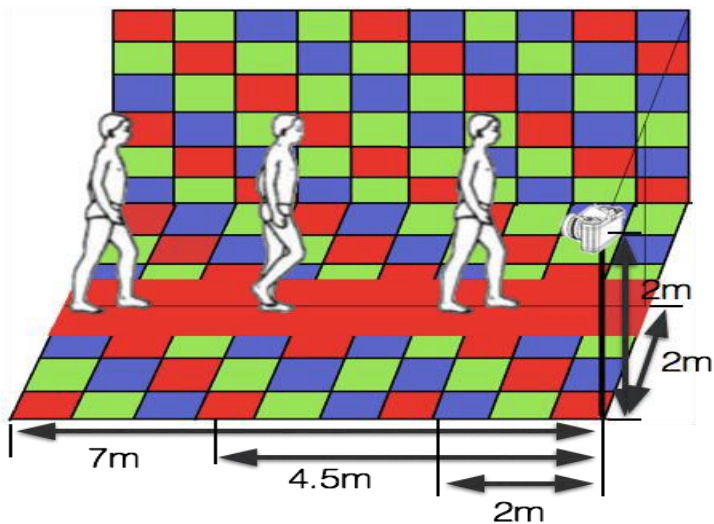
Example 2:



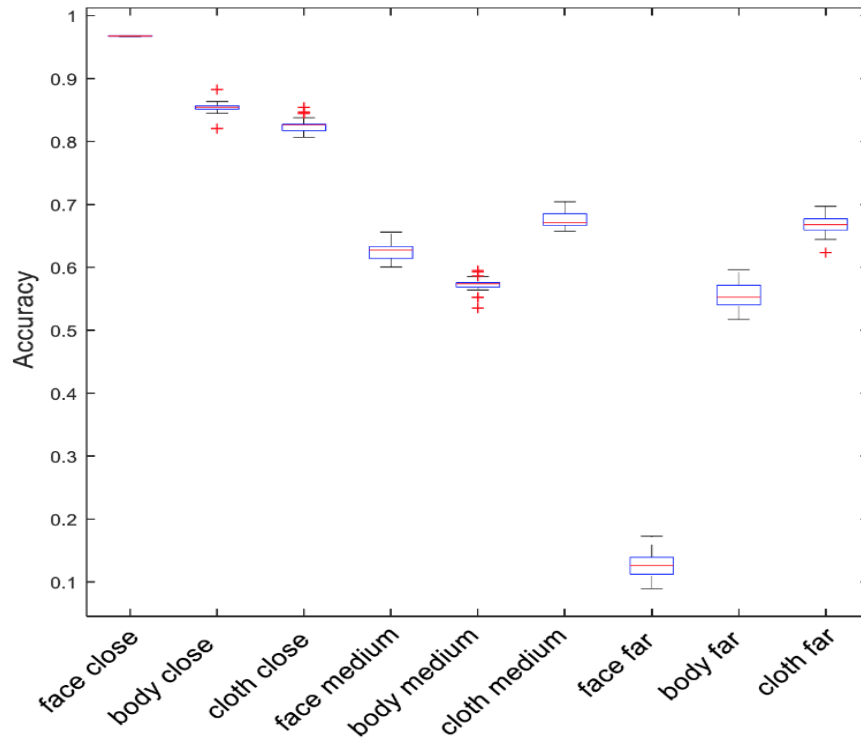
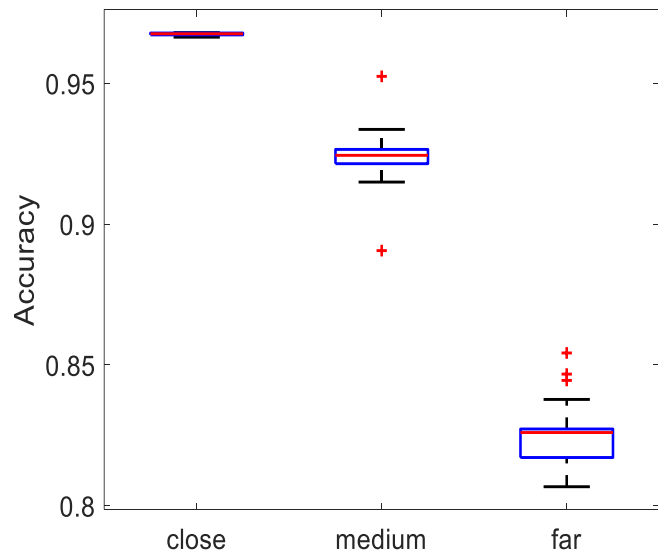
Clothing has ability to handle 90 degree change

Soft biometric fusion – synthesised data

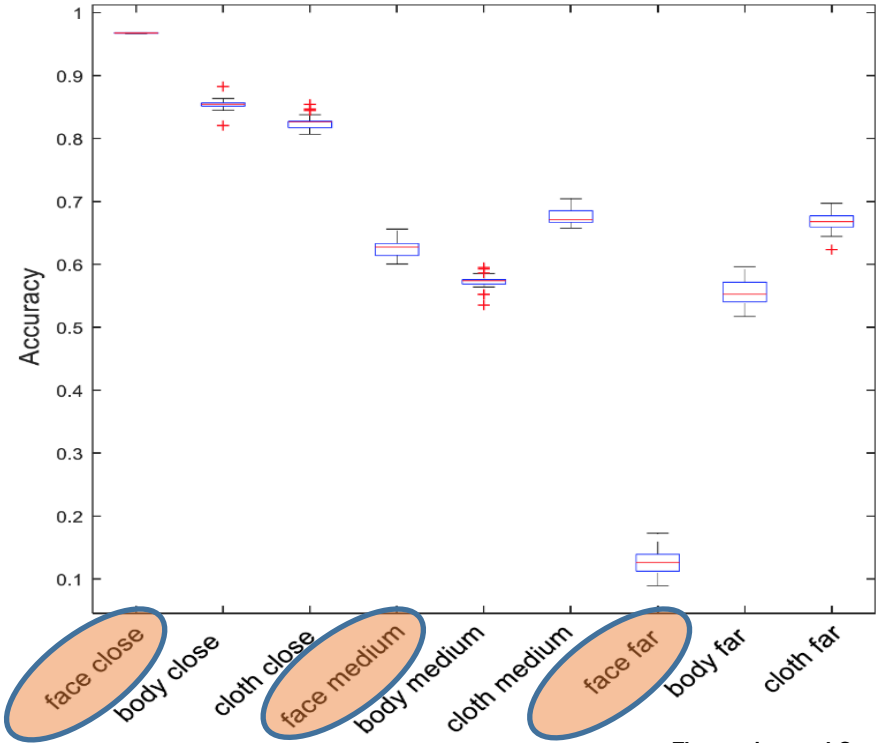
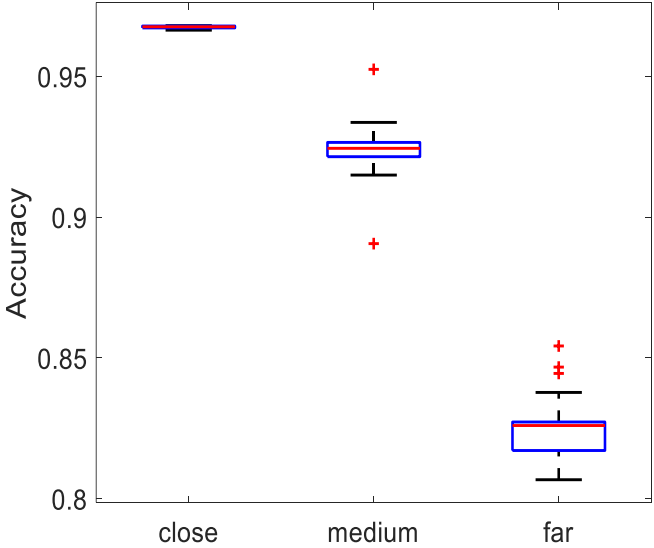
Gait tunnel



Fusion performance

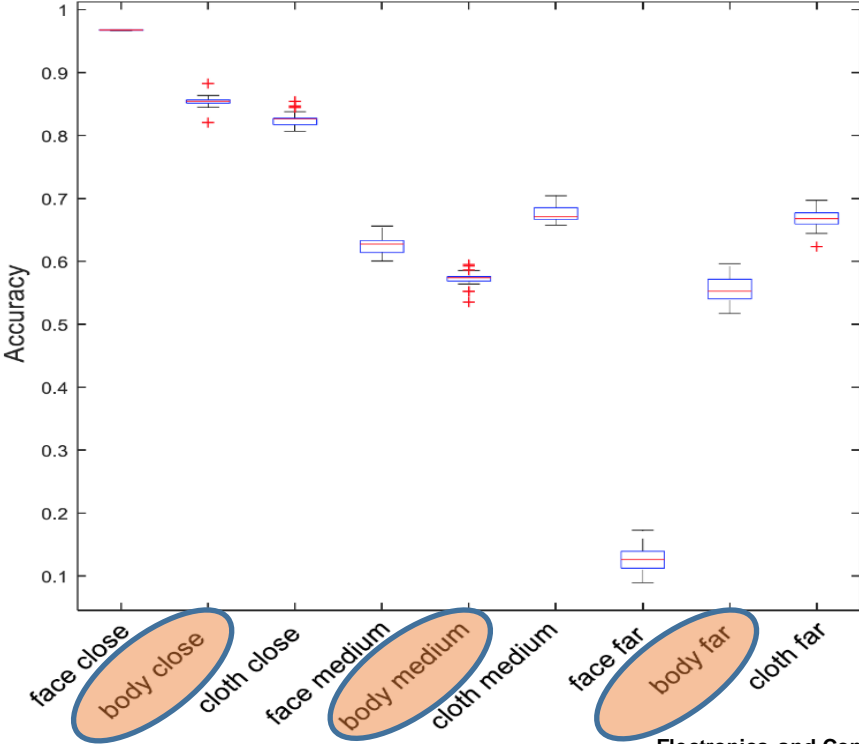
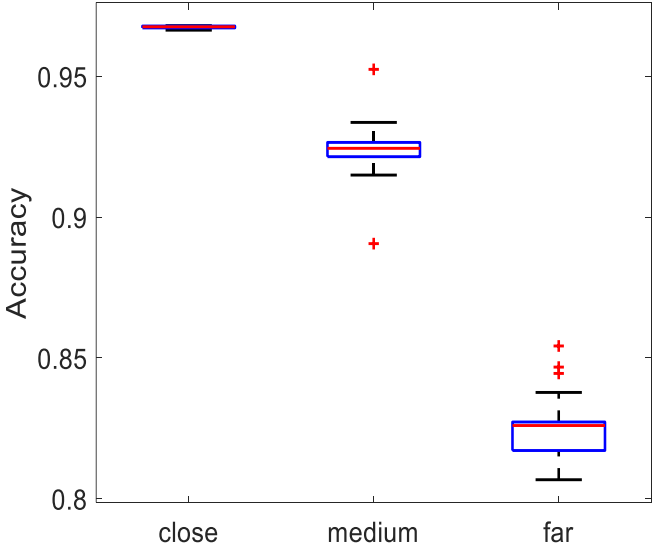


Fusion performance

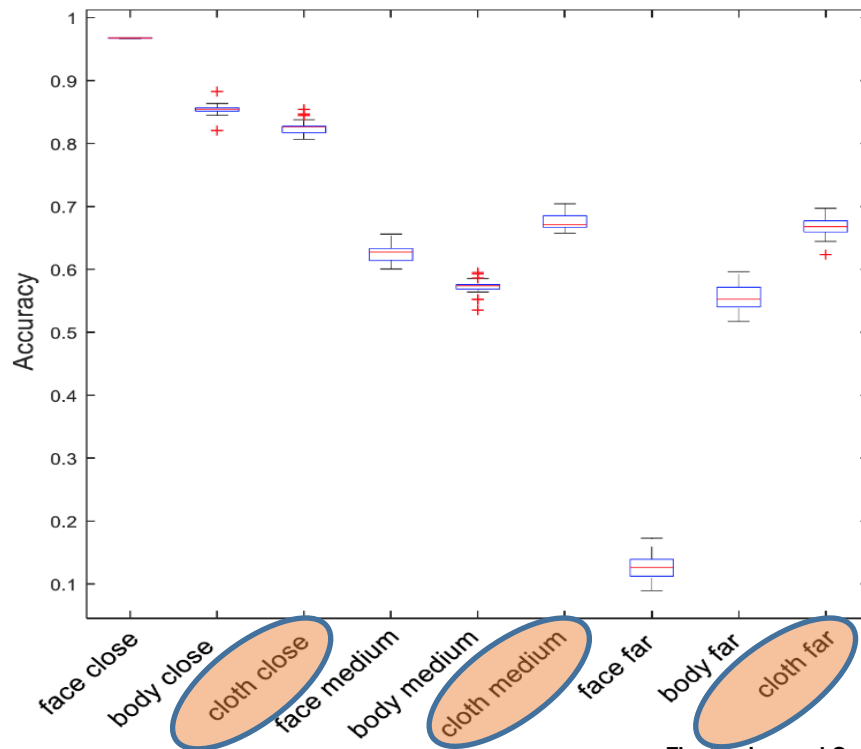
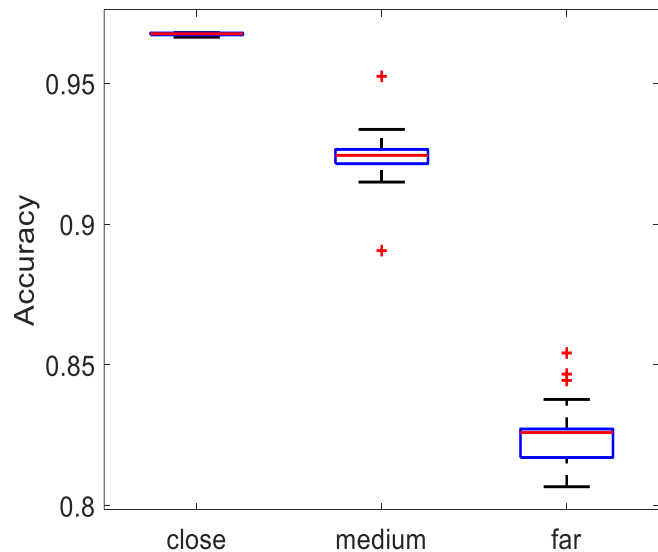


Guo, Nixon and Carter,
IEEE TBIOM 2019

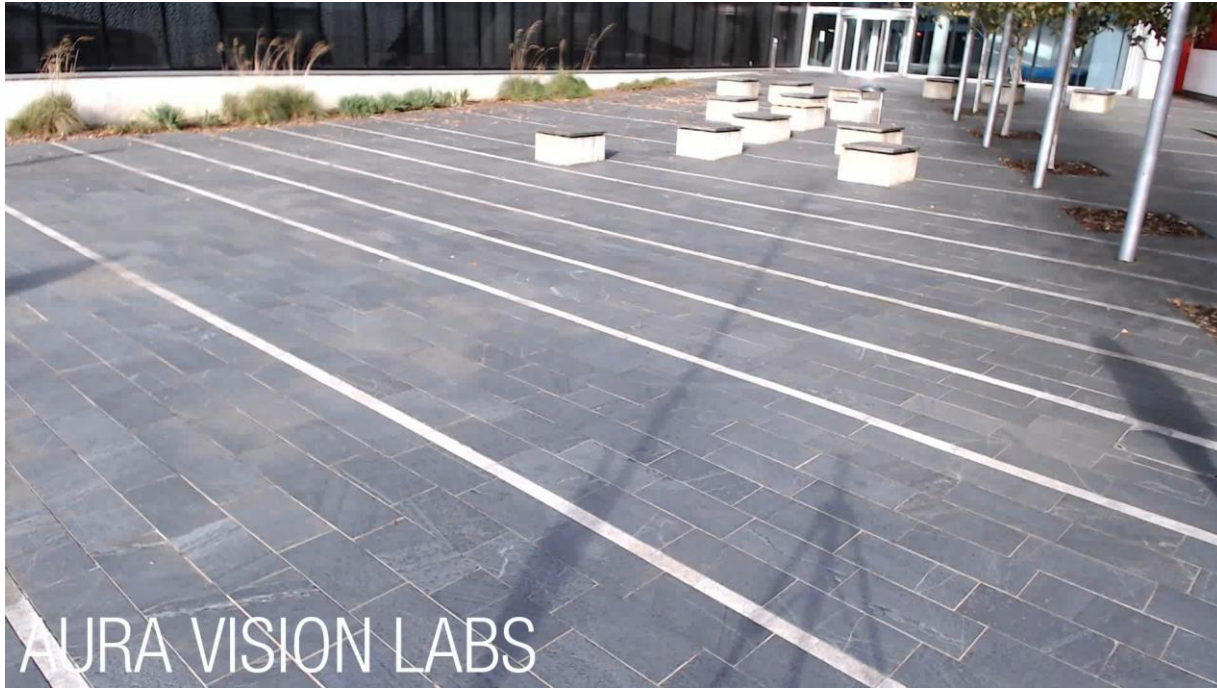
Fusion performance



Fusion performance



Biometrics and marketing ...



<https://vimeo.com/388480097>

Conclusions

Yes, gait **works**, particularly with **deep**

Yes, we can use it in **forensics**?

Soft biometrics are newer, particularly **human description**

The technologies are **grounded** in science, literature, medicine + ...

Can we use **deep** in **forensics**?

We have more to **learn**, and learning architectures are **not complete**

Society still needs identification

Privacy/ ethics/ accuracy/ new technology?



And thanks to

Dr John Carter, Dr Sasan Mahmoodi, Prof Jon Hare

Dr Hani Muammar, Prof Adrian Evans, Prof Xiaoguang Jia, Prof Yan Chen, Prof Steve Gunn, Dr Colin Davies, Dr Mark Jones, Dr Alberto Aguado, *Dr David Cunado*, Dr Jason Nash, *Prof Ping Huang*, Dr David Hurley, Dr David Benn, Dr Liang Ng, Dr Mark Toller, Dr John Manslow, *Dr Mike Grant*, *Prof Jamie Shutler*, *Dr Karl Sharman*, Prof Andrew Tatem, *Layla Gordon*, *Dr Richard French*, *Dr Vijay Laxmi*, *Prof James Hayfron-Acquah*, *Dr Chew-Yean Yam*, Prof Yalin Zheng, *Dr Jeff Foster*, *Dr Jang Hee Yoo*, *Dr Nick Spencer*, *Dr Stuart Prismall*, Wan Mohd.-Isa, Dr Peter Myerscough, Dr Richard Evans, *Dr Stuart Mowbray*, *Dr Rob Boston*, *Dr Ahmad Al-Mazeed*, Prof Peter Gething, *Dr Dave Wagg*, *Dr Alex Bazin*, Dr Mike Jewell, *Dr Lee Middleton*, *Dr Galina Veres*, *Dr Imed Bouchrika*, Dr Xin Liu, Dr Cem Direkoglu, Hidayah Rahmalan, Dr Banafshe Arbab-Zavar, **Dr Baofeng Guo**, **Dr Sina Samangooei**, *Dr Michaela Goffredo*, Dr Daniel Thorpe, *Dr Richard Seely*, Dr John Bustard, Dr Alastair Cummings, *Dr Muayed Al-Huseiny*, Dr Mina Ibrahim, *Dr Darko Matovski*, *Dr Gunawan Ariyanto*, *Dr Sung-Uk Jung*, Dr Richard Lowe, **Dr Dan Reid**, Dr George Cushen, Dr Ben Waller, Dr Nick Udell, Dr Anas Abuzaina, Dr Thamer Alathari, Dr Musab Sahrim, Dr Ah Reum Oh, **Dr Tim Matthews**, **Dr Emad Jaha**, Dr Peter Forrest, Dr Jaime Lomeli, **Dr Dan Martinho-Corbishley**, **Dr Bingchen Guo**, Dr Jung Sun, **Dr Nawaf Almudhahka**, **Tom Ladyman**, Dr Wenshu Zheng, Dr Di Meng, **Moneera Alnamnakani**

Sponsors: EPSRC, Home Office, MoD (GD), DARPA, ARL, EU

Selection of further reading on gait

1. [Using gait as a biometric, via phase-weighted magnitude spectra](#), D Cunado, MS Nixon, JN Carter, *Proc. AVBPA*, 1997
2. [The humanid gait challenge problem: Data sets, performance, and analysis](#), S Sarkar, PJ Phillips, Z Liu, IR Vega..., *IEEE TPAMI*, 2005
3. [Individual recognition using gait energy image](#), J Han, B Bhanu, *IEEE TPAMI*, 2005
4. [Human identification based on gait](#), MS Nixon, T Tan, R Chellappa, Springer, 2005
5. [The OU-ISIR gait database comprising the large population dataset and performance evaluation of gait recognition](#), M Okumura, Y Makihara, Y Yagi, *IEEE TIFS* 2012
6. [Biometric recognition by gait: A survey of modalities and features](#), P Connor, A Ross, *Computer Vision and Image Understanding*, 2018
7. [Deep gait recognition: A survey](#), A Sepas-Moghaddam, A Etemad , *IEEE TPAMI* 2022
8. [A comprehensive survey on deep gait recognition: algorithms, datasets and challenges](#), C Shen, S Yu, J Wang, GQ Huang, L Wang, *arXiv* , 2023
9. [LidarGait: Benchmarking 3D Gait Recognition With Point Clouds](#), C Shen, C Fan, W Wu, R Wang, GQ Huang, S Yu, *CVPR 2023*
10. [TriGait: Aligning and Fusing Skeleton and Silhouette Gait Data via a Tri-Branch Network](#), Y Sun, X Feng, L Ma, L Hu, M Nixon, *IJCB* 2023

Apologies if your own technique is missing, or your favourite. There are many more.